

PIGEONS DO NOT JUMP HIGH

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ABSTRACT. The infinite pigeonhole principle for 2-partitions asserts the existence, for every set A , of an infinite subset of A or of its complement. In this paper, we develop a new notion of forcing enabling a fine analysis of the computability-theoretic features of the pigeonhole principle. We deduce various consequences, such as the existence, for every set A , of an infinite subset of it or its complement of non-high degree. We also prove that every Δ_3^0 set has an infinite low₃ solution and give a simpler proof of Liu’s theorem that every set has an infinite subset in it or its complement of non-PA degree.

1. INTRODUCTION

The infinite pigeonhole principle asserts the existence, for any k -partition of the integers, of an infinite subset of one of the parts. In particular, the pigeonhole principle for 2-partitions asserts that, for every set A , there is an infinite subset of A or of \overline{A} . The pigeonhole principle can be seen as a mathematical problem, with instances and solutions. An *instance* is a k -partition of the integers, and a *solution* to an instance is an infinite subset of one of the parts. In this paper, we conduct a computability-theoretic study of the pigeonhole principle seen as a problem. More precisely, given an arbitrary instance of the pigeonhole principle, we show the existence of a “weak” solution, for various computability-theoretic notions of weakness. Our main motivation is reverse mathematics.

1.1. Reverse mathematics and Ramsey’s theorem

Reverse mathematics is a foundational program which seeks to determine the optimal axioms to prove ordinary theorems. It uses the framework of second-order arithmetics, with a base theory, RCA_0 , capturing “computable mathematics”. The early study of reverse mathematics revealed the existence of four linearly ordered big systems WKL , ACA , ATR , and $\Pi_1^1\text{CA}$ (in increasing order), such that, given an ordinary theorem, it is very likely either to be provable in RCA_0 , or provably equivalent to one of the four systems in RCA_0 . These systems together with RCA_0 are known as the “Big Five”. See Simpson [30] for an introduction to reverse mathematics.

Among the theorems studied in reverse mathematics, Ramsey’s theorem received a special attention from the community, since Ramsey’s theorem for pairs historically was the first theorem known to escape the Big Five phenomenon. Given a set of integers X , $[X]^n$ denotes the set of all n -tuples over X . For a coloring $f : [\omega]^n$, a set of integers H is *homogeneous* if f is constant over $[H]^n$.

Statement (Ramsey’s theorem). RT_k^n : “Every k -coloring of $[\omega]^n$ admits an infinite homogeneous set”.

In particular, RT_k^1 is the infinite pigeonhole principle for k -partitions. Ramsey’s theorem and its consequences are notoriously hard to analyse from a computability-theoretic viewpoint. Jockusch [14] proved that RT_k^n is equivalent to ACA whenever $n \geq 3$. The question whether RT_k^2 implies ACA was a longstanding open question, until Seetapun [29] proved that RT_2^2 is strictly weaker than ACA . Later, Jockusch [14, 15] and Liu [18] showed that RT_2^2 is incomparable with WKL . See Hirschfeldt [12] for an introduction to the reverse mathematics of Ramsey’s theorem.

1.2. Cohesiveness and the pigeonhole principle

Cholak, Jockusch and Slaman [3] made a breakthrough in the understanding of Ramsey's theorem for pairs, by decomposing RT_2^2 into a cohesiveness principle, and the pigeonhole principle for Δ_2^0 instances. An infinite set C is *cohesive* for a sequence of sets R_0, R_1, \dots if $C \subseteq^* R_i$ or $C \subseteq^* \bar{R}_i$ for every $i \in \omega$.

Statement (Cohesiveness). COH: “Every sequence of sets has a cohesive set”.

Statement. D_k^n : “For every Δ_n^0 k -partition of ω , there is an infinite subset of one of the parts”.

Cholak, Jockusch and Slaman [3], Mileti [21] and Chong, Lempp and Yang [4], proved that RT_2^2 is equivalent to $\text{COH} \wedge \text{D}_2^2$. The interest of such a decomposition comes from the combinatorial simplicity of COH and D_2^2 . Indeed, COH can be seen as a sequential version of RT_2^1 with finite errors, while D_2^2 is RT_2^1 for Δ_2^0 instances. One may naturally wonder whether such a decomposition is strict, that is, whether both COH and D_2^2 are strictly weaker than RT_2^2 over RCA_0 . Hirschfeldt, Jockusch, Kjos-Hanssen, Lempp and Slaman [13] proved that COH is strictly weaker than RT_2^2 over RCA_0 . Later, Chong, Slaman and Yang [5] proved that D_2^2 is strictly weaker than RT_2^2 over RCA_0 , answering a long-standing open problem. However, the latter proof strongly relies on non-standard models, in that it constructs a model of $\text{RCA}_0 + \text{D}_2^2$ containing only low sets. However, Downey, Hirschfeldt, Lempp and Solomon [7] constructed a Δ_2^0 set with no low infinite subset of it or its complement. This shows that there cannot be an ω -model of $\text{RCA}_0 + \text{D}_2^2$ with only low sets, where an ω -structure is a structure whose first-order part consists of the standard integers. The following question is arguably the most important question in reverse mathematics.

Question 1.1. Is every ω -model of D_2^2 a model of RT_2^2 ?

This question is equivalent to asking whether every ω -model of D_2^2 is a model of COH. A particular way to prove such an implication would be, given a sequence of sets R_0, R_1, \dots , to construct a $\Delta_2^{0, \vec{R}}$ set A such that every infinite subset of A or \bar{A} computes relative to \vec{R} a cohesive set for \vec{R} . Among the instances of COH, the sequence of primitive recursive sets \vec{R} is maximally difficult, in that for every computable sequence of sets \vec{S} , every cohesive set for \vec{R} computes a cohesive set for \vec{S} . The sets cohesive for the sequence of primitive recursive sets are called *p-cohesive*. Jockusch and Stephan [16] studied the p-cohesive degrees, and proved that these are the precisely the degrees whose Turing jump is PA over \emptyset' . The following question is therefore of particular interest.

Question 1.2. Is there a Δ_2^0 set A such that for every infinite set $H \subseteq A$ or $H \subseteq \bar{A}$, the jump of A is PA over \emptyset' ?

A degree \mathbf{d} is *high* if $\mathbf{d}' \geq \emptyset'$. A particular way to answer positively the previous question would be by proving that there is a Δ_2^0 set A whose solutions are of high degrees. However, Cholak, Jockusch and Slaman [3] proved that given a non- Δ_2^0 set C , every Δ_2^0 set admits an infinite subset H of it or its complement such that C is not $\Delta_2^{0, C}$. In particular, we can always obtain a solution H of non-high degree.

By an empirical observation, many proofs of the existence of “weak” solutions for Δ_2^0 instances of the pigeonhole principle actually prove such an existence for arbitrary (even non- Δ_2^0) instances of the pigeonhole principle. For instance, Dzhanfarov and Jockusch [9] proved the existence, for every set A and every non-computable set C , of a solution to A which does not compute C . Liu [18] proved the existence of a solution of non-PA degree, and more generally of solutions computing no enumeration of a closed set in the Cantor space [19]. The second author [25] proved the existence, for every set A and every hyperimmune function f , of a solution H to A such that f is H -hyperimmune. This observation could provide a partial answer to the difficulty of answering Question 1.2. Maybe there exists a (non-necessarily Δ_2^0) set A such that every solution has a jump of PA degree over \emptyset' , or even of high degree. Then, any answer to Question 1.2 would necessarily rely on Δ_2^0 approximations of the set A . This motivates our first main theorem:

Theorem 1.3 Every set A has an infinite subset $H \subseteq A$ or $H \subseteq \overline{A}$ of non-high degree.

This theorem can be taken as a further evidence towards the intuition that Question 1.2 does not depend on the Δ_2^0 definability of the set A . Note that by an observation of the second author [24], a negative answer to Question 1.2 for non- Δ_2^0 sets would have consequences on other statements studied in reverse mathematics, notably the increasing polarized Ramsey theorem for pairs (IPT_2^2) introduced by Dzhafarov and Hirst [8].

1.3. The hierarchies in reverse mathematics

The computability-theoretic study of the pigeonhole principle is also motivated by questions on the strictness of hierarchies in reverse mathematics. Many consequences of Ramsey's theorem form hierarchies of statements, parameterized by the size of the colored tuples. A first example is Ramsey's theorem itself. Indeed, RT_k^{n+1} implies RT_k^n for every $n, k \geq 1$. By the work of Jockusch [14], this hierarchy collapses starting from the triples, and by Seetapun [29], Ramsey's theorem for pairs is strictly weaker than Ramsey's theorem for triples. We therefore have

$$\text{RT}_k^1 < \text{RT}_k^2 < \text{RT}_k^3 = \text{RT}_k^4 = \dots$$

Friedman [11] introduced the free set and thin set theorems in reverse mathematics, while Csima and Mileti [6] introduced and studied the rainbow Ramsey theorem. A coloring $f : [\omega]^n \rightarrow \omega$ is k -bounded if each color occurs at most k times. An infinite set of integers H is *thin* for f if f omits at least one color over $[H]^n$. We say that H is *free* for f if for every $x \in H$, $H \setminus \{x\}$ is thin for f . Last, H is a *rainbow* for f if each color occurs at most once on $[H]^n$.

Statement (Free set theorem). FS^n : "Every coloring of $[\omega]^n$ admits an infinite free set".

Statement (Thin set theorem). TS^n : "Every coloring of $[\omega]^n$ admits an infinite thin set".

Statement (Rainbow Ramsey theorem). RRT_k^n : "Every k -bounded coloring of $[\omega]^n$ admits an infinite rainbow".

The reverse mathematics of these statements were extensively studied in the literature [2, 6, 17, 22, 23, 26, 28, 31, 32, 33, 34, 35]. In particular, these theorems form hierarchies which are not known to be strictly increasing.

Question 1.4. Are the hierarchies of the free set, thin set, and rainbow Ramsey theorem strictly increasing?

Partial results were however obtained. All these statements admit lower bounds of the form "For every $n \geq 2$, there is a computable instance of P^n with no Σ_n^0 solution", where P^n denotes any of RT_k^n (Jockusch [14]), RRT_k^n (Csima and Mileti [6], FS^n , and TS^n (Cholak, Giusto, Hirst and Jockusch [2]). From the upper bound viewpoint, all these statements follow from Ramsey's theorem. Therefore, by Cholak, Jockusch and Slaman [3], every computable instance of P^1 admits a computable solution, and every computable instance of P^2 admits a low_2 solution. These results are sufficient to show that $\text{P}^1 < \text{P}^2 < \text{P}^3$ in reverse mathematics. This upper bound becomes too coarse at triples, since RT_2^3 is equivalent to ACA , while Wang [35] surprisingly proved that P^n is strictly weaker than ACA for every n and P^n among FS^n , TS^n , and RRT_k^n . In particular, Wang [33] proved that every computable instance of RRT_k^3 admits a low_3 solution. The following question is still open. A positive answer would also answer positively Question 1.4.

Question 1.5. Does every computable instance of FS^n , TS^n , and RRT_k^n admit a low_n solution?

The known techniques to prove upper bounds to FS^n , TS^n , and RRT_k^n , are done by forcing with an inductive argument. This is in particular the case to prove that FS^n , TS^n and RRT_k^n does not imply ACA (Wang [35]), WKL (Patey [22]), and preserve multiple hyperimmunities (Patey [27]) for every n . The techniques are all obtained by proving the result for arbitrary instances of the pigeonhole principle, and then generalizing to other hierarchies by an inductive argument. In this paper, we therefore prove the following theorem, which introduces the machinery that hopefully will serve to answer positively Question 1.5.

Theorem 1.6 Every Δ_3^0 set A has an infinite subset $H \subseteq A$ or $H \subseteq \overline{A}$ of low_3 degree.

1.4. Definitions and notation

A *binary string* is an ordered tuple of bits $a_0, \dots, a_{n-1} \in \{0, 1\}$. The empty string is written ϵ . A *binary sequence* (or real) is an infinite listing of bits a_0, a_1, \dots . Given $s \in \omega$, 2^s is the set of binary strings of length s and $2^{<s}$ is the set of binary strings of length $< s$. As well, $2^{<\omega}$ is the set of binary strings and 2^ω is the set of binary sequences. Given a string $\sigma \in 2^{<\omega}$, we use $|\sigma|$ to denote its length. Given two strings $\sigma, \tau \in 2^{<\omega}$, σ is a *prefix* of τ (written $\sigma \preceq \tau$) if there exists a string $\rho \in 2^{<\omega}$ such that $\sigma\rho = \tau$. Given a sequence X , we write $\sigma \prec X$ if $\sigma = X \upharpoonright n$ for some $n \in \omega$. A binary string σ can be interpreted as a finite set $F_\sigma = \{x < |\sigma| : \sigma(x) = 1\}$. We write $\sigma \subseteq \tau$ for $F_\sigma \subseteq F_\tau$. We write $\#\sigma$ for the size of F_σ .

A *binary tree* is a set of binary strings $T \subseteq 2^{<\omega}$ which is closed downward under the prefix relation. A *path* through T is a binary sequence $P \in 2^\omega$ such that every initial segment belongs to T .

A *Turing ideal* \mathcal{I} is a collection of sets which is closed downward under the Turing reduction and closed under the effective join, that is, $(\forall X \in \mathcal{I})(\forall Y \leq_T X)Y \in \mathcal{I}$ and $(\forall X, Y \in \mathcal{I})X \oplus Y \in \mathcal{I}$, where $X \oplus Y = \{2n : n \in X\} \cup \{2n + 1 : n \in Y\}$. A *Scott set* is a Turing ideal \mathcal{I} such that every infinite binary tree $T \in \mathcal{I}$ has a path in \mathcal{I} . In other words, a Scott set is the second-order part of an ω -model of $\text{RCA}_0 + \text{WKL}$. A Turing ideal \mathcal{M} is *countable coded* by a set X if $\mathcal{M} = \{X_n : n \in \omega\}$ with $X = \bigoplus_n X_n$.

Given two sets A and B , we denote by $A < B$ the formula $(\forall x \in A)(\forall y \in B)[x < y]$. We write $A \subseteq^* B$ to mean that $A - B$ is finite, that is, $(\exists n)(\forall a \in A)(a \notin B \rightarrow a < n)$. A *k-cover* of a set X is a sequence of sets Y_0, \dots, Y_{k-1} such that $X \subseteq Y_0 \cup \dots \cup Y_{k-1}$.

2. MAIN CONCEPTS

The main contribution of this paper is a new notion of forcing enabling a finer analysis of the computability-theoretic aspects of the infinite pigeonhole principle. All the theorems obtained in Section 4 are direct applications of this notion of forcing by taking a sufficiently generic filter, or by an effectivization of the construction of a filter. In order to give a better grasp on the notion of forcing, we focus in this section on some essential features of its design.

2.1. Forcing question

In computability theory, forcing is often specified by a partial order (\mathbb{P}, \leq) of conditions, together with an interpretation $[c] \subseteq 2^\omega$ for every condition $c \in \mathbb{P}$, such that $[d] \subseteq [c]$ whenever $d \leq c$. Then, every filter \mathcal{F} induces a collection of sets $[\mathcal{F}] = \bigcap_{c \in \mathcal{F}} [c]$. Any set $G \in [\mathcal{F}]$ is called a *generic set*, and whenever the filter \mathcal{F} is sufficiently generic, $[\mathcal{F}]$ is often a singleton $\{G\}$. Such notions of forcing induce a *forcing relation* $c \Vdash \varphi(G)$ defined over conditions $c \in \mathbb{P}$ and arithmetical formulas with one formal set parameter $\varphi(G)$. In particular, $c \Vdash \varphi(G)$ for a Δ_0^0 formula if $\varphi(G)$ holds for every set $G \in [c]$. The relation is defined inductively for more complex formulas, so that it satisfies the following main lemma:

Lemma 2.1 For every sufficiently generic filter \mathcal{F} , every set $G \in [\mathcal{F}]$ and every arithmetical formula $\varphi(G)$, $\varphi(G)$ holds if and only if $c \Vdash \varphi(G)$ for some condition $c \in \mathcal{F}$.

The computability-theoretic properties of the generic set are strongly related to the existence, for every condition $c \in \mathbb{P}$, of a Σ_n^0 -definable relation $c ?\vdash \varphi(G)$ over Σ_n^0 formulas $\varphi(G)$ which satisfies the following properties:

Lemma 2.2 Let $c \in \mathbb{P}$ be a condition, and $\varphi(G)$ be a Σ_n^0 formula.

- (a) If $c ?\vdash \varphi(G)$, then there is some $d \leq c$ such that $d \Vdash \varphi(G)$.
- (b) If $c \not?\vdash \varphi(G)$, then there is some $d \leq c$ such that $d \Vdash \neg\varphi(G)$.

Any forcing relation \Vdash induces a forcing question $?\vdash$ defined by $c ?\vdash \varphi(G)$ if and only if $(\exists d \leq c)d \Vdash \varphi(G)$. In the case of Cohen forcing, that is, forcing over binary strings with the suffix relation, the default forcing question has the good definitional properties, that is, deciding a Σ_n^0 formula is Σ_n^0 . However, for many other notions of forcing, this forcing question is

definitionally too complex, and one has to define custom forcing relations and forcing questions, to have the desired complexity.

For instance, consider the notion of forcing (\mathbb{P}, \leq) whose conditions are infinite computable binary trees, and such that $S \leq T$ if $S \subseteq T$. The interpretation of T is the collection $[T]$ of its paths. We can define a forcing relation for Σ_1^0 and Π_1^0 formulas as follows.

Definition 2.3. Let $\psi(G, x)$ be a Δ_0^0 formula, and $T \in \mathbb{P}$.

- (a) $T \Vdash (\exists x)\psi(G, x)$ if there is some $\ell \in \omega$ such that for every $\sigma \in T$ with $|\sigma| = \ell$, $\psi(\sigma, w)$ holds for some $w < \ell$.
- (b) $T \Vdash (\forall x)\psi(G, x)$ if for every $\sigma \in T$ and every $w < |\sigma|$, $\psi(\sigma, w)$ holds.

First, note that if $T \Vdash \varphi(G)$ where $\varphi(G)$ is Σ_1^0 or Π_1^0 , then $\varphi(G)$ will hold for every filter \mathcal{F} containing T , and every generic set G for this filter. Then, define $T ?\vdash (\exists x)\psi(G, x)$ to hold if and only if $T \Vdash (\exists x)\psi(G, x)$. Let's assume that the formula $\psi(G, x)$ is continuous, that is, if $\psi(\sigma, w)$ holds and $\sigma \prec \tau$, then $\psi(\tau, w)$ holds. If $T ?\vdash (\exists x)\psi(G, x)$, then $T \Vdash (\exists x)\psi(G, x)$ by definition. If $T ?\nVdash (\exists x)\psi(G, x)$, then the set $S = \{\sigma \in T : (\forall w < |\sigma|)\neg\psi(\sigma, w)\}$ is an infinite subtree of T such that $S \Vdash (\forall x)\neg\psi(G, x)$. Note that $T ?\vdash (\exists x)\psi(G, x)$ is a Σ_1^0 formula, which satisfies Lemma 2.2.

Having a forcing question whose definition has the same complexity as the formula it decides, yields a few preservation properties for free. Let (\mathbb{P}, \leq) be a notion of forcing such that the relation $c ?\vdash \varphi(G)$ is uniformly Σ_n^0 whenever $\varphi(G)$ is Σ_n^0 , and satisfies Lemma 2.1 and Lemma 2.2. The following lemma holds.

Lemma 2.4 For every non- Σ_n^0 set C , and every Σ_n^0 formula $\varphi(G, x)$, the following set is dense in (\mathbb{P}, \leq) .

$$D = \{c \in \mathbb{P} : (\exists w \notin C)c \Vdash \varphi(G, w) \vee (\exists w \in C)c \Vdash \neg\varphi(G, w)\}$$

Proof. Fix a condition $c \in \mathbb{P}$. Let $W = \{w \in \omega : c ?\vdash \varphi(G, w)\}$. By assumption, the set W is Σ_n^0 , while C is not. Let $w \in W \Delta C = (W \setminus C) \cup (C \setminus W)$. If $w \in W \setminus C$, then $c ?\vdash \varphi(G, w)$, so by Lemma 2.2(a), there is some $d \leq c$ such that $d \Vdash \varphi(G, w)$. If $w \in C \setminus W$, then $c ?\nVdash \varphi(G, w)$, so by Lemma 2.2(b), there is some $d \leq c$ such that $d \Vdash \neg\varphi(G, w)$. In both cases, d belongs to D . \square

Then, for every sufficiently generic set G , C will not be $\Sigma_n^{0,G}$. This is the notion of preservation of non- Σ_n^0 definitions, introduced by Wang [34]. In particular, if some set C is not Δ_n^0 , then either C or \overline{C} is not Σ_n^0 , so by the same reasoning, C will not be $\Delta_n^{0,G}$ for every sufficiently generic set G .

In many cases, the forcing question is compact in the following sense:

Definition 2.5. A forcing question $?\vdash$ is *compact* if for every $c \in \mathbb{P}$ and every formula $\psi(G, x)$, $c ?\vdash (\exists x)\psi(G, x)$ if and only if there is a finite set U such that $c ?\vdash (\exists x \in U)\psi(G, x)$.

In particular, the forcing question for Cohen forcing and for the notion of forcing with computable binary trees is compact (see Wang [34, Section 3.2] for a definition of the forcing with computable binary trees). This yields other preservation properties for free. A function g *dominates* a function f if $g(x) \geq f(x)$ for every $x \in \omega$. Given a set X , a function f is *X-hyperimmune* if it is not dominated by any X -computable function. Let (\mathbb{P}, \leq) be a notion of forcing with a compact forcing question satisfying the previous properties. The following lemma holds.

Lemma 2.6 For every n , every $\emptyset^{(n)}$ -hyperimmune function f and every Turing functional Φ_e , the following set is dense in (\mathbb{P}, \leq) .

$$D = \{c \in \mathbb{P} : (\exists w)c \Vdash \Phi_e^{G^{(n)}}(w) \uparrow \vee (\exists w)c \Vdash \Phi_e^{G^{(n)}}(w) < f(w)\}$$

Proof. Fix a condition $c \in \mathbb{P}$. Let g be the partial $\emptyset^{(n)}$ -computable function which on input w , searches for a finite set U such that $c ?\vdash (\exists x \in U)\Phi_e^{G^{(n)}}(w) \downarrow = x$. If it finds such a set, then $g(w) = \max U$. Otherwise, $g(w) \uparrow$. We have two cases. In the first case, g is total. Then,

by $\emptyset^{(n)}$ -hyperimmunity of f , there is some w such that $g(w) < f(w)$. Let U be the finite set witnessing that $g(w) \downarrow$. In other words, $c \text{?} \vdash (\exists x \in U) \Phi_e^{G^{(n)}}(w) \downarrow = x$. By Lemma 2.2(a), there is some $d \leq c$ such that $d \Vdash (\exists x \in U) \Phi_e^{G^{(n)}}(w) \downarrow = x$, hence $d \Vdash \Phi_e^{G^{(n)}}(w) \downarrow < f(w)$. If g is partial, say $g(w) \uparrow$. Then by compactness of the forcing question, $c \text{?} \not\vdash (\exists x) \Phi_e^{G^{(n)}}(w) \downarrow = x$. By Lemma 2.2(a), there is some $d \leq c$ such that $d \Vdash \Phi_e^{G^{(n)}}(w) \uparrow$. \square

Then, for every sufficiently generic set G , f will not be $G^{(n)}$ -hyperimmune. This is the notion of preservation of hyperimmunity, introduced by the second author [27].

Whenever the extension d of Lemma 2.2 is obtained $\emptyset^{(n)}$ -uniformly in c , one can effectivize the construction to obtain a $\emptyset^{(n)}$ -computable filter while controlling the n th jump of the generic set G , and therefore obtain a set of low $_n$ degree. In the case of Cohen forcing, this yields the existence of a low 1-generic set, and in the case of the forcing with computable binary trees, this yields the low basis theorem (Jockusch [15]).

2.2. Mathias forcing and the pigeonhole principle

In this paper, given a set A , we want to build a “weak” infinite subset H of A or of \bar{A} . We actually construct two sets $G^0 \subseteq A$ and $G^1 \subseteq \bar{A}$ by a variant of Mathias forcing, and ensure that at least one of them is infinite and satisfies the desired weakness property. In order to obtain a forcing question with the good definitional complexity, we shall use a different notion of forcing depending on the complexity of the formulas we want to control.

In the case of Σ_1^0 and Π_1^0 formulas, we fix a Scott set \mathcal{M} , and use a notion of forcing whose conditions are tuples (F^0, F^1, X) , where $F^0 \subseteq A$ and $F^1 \subseteq \bar{A}$ are finite sets, and $X \in \mathcal{M}$ is an infinite set such that $\max(F^0, F^1) < \min X$. Let $A^0 = A$ and $A^1 = \bar{A}$. Our setting is slightly different from Section 2.1 since each filter \mathcal{F} induces two generic sets G^0 and G^1 , defined by $G^i = \bigcup \{F^i : (F^0, F^1, X) \in \mathcal{F}\}$ for each $i < 2$. A condition $c = (F^0, F^1, X)$ has therefore two interpretations $[c]^0$ and $[c]^1$, defined by $[c]^i = \{H : F^i \subseteq H \subseteq (F^i \cup X) \cap A^i\}$ for each $i < 2$. We also need to define two forcing relations depending on which of the generic sets G^0 and G^1 we control. The natural forcing relations are again too complex from a definitional point of view, and we need to define custom ones.

Definition 2.7. Let $\psi(G, x)$ be a Δ_0^0 formula, $c = (F^0, F^1, X)$ and $i < 2$.

- (a) $c \Vdash^i (\exists x) \psi(G, x)$ if there is some $w \in \omega$ such that $\psi(F^i, w)$ holds.
- (b) $c \Vdash^i (\forall x) \psi(G, x)$ if for every $w \in \omega$ and every $E \subseteq X$, $\psi(F^i \cup E, w)$ holds.

Note that the definition of the forcing relation for Π_1^0 formulas is stronger than the canonical one, since it would suffice to require that $\psi(F^i \cup E, w)$ holds for every $w \in \omega$ and every $E \subseteq X \cap A^i$. Because of this, it is not in general the case that, given a Σ_1^0 formula $\varphi(G)$ and a side $i < 2$, the set of conditions c such that $c \Vdash^i \varphi(G)$ or $c \Vdash^i \neg \varphi(G)$ is dense. However, Cholak, Jockusch and Slaman [3] designed a disjunctive forcing question ensuring this property on at least one side.

Definition 2.8. Given a condition $c = (F^0, F^1, X)$ and two Σ_1^0 formulas $\varphi^0(G)$ and $\varphi^1(G)$, define $c \text{?} \vdash \varphi^0(G^0) \vee \varphi^1(G^1)$ to hold if for every 2-cover $Z^0 \cup Z^1 = X$, there is some side $i < 2$ and some finite set $E \subseteq Z^i$ such that $\varphi^i(F^i \cup E)$ holds.

This forcing relation satisfies the following disjunctive property.

Lemma 2.9 (Cholak, Jockusch and Slaman [3]) Let $c \in \mathbb{P}$ be a condition, and $\varphi^0(G)$ and $\varphi^1(G)$ be Σ_n^0 formulas.

- (a) If $c \text{?} \vdash \varphi^0(G^0) \vee \varphi^1(G^1)$, then there is some $d \leq c$ and some $i < 2$ such that $d \Vdash^i \varphi^i(G)$.
- (b) If $c \text{?} \not\vdash \varphi^0(G^0) \vee \varphi^1(G^1)$, then there is some $d \leq c$ and some $i < 2$ such that $d \Vdash^i \neg \varphi^i(G)$.

Proof. Suppose $c \text{?} \vdash \varphi^0(G^0) \vee \varphi^1(G^1)$ holds. Then letting $Z^0 = X \cap A^0$ and $Z^1 = X \cap A^1$, there is some side $i < 2$ and some finite set $E \subseteq X \cap A^i$ such that $\varphi^i(F^i \cup E)$ holds. The condition $d = (F^i \cup E, F^{1-i}, X \cap (\max E, \infty))$ is an extension of c such that $d \Vdash^i \varphi^i(G)$.

Suppose now that $c \not\vdash \varphi^0(G^0) \vee \varphi^1(G^1)$. Let \mathcal{P} be the collection of all the 2-covers $Z^0 \cup Z^1 = X$ such that for every $i < 2$ and every finite set $E \subseteq Z^i$, $\varphi^i(F^i \cup E)$ does not hold. \mathcal{P} is a non-empty $\Pi_1^{0,X}$ class, so since $X \in \mathcal{M} \models \text{WKL}$, there is some 2-cover $Z^0 \cup Z^1 \in \mathcal{P} \cap \mathcal{M}$. Let $i < 2$ be such that Z^i is infinite. Then the condition $d = (F^0, F^1, Z^i)$ is an extension of d such that $d \Vdash^i \neg \varphi^i(G)$. \square

By a pairing argument (if for every $m, n \in \omega$, $m \in A$ or $n \in B$, then $A = \omega$ or $B = \omega$), if a filter \mathcal{F} is sufficiently generic, there is some side i such that for every Σ_1^0 formula $\varphi(G)$, there is some $c \in \mathcal{F}$ such that $c \Vdash^i \varphi(G)$ or $c \Vdash^i \neg \varphi(G)$. We therefore get the following lemma.

Lemma 2.10 For every sufficiently generic filter \mathcal{F} and every set $G^i \in [\mathcal{F}]^i$, there is a side $i < 2$ such that for every Σ_1^0 formula $\varphi(G)$, $\varphi(G^i)$ holds if and only if $c \Vdash^i \varphi(G)$ for some condition $c \in \mathcal{F}$.

In this paper, we generalize the combinatorics of Cholak, Jockusch and Slaman [3] to design a notion of forcing with a forcing question having the right definitional complexity for upper formulas. This generalization involves the development of some new forcing machineries.

2.3. Acceptability classes

The combinatorics of Cholak, Jockusch and Slaman enable one to decide a Σ_1^0 formula relative to the generic set G independently of the set A , by asking whether the Σ_1^0 formula holds over “sufficiently many” finite sets. We make this largeness criterion precise through the notion of acceptability class. Fix an effective enumeration $\mathcal{U}_0, \mathcal{U}_1, \dots$ of all the Σ_1^0 classes upward-closed under the superset relation, that is, if $X \in \mathcal{U}_e$ and $Y \supseteq X$, then $Y \in \mathcal{U}_e$.

Definition 2.11. An *acceptability class* is a collection of sets $\mathcal{A} \subseteq 2^\omega$ such that

- (a) If $X \in \mathcal{A}$ and $Y \supseteq X$, then $Y \in \mathcal{A}$
- (b) For every k -cover Y_0, \dots, Y_{k-1} of ω , there is some $j < k$ such that $Y_j \in \mathcal{A}$.

For example, the collection of all the infinite sets is an acceptability class. Moreover, any superclass of an acceptability class is again an acceptability class. We shall exclusively consider acceptability classes which are countable intersections of Σ_1^0 classes, and which contain only infinite sets. These acceptability classes can be represented by sets of integers C , denoting the class $\bigcap_{e \in C} \mathcal{U}_e$, where $\{\mathcal{U}_e\}_{e \in \omega}$ is a standard enumeration of the Σ_1^0 classes. Let us illustrate how one uses acceptability classes to force Π_2^0 facts.

Definition 2.12. Let $\zeta : \omega \times 2^{<\omega} \times \omega \rightarrow \omega$ be the computable function that takes as a parameter a code for a Δ_0 formula $\Phi_e(G, n, m)$, a string σ and an integer n , and which gives a code for the open set

$$\{X : (\exists \rho \subseteq X - \{0, \dots, |\sigma|\})(\exists m) \neg \Phi_e(\sigma \cup \rho, n, m)\}$$

Fix a Δ_0 formula $\Phi_a(G, n, m)$. Suppose that C is a set of integers such that $\bigcap_{e \in C} \mathcal{U}_e$ is an acceptability class contains only infinite sets, and such that for every finite sequence σ and every n , $\zeta(a, \sigma, n) \in C$.

Fix a set A , and let $A^0 = \bar{A}$ and $A^1 = A$. Since $\bigcap_{e \in C} \mathcal{U}_e$ is an acceptability class, there is some $i < 2$ such that $A^i \in \bigcap_{e \in C} \mathcal{U}_e$. We can then build an infinite subset H of A^i such that $(\forall n)(\exists m) \neg \Phi_a(H, n, m)$ holds by the finite extension method $\sigma_0 \subseteq \sigma_1 \subseteq \dots \subseteq A^i$, letting $H = \bigcup_s \sigma_s$. First, note that A^i must be infinite since $\bigcap_{e \in C} \mathcal{U}_e$ contains only infinite sets. Therefore, given an initial segment $\sigma_s \subseteq A^i$, one can find an extension $\sigma_{s+1} \supseteq \sigma_s$ such that $\#\sigma_{s+1} > \#\sigma_s$. Then, given some $n \in \omega$ and an initial segment $\sigma_s \subseteq A^i$, since $\zeta(a, \sigma_s, n) \in C$, $A^i \in \mathcal{U}_{\zeta(a, \sigma_s, n)}$, so there is some $\rho \subseteq A^i - \{0, \dots, |\sigma_s|\}$ and some $m \in \omega$ such that $\neg \Phi_a(\sigma_s \cup \rho, n, m)$ holds. Letting $\sigma_{s+1} = \sigma_s \rho$, we made some progress to satisfy the Π_2^0 fact $(\forall n)(\exists m) \neg \Phi_a(H, n, m)$.

Before moving to the design of the notions of forcing, we prove two technical lemmas about acceptability classes.

Lemma 2.13 Suppose $\mathcal{A}_0 \supseteq \mathcal{A}_1 \supseteq \dots$ is a decreasing sequence of acceptability classes. Then $\bigcap_s \mathcal{A}_s$ is an acceptability class.

Proof. If $X \in \bigcap_s \mathcal{A}_s$ and $Y \supseteq X$, then for every s , since \mathcal{A}_s is an acceptability class, $Y \in \mathcal{A}_s$, so $Y \in \bigcap_s \mathcal{A}_s$. Let Y_0, \dots, Y_{k-1} be a k -cover of ω . For every $s \in \omega$, there is some $j < k$ such that $Y_j \in \mathcal{A}_s$. By the infinite pigeonhole principle, there is some $j < k$ such that $Y_j \in \mathcal{A}_s$ for infinitely many s . Since $\mathcal{A}_0 \supseteq \mathcal{A}_1 \supseteq \dots$ is a decreasing sequence, $Y_j \in \bigcap_s \mathcal{A}_s$. \square

Lemma 2.14 Let \mathcal{A} be a Σ_1^0 class. The sentence “ \mathcal{A} is an acceptability class” is Π_2^0 .

Proof. Say $\mathcal{A} = \{X : (\exists \sigma \preceq X)\varphi(\sigma)\}$ where φ is a Σ_1^0 formula. By compactness, \mathcal{A} is an acceptability class iff for every σ and τ such that $\sigma \subseteq \tau$ and $\varphi(\sigma)$ holds, $\varphi(\tau)$ holds, and for every k , there is some $n \in \omega$ such that for every $\sigma_0 \cup \dots \cup \sigma_{k-1} = \{0, \dots, n\}$, there is some $j < k$ such that $\varphi(\sigma_j)$ holds. \square

2.4. From Mathias forcing to a second jump control

The notion of forcing used to control the first jump of solutions to the infinite pigeonhole principle is a variant of Mathias forcing, a purely combinatorial notion with no effectiveness restriction on the reservoirs. This notion is essential in the study of Ramsey’s theory. We now review the basic definitions of Mathias forcing, and then describe how to enrich this notion of forcing to have a better second jump control.

Definition 2.15. Let \mathbb{Q}_0 be the set of ordered pairs (σ, X) such that X is infinite and $X \cap \{0, \dots, |\sigma|\} = \emptyset$.

Mathias forcing builds a single object G by approximations (conditions) which consist in an initial segment σ of G , and an infinite reservoir of integers. The purpose of the reservoir is to restrict the set of elements we are allowed to add to the initial segment. The reservoir therefore enriches the standard Cohen forcing by adding an infinitary negative restraint. The denotation of a condition is therefore naturally defined as follows.

Given a condition $p = (\sigma, X) \in \mathbb{Q}_0$, let

$$[\sigma, X] = \{Y \in [\omega]^\omega : \sigma \preceq Y \wedge Y - \{0, \dots, |\sigma|\} \subseteq X\}$$

Definition 2.16. The partial order on \mathbb{Q}_0 is defined by $(\tau, Y) \leq (\sigma, X)$ if $\sigma \preceq \tau$, $Y \subseteq X$ and $\tau - \sigma \subseteq X$.

The following lemma is standard, and expresses that whenever the approximation becomes more precise, then the set of “candidates” decreases.

Lemma 2.17 Suppose $(\tau, Y) \leq (\sigma, X) \in \mathbb{Q}_0$. Then $[\tau, Y] \subseteq [\sigma, X]$.

The forcing relation for Σ_1^0 and Π_1^0 formulas can be defined in a natural way, and has the right definitional complexity (relative to the reservoir), that is, forcing a Σ_1^0 and a Π_1^0 fact is Σ_1^0 and Π_1^0 relative to the reservoir, respectively. The relation can be extended to arbitrary arithmetical formulas by an inductive definition, but then forcing a Π_n^0 formula becomes Π_{n+1}^0 relative to the reservoir. This makes the forcing question for higher formula fail to have a good definitional complexity, even when the reservoir is required to be computable. We refer the reader to Cholak, Dzhafarov, Hirst and Slaman [1] for the study a computable Mathias forcing.

Definition 2.18. Let $\Phi_e(G, n)$ be a Δ_0 formula with free variable m . Let $p = (\sigma, X) \in \mathbb{Q}_0$.

- (a) $p \Vdash (\exists n)\Phi_e(G, n)$ if $(\exists n)\Phi_e(\sigma, n)$
- (b) $p \Vdash (\forall n)\Phi_e(G, n)$ if $(\forall \tau \subseteq X)(\forall n)\Phi_e(\sigma \cup \tau, n)$

Lemma 2.19 Let $\Phi_e(G, n)$ be a Δ_0 formula with free variable n , and let $p \in \mathbb{Q}_0$.

- (a) If $p \Vdash (\exists n)\Phi_e(G, n)$, then $(\exists n)\Phi_e(Y, n)$ holds for every $Y \in [p]$
- (b) If $p \Vdash (\forall n)\neg\Phi_e(G, n)$, then $(\forall n)\neg\Phi_e(Y, n)$ holds for every $Y \in [p]$.

The forcing relation for Σ_2^0 formulas $(\exists n)(\forall m)\Phi_e(G, n, m)$ can be defined with Mathias forcing as $p \Vdash (\exists n)(\forall m)\Phi_e(G, n, m)$ iff $(\exists n)p \Vdash (\forall m)\Phi_e(G, n, m)$, and has the right definitional properties. The issue comes when considering Π_2^0 formulas $(\forall n)(\exists m)\neg\Phi_e(G, n, m)$. Forcing a

Π_2^0 fact can be seen as a promise to satisfy a countable collection of Σ_1^0 facts. Since forcing a Σ_1^0 fact usually requires to take an extension, we cannot force all the Σ_1^0 facts simultaneously. A Π_2^0 fact is then forced if whatever the further stage of the construction, it will always be possible to make some progress by forcing one more Σ_1^0 fact.

In the case of Mathias forcing, the notion of reservoir is too permissive, and it is not possible to talk about the extensions of a condition with a definitionally simple formula. We will therefore enrich the notion of Mathias condition to add some restrictions on the reservoir, so that the extensions can be described in a simpler way.

Definition 2.20. Let \mathbb{Q}_1 be the set of tuples (σ, X, C, U) such that

- (a) $X \cap \{0, \dots, |\sigma|\} = \emptyset$
- (b) $\bigcap_{e \in C} \mathcal{U}_e$ is an acceptability class containing only infinite sets
- (c) $X \cap U \in \bigcap_{e \in C} \mathcal{U}_e$.

One can think of a condition (σ, X, C, U) as a Mathias condition $(\sigma, X \cap U)$ with a set C denoting an acceptability class $\bigcap_{e \in C} \mathcal{U}_e$ which will impose some constraints on the nature of the reservoirs. This view is reflected through the denotation of a condition. Given a condition $p = (\sigma, X, C, U) \in \mathbb{Q}_1$, let

$$[\sigma, X, C, U] = [\sigma, X \cap U]$$

From a purely combinatorial viewpoint, the two reservoirs X and U of a condition (σ, X, C, U) could have been merged, yielding a notion of forcing with 3-tuples (σ, X, C) . The reservoirs are kept split-up for effectiveness restrictions reasons, which will become clear in section 3. Indeed, the reservoir X is responsible for forcing Π_1^0 facts, while the reservoir U will force (together with C) Π_2^0 facts. When considering effective forcing, we shall see that U will be “one jump up” of X . For example, X can be taken to be of low degree, while U will be low over \emptyset' . Since X will solely be responsible for forcing Π_1^0 fact, we shall relate a condition (σ, X, C, U) with the Mathias condition (σ, X) .

Lemma 2.21 Suppose $(\sigma, X, C, U) \in \mathbb{Q}_1$. Then

- (a) $(\sigma, X) \in \mathbb{Q}_0$
- (b) $[\sigma, X, C, U] \subseteq [\sigma, X]$

Proof. (a) Since $X \cap U \in \bigcap_e \mathcal{U}_e$ and $\bigcap_e \mathcal{U}_e$ contains only infinite sets, then $X \cap U$ is infinite. In particular, X is infinite. Moreover, $X \cap \{0, \dots, |\sigma|\} = \emptyset$. Therefore $(\sigma, X) \in \mathbb{Q}_0$. (b) Since $(\sigma, X \cap U) \leq (\sigma, U)$ as a Mathias condition, by Lemma 2.17, $[\sigma, X, C, U] \subseteq [\sigma, X]$. \square

In particular, if $(\sigma, X) \Vdash (\exists n)\Phi_e(G, n)$ (resp. $(\sigma, X) \Vdash (\forall n)\Phi_e(G, n)$), then $(\exists n)\Phi_e(Y, n)$ (resp. $(\forall n)\Phi_e(Y, n)$) holds for every $Y \in [\sigma, X, C, U]$.

Definition 2.22. The partial order on \mathbb{Q}_1 is defined by $(\tau, Y, D, V) \leq (\sigma, X, C, U)$ if $\sigma \preceq \tau$, $Y \subseteq X$, $V \subseteq U$, $C \subseteq D$ and $\tau - \sigma \subseteq X \cap U$.

From the definition of a forcing condition (σ, X, C, U) , and especially from the constraint that $X \cap U \in \bigcap_{e \in C} \mathcal{U}_e$, it is not clear at all that there exists infinite decreasing sequences of conditions with non-trivial reservoirs, that is, with $X \cap U$ being coinfinite. In general, being a valid condition is not even closed under removing finitely elements from the reservoirs. Indeed, if $X \cap U \in \bigcap_{e \in C} \mathcal{U}_e$ and $Y \subseteq X$ is cofinite in X , then it might be that $Y \cap U \notin \bigcap_{e \in C} \mathcal{U}_e$. Thankfully, since $\bigcap_{e \in C} \mathcal{U}_e$ is an acceptability class, we shall see in section 3 that by carefully choosing our reservoirs, we will be able to apply some basic operations on them and keep having valid conditions.

Lemma 2.23 Suppose $(\tau, Y, D, V) \leq (\sigma, X, C, U) \in \mathbb{Q}_1$. Then

- (a) $[\tau, Y, D, V] \subseteq [\sigma, X, C, U]$
- (b) $(\tau, Y) \leq (\sigma, X)$

Proof. (a) Since $Y \subseteq X$, $V \subseteq U$, then $Y \cap V \subseteq X \cap U$. Since $\sigma \preceq \tau$ and $\tau - \sigma \subseteq X \cap U$, then $(\tau, Y \cap V) \leq (\sigma, X \cap U)$. Therefore $[\tau, Y, D, V] \subseteq [\sigma, X, C, U]$. (b) Immediate since $(\tau, Y \cap V) \leq (\sigma, X \cap U)$ and $Y \subseteq X$. \square

We now define the forcing relation for Σ_2^0 formulas and Π_2^0 formulas. In the case of Σ_2^0 formulas, this coincides with the forcing relation for Σ_2^0 formulas over Mathias forcing. The the case of Π_2^0 formulas is new, and is justified by our explanations about the combinatorics of acceptability classes. Recall the function ζ of Definition 2.12.

Definition 2.24. Let $\Phi_e(G, n, m)$ be a Δ_0 formula with free variables m and n . Let $p = (\sigma, X, C, U) \in \mathbb{Q}_1$.

- (a) $p \Vdash (\exists n)(\forall m)\Phi_e(G, n, m)$ if $(\exists n)(\forall \tau \subseteq X)(\forall m)\Phi_e(\sigma \cup \tau, n, m)$
- (b) $p \Vdash (\forall n)(\exists m)\neg\Phi_e(G, n, m)$ if $(\forall \rho \subseteq X \cap U)(\forall n)\zeta(e, \sigma \cup \rho, n) \in C$

Lemma 2.25 Let $\Phi_e(G, n, m)$ be a Δ_0 formula with free variables m and n . Let $p, q \in \mathbb{Q}_1$ be such that $q \leq p$.

- (a) If $p \Vdash (\exists n)(\forall m)\Phi_e(G, n, m)$ then $q \Vdash (\exists n)(\forall m)\Phi_e(G, n, m)$
- (b) If $p \Vdash (\forall n)(\exists m)\neg\Phi_e(G, n, m)$ then $q \Vdash (\forall n)(\exists m)\neg\Phi_e(G, n, m)$

Proof. Say $p = (\sigma, X, C, U)$ and $q = (\tau, Y, D, V)$

- (a) Since $p \Vdash (\exists n)(\forall m)\Phi_e(G, n, m)$, then there is some n such that $(\sigma, X) \Vdash (\forall m)\Phi_e(G, n, m)$. By Lemma 2.23, $(\tau, Y) \leq (\sigma, X)$, so $(\tau, Y) \Vdash (\forall m)\Phi_e(G, n, m)$, hence $q \Vdash (\exists n)(\forall m)\Phi_e(G, n, m)$.
- (b) Let $\rho = \tau - \sigma$. By definition of $q \leq p$, $\rho \subseteq X \cap U$. Let $\rho_1 \subseteq Y \cap V$. In particular, $\rho \cup \rho_1 \subseteq X \cap U$. By definition of $p \Vdash (\forall n)(\exists m)\neg\Phi_e(G, n, m)$, for every n , $\zeta(e, \sigma \cup \rho \cup \rho_1, n) \in C \subseteq D$. So $\zeta(e, \tau \cup \rho_1, n) \in D$. \square

We now define the notion of genericity which will be sufficient to prove the main property of the forcing relation, that is, whenever a formula is forced, then it will hold over the generic set.

Definition 2.26. A \mathbb{Q}_1 -filter \mathcal{F} is *2-generic* if for every Σ_2^0 formula $\varphi(G)$, there is some $p \in \mathcal{F}$ such that $p \Vdash \varphi(G)$ or $p \Vdash \neg\varphi(G)$.

As explained above, it is not clear at all that 2-generic \mathbb{Q}_1 -filters exist. Their existence will be proven in section 3.

Lemma 2.27 Let \mathcal{F} be a 2-generic \mathbb{Q}_1 -filter, and $\Phi_e(G, n, m)$ be a Δ_0 formula with free variables m and n . If $p \Vdash (\forall n)(\exists m)\neg\Phi_e(G, n, m)$ for some $p \in \mathcal{F}$, then for every $n \in \omega$, there is some $q = (\tau, Y, D, V) \in \mathcal{F}$ such that $(\tau, Y) \Vdash (\exists m)\neg\Phi_e(G, n, m)$.

Proof. Fix $n \in \omega$, and let $\Phi_u(G, a, b) = \neg\Phi_e(G, n, a)$. Since \mathcal{F} is a 2-generic filter, there is some $q = (\tau, Y, D, V) \in \mathcal{F}$ such that

$$q \Vdash (\exists a)(\forall b)\Phi_u(G, a, b) \text{ or } q \Vdash (\forall a)(\exists b)\neg\Phi_u(G, a, b)$$

Suppose first $q \Vdash (\exists a)(\forall b)\Phi_u(G, a, b)$. Then in particular $(\tau, Y) \Vdash (\exists a)\neg\Phi_e(G, n, a)$ and we are done. Suppose now $q \Vdash (\forall a)(\exists b)\neg\Phi_u(G, a, b)$. Since \mathcal{F} is a filter, we can assume that $q \leq p$. In particular, $q \Vdash (\forall n)(\exists m)\neg\Phi_e(G, n, m)$, so $\zeta(e, \tau, n) \in D$. Since $Y \cap V \in \bigcap_{e \in D} \mathcal{U}_e$, then $Y \cap V \in \mathcal{U}_{\zeta(e, \tau, n)}$. Therefore, there is some $\rho \subseteq Y \cap V - \{0, \dots, |\tau|\}$ and some $m \in \omega$ such that $\neg\Phi_e(\tau \cup \rho, n, m)$ holds. Since $q \Vdash (\forall a)(\exists b)\neg\Phi_u(G, a, b)$, $\zeta(u, \tau \cup \rho, m) \in D$, so $Y \cap V \in \mathcal{U}_{\zeta(u, \tau \cup \rho, m)}$. Therefore, there is some $\mu \subseteq Y \cap V - \{0, \dots, |\tau \cup \rho|\}$ such that $\Phi_e(\tau \cup \rho \cup \mu, n, m)$ holds. This contradicts $\neg\Phi_e(\tau \cup \rho, n, m)$. \square

Lemma 2.28 Let \mathcal{F} be a 2-generic \mathbb{Q}_1 -filter. Then there is some $p \in \mathcal{F}$ such that $p \Vdash (\forall n)(\exists m)[m > n \wedge m \in G]$.

Proof. Let $\Phi_e(G, n, m) \equiv [m \leq n \vee m \notin G]$. Since \mathcal{F} is a 2-generic filter, there is some $p = (\sigma, X, C, U) \in \mathcal{F}$ such that

$$p \Vdash (\exists n)(\forall m)\Phi_e(G, n, m) \text{ or } p \Vdash (\forall n)(\exists m)\neg\Phi_e(G, n, m)$$

Suppose for the sake of contradiction that the first case holds. Then $(\exists n)(\forall \rho \subseteq X)(\forall m)[m \leq n \vee m \notin \sigma \cup \tau]$. This is impossible since X is infinite. \square

Given a collection $\mathcal{F} \subseteq \mathbb{Q}_1$, we let $G_{\mathcal{F}} = \bigcup\{\sigma : (\sigma, X, C, U) \in \mathcal{F}\}$.

Lemma 2.29 Let \mathcal{F} be a 2-generic \mathbb{Q}_1 -filter. Let $\Phi_e(G, n, m)$ be a Δ_0 formula with free variables m and n , and let $p \in \mathcal{F}$.

- (a) If $p \Vdash (\exists n)(\forall m)\Phi_e(G, n, m)$, then $(\exists n)(\forall m)\Phi_e(G_{\mathcal{F}}, n, m)$ holds.
- (b) If $p \Vdash (\forall n)(\exists m)\neg\Phi_e(G, n, m)$, then $(\forall n)(\exists m)\neg\Phi_e(G_{\mathcal{F}}, n, m)$ holds.

Proof. Say $p = (\sigma, X, C, U)$. We first prove (b). Fix some n . By Lemma 2.27, there is some $q = (\tau, Y, D, V) \in \mathcal{F}$ such that $(\tau, Y) \Vdash (\exists m)\neg\Phi_e(G, n, m)$. By Lemma 2.19(a) $(\exists m)\neg\Phi_e(G_{\mathcal{F}}, n, m)$ holds. We now prove (a). For this, we claim that $G_{\mathcal{F}} \in [p]$. Indeed, by (b) and Lemma 2.28, $G_{\mathcal{F}}$ is infinite. Moreover, $\sigma \preceq G_{\mathcal{F}}$, and for every $\rho \subseteq G_{\mathcal{F}} - \sigma$, there is some $q = (\tau, Y, D, V) \in \mathcal{F}$ with $q \leq p$ such that $\rho \subseteq \tau$. In particular, $\rho \subseteq X \cap U$, so $G_{\mathcal{F}} \in [p]$. By Lemma 2.21(b), $G_{\mathcal{F}} \in [\sigma, X]$. Since $p \Vdash (\exists n)(\forall m)\Phi_e(G, n, m)$, there is some $n \in \omega$ such that $(\sigma, X) \Vdash (\forall m)\Phi_e(G, n, m)$. By Lemma 2.19(b), $(\forall m)\Phi_e(G_{\mathcal{F}}, n, m)$ holds. \square

Lemma 2.30 Let \mathcal{F} be a 2-generic \mathbb{Q}_1 -filter. Then $G_{\mathcal{F}}$ is infinite.

Proof. Immediate by Lemma 2.28 and Lemma 2.29. \square

3. PIGEONHOLE FORCING

We now design the actual notion of forcing used to construct solutions to the infinite pigeonhole principle. It can be seen as a tree version of the \mathbb{Q}_1 -forcing, with some effectiveness restrictions on the conditions. Let $\mathcal{M} \models \text{WKL}$ be a countable Turing ideal, and let $A^0 \cup A^1 = \omega$.

Definition 3.1. Let \mathbb{P}_1 denote the set of conditions $(\sigma_s^0, \sigma_s^1, X_s, C, U_s : s < k)$ such that

- (a) $\sigma_s^i \subseteq A^i$ for every $s < k$
- (b) $X_s \cap \{0, \dots, \max_i |\sigma_s^i|\} = \emptyset$ for every $s < k$
- (c) $X_0 \cap U_0, \dots, X_{k-1} \cap U_{k-1}$ is a k -cover of $\omega - \{0, \dots, \max_{i,s} |\sigma_s^i|\}$
- (d) $\bigcap_{e \in C} \mathcal{U}_e$ is an acceptability class containing only infinite sets
- (e) $\vec{X}', \vec{U}, C \in \mathcal{M}$

A Turing ideal $\mathcal{M} = \{X_0, X_1, \dots\}$ is *countable coded* by a set B if $B = \bigoplus_i X_i$. An *index* of some $Z \in \mathcal{M}$ is then some $i \in \omega$ such that $Z = X_i$. Thanks to the notion of index, any \mathbb{P}_1 -condition can be finitely presented as follows. An *index* of a \mathbb{P}_1 -condition $c = (\sigma_s^0, \sigma_s^1, X_s, C, U_s : s < k)$ is a tuple $(\sigma_s^0, \sigma_s^1, a_s, b, e_s : s < k)$ where a_s is an index for X_s , b an index for C and e_s is an index for U_s . Note that the existence of these indices is ensured by property (e) of Definition 3.1.

Definition 3.2. The partial order on \mathbb{P}_1 is defined by

$$(\tau_s^0, \tau_s^1, Y_s, D, V_s : s < \ell) \leq (\sigma_s^0, \sigma_s^1, X_s, C, U_s : s < k)$$

if there is a function $f : \ell \rightarrow k$ such that for every $i < 2$ and $s < \ell$, $\sigma_{f(s)}^i \preceq \tau_s^i$, $Y_s \subseteq X_{f(s)}$, $V_s \subseteq U_{f(s)}$, $C \subseteq D$ and $\tau_s^i - \sigma_{f(s)}^i \subseteq X_{f(s)} \cap U_{f(s)}$.

We can think of the \mathbb{P}_1 -forcing as a tree version of the \mathbb{Q}_1 -forcing. Given a \mathbb{P}_1 -condition $c = (\sigma_s^0, \sigma_s^1, X_s, C, U_s : s < k)$, each $s < k$ will be referred to as a *branch* of c . Each branch s represents two candidate \mathbb{Q}_1 -conditions $c^{[0,s]} = (\sigma_s^0, X_s, C, U_s)$ and $c^{[1,s]} = (\sigma_s^1, X_s, C, U_s)$. Actually, they will not be true \mathbb{Q}_1 -conditions in general, since there is no reason why $X_s \cap U_s$ would belong to $\bigcap_{e \in C} \mathcal{U}_e$. For example, $X_s \cap U_s$ might be finite. We shall however see in Lemma 3.4 that there must be at least one branch s such that $c^{[0,s]}, c^{[1,s]} \in \mathbb{Q}_1$.

The notion of condition extension enables to fork branches, according to the function f witnessing the extension. We write $d \leq_f c$ if $d \leq c$ is witnessed by the function f . We say that the branch t of d *refines* the branch s of c if $f(t) = s$. We are interested in two particular kinds of extensions: the ones which do not fork any branch, and the ones which fork exactly one branch. Given a condition $c = (\sigma_s^0, \sigma_s^1, X_s, C, U_s : s < k) \in \mathbb{P}_1$, a *simple extension* of c is a condition $d \leq_f c$ such that f is the identity function. An *s-extension* of c is a condition $d \leq_f c$ such that $f^{-1}(t)$ is a singleton for every $t \neq s$.

3.1. Validity and projections

We now develop the framework which relates \mathbb{P}_1 -forcing to \mathbb{Q}_1 -forcing. Informally, a branch s of a condition $c = (\sigma_s^0, \sigma_s^1, X_s, C, U_s : s < k) \in \mathbb{P}_1$ is a good candidate if either $c^{[0,s]}, c^{[1,s]} \in \mathbb{Q}_1$ is a valid \mathbb{Q}_1 -condition.

Definition 3.3. Let $c = (\sigma_s^0, \sigma_s^1, X_s, C, U_s : s < k)$ be a \mathbb{P}_1 -condition. A branch s is *valid* in c if $X_s \cap U_s \in \bigcap_{e \in C} \mathcal{U}_e$.

From the discussion above, it should be clear that if a branch s is valid in c , then $c^{[0,s]}, c^{[1,s]} \in \mathbb{Q}_1$. We first prove that valid branches exist.

Lemma 3.4 Every \mathbb{P}_1 -condition has a valid branch.

Proof. Let $c = (\sigma_s^0, \sigma_s^1, X_s, C, U_s : s < k) \in \mathbb{P}_1$. Suppose for the sake of contradiction that for every $s < k$, $X_s \cap U_s \notin \bigcap_{e \in C} \mathcal{U}_e$. Let $u \in \omega$ be large enough so that $\{X_s \cap U_s : s < k\} \cup \{\{0, \dots, u\}\}$ is a cover of ω . This cover contradicts the fact that $\bigcap_{e \in C} \mathcal{U}_e$ is an acceptability class containing only infinite sets. \square

Thanks to compactness, being a valid branch is not definitionally too complex. In particular, if we work within a Turing ideal countable coded by a set B such that $B' \leq \emptyset''$, then being a valid branch is Π_3^0 .

Lemma 3.5 Let c be a \mathbb{P}_1 -condition. The sentence ‘‘The branch s is valid in c ’’ is $\Pi_2^0(\mathcal{M})$.

Proof. Say $c = (\sigma_s^0, \sigma_s^1, X_s, C, U_s : s < k)$. Then s is valid in c if for every $n \in C$, there is a finite set $E \subseteq X_s \cap U_s$ such that $E \in \bigcap_{e \in C, e < n} \mathcal{U}_e$. The sentence is $\Pi_2^0(C \oplus X_s \oplus U_s)$, hence $\Pi_2^0(\mathcal{M})$. \square

By upward-closure of the notion of acceptability class, if a branch t of a \mathbb{P}_1 -condition d is valid, and $d \leq_f c$, then the branch $f(t)$ of c is also valid. Therefore, given an infinite decreasing sequence of conditions, the valid branches form an infinite subtree.

Lemma 3.6 Suppose $d \leq_f c \in \mathbb{P}_1$ and that $d^{[i,s]} \in \mathbb{Q}_1$. Then

- (a) $c^{[i,f(s)]} \in \mathbb{Q}_1$
- (b) $d^{[i,s]} \leq c^{[i,f(s)]}$.

Proof. Say $c = (\sigma_s^0, \sigma_s^1, X_s, C, U_s : s < k)$ and $d = (\tau_s^0, \tau_s^1, Y_s, D, V_s : s < \ell)$.

(a) $X_s \cap \{0, \dots, |\sigma_s^i|\} = \emptyset$ and $\bigcap_{e \in C} \mathcal{U}_e$ is an acceptability class containing only infinite sets. We need to check that $X_{f(s)} \cap U_{f(s)} \in \bigcap_{e \in C} \mathcal{U}_e$. By assumption, $Y_s \cap V_s \in \bigcap_{e \in D} \mathcal{U}_e$. Since $Y_s \subseteq X_{f(s)}$ and $V_s \subseteq U_{f(s)}$, then $X_{f(s)} \cap U_{f(s)} \in \bigcap_{e \in D} \mathcal{U}_e$. Moreover, $C \subseteq D$, so $\bigcap_{e \in D} \mathcal{U}_e \subseteq \bigcap_{e \in C} \mathcal{U}_e$, and we are done.

(b) This is immediate by definition of the extension relation of \mathbb{P}_1 . \square

We now define the notion of projector, which in the context of an infinite decreasing sequence of \mathbb{P}_1 -conditions, corresponds to an infinite path through the tree of valid branches.

Definition 3.7. Let $\mathcal{F} \subseteq \mathbb{P}_1$ be a collection. An \mathcal{F} -*projector* is a function $P : \mathcal{F} \rightarrow \omega$ such that

- (a) The branch $P(c)$ is valid in c for every $c \in \mathcal{F}$
- (b) If $d \leq_f c \in \mathcal{F}$ then $P(c) = f(P(d))$.

According to our notation, we write $\mathcal{F}^{[i,P]} = \{c^{[i,P(c)]} : c \in \mathcal{F}\}$. An \mathcal{F} -projection is a collection $\mathcal{F}^{[i,P]} = \{c^{[i,P(c)]} : c \in \mathcal{F}\}$ for some \mathcal{F} -projector P .

3.2. The forcing question

We now design a disjunctive forcing question to control the second jump, in the same spirit as the one designed by Cholak, Jockusch and Slaman [3] to control the first jump. Given a branch s of a condition c and two Σ_2^0 formulas $\varphi_0(G)$ and $\varphi_1(G)$, we define a $\Sigma_1^0(\mathcal{M})$ relation $c \text{ ?}\vdash_s \varphi_0(G) \vee \varphi_1(G)$, such that

- If $c \text{ ?}\vdash_s \varphi_0(G) \vee \varphi_1(G)$, then there is an extension d such that for every projector P going through the branch s of c ($P(c) = s$), then $d^{[i,P(d)]} \Vdash \varphi_i(G)$ for some $i < 2$.
- If $c \text{ ?}\not\vdash_s \varphi_0(G) \vee \varphi_1(G)$, then there is an extension d such that for every projector P going through the branch s of c ($P(c) = s$), then $d^{[i,P(d)]} \Vdash \neg \varphi_i(G)$ for some $i < 2$.

Fix a sufficiently generic \mathbb{P}_1 -filter \mathcal{F} and an \mathcal{F} -projector P . By a pairing argument and using the disjunctive forcing question, there must be a side $i < 2$ such that $\mathcal{F}^{[i,P]}$ is 2-generic.

Definition 3.8. Let $c = (\sigma_s^0, \sigma_s^1, X_s, C, U_s : s < k) \in \mathbb{P}_1$, $s < k$, and let $\Phi_{e_0}(G, n, m)$ and $\Phi_{e_1}(G, n, m)$ be two Δ_0 formulas. Define the relation

$$c \text{ ?}\vdash_s (\exists n)(\forall m)\Phi_{e_0}(G, n, m) \vee (\exists n)(\forall m)\Phi_{e_1}(G, n, m)$$

to hold if for every $Z^0 \cup Z^1 = U_s$, there is some $i < 2$, some finite set $F \subseteq C$, some $\rho_0, \dots, \rho_{a-1} \subseteq X_s \cap Z^i$ and $n_0, \dots, n_{a-1} \in \omega$ such that $\bigcap_{e \in F} \mathcal{U}_e \bigcap_{j < a} \mathcal{U}_{\zeta(e_i, \sigma_s^i \cup \rho_j, n_j)}$ is not an acceptability class.

Lemma 3.9 Let s be a branch in $c \in \mathbb{P}_1$ and let $\Phi_{e_0}(G, n, m)$ and $\Phi_{e_1}(G, n, m)$ be two Δ_0 formulas. The relation

$$c \text{ ?}\vdash_s (\exists n)(\forall m)\Phi_{e_0}(G, n, m) \vee (\exists n)(\forall m)\Phi_{e_1}(G, n, m)$$

is $\Sigma_1^0(\mathcal{M})$.

Proof. By compactness, the relation holds if there is a finite set $E \subseteq U_s$ such that for every $E_0 \cup E_1 = E$, there is some $i < 2$, some finite set $F \subseteq C$, some $\rho_0, \dots, \rho_{a-1} \subseteq X_s \cap E_i$ and $n_0, \dots, n_{a-1} \in \omega$ such that $\bigcap_{e \in F} \mathcal{U}_e \bigcap_{j < a} \mathcal{U}_{\zeta(e_i, \sigma_s^i \cup \rho_j, n_j)}$ is not an acceptability class. By Lemma 2.14, not being an acceptability class is Σ_2^0 . The overall relation is $\Sigma_1^0(C \oplus U_s \oplus X_s \oplus \emptyset')$, hence is $\Sigma_1^0(\mathcal{M})$. \square

Lemma 3.10 Let s be a branch in $c \in \mathbb{P}_1$ and let $\Phi_{e_0}(G, n, m)$ and $\Phi_{e_1}(G, n, m)$ be two Δ_0 formulas.

- (a) If $c \text{ ?}\vdash_s (\exists n)(\forall m)\Phi_{e_0}(G, n, m) \vee (\exists n)(\forall m)\Phi_{e_1}(G, n, m)$, then there is an s -extension $d \leq_f c$ such that for every valid branch t in d for which $f(t) = s$, there is some $i < 2$ such that $d^{[i,t]} \Vdash (\exists n)(\forall m)\Phi_{e_i}(G, n, m)$.
- (b) If $c \text{ ?}\not\vdash_s (\exists n)(\forall m)\Phi_{e_0}(G, n, m) \vee (\exists n)(\forall m)\Phi_{e_1}(G, n, m)$, then there is an s -extension $d \leq_f c$ such that for every valid branch t in d for which $f(t) = s$, there is some $i < 2$ such that $d^{[i,t]} \Vdash (\forall n)(\exists m)\neg \Phi_{e_i}(G, n, m)$.

Moreover, an index of d can be found $A \oplus \mathcal{M}$ -uniformly in an index of c , s , e_0 and e_1 and the knowledge of which case holds.

Proof. Say $c = (\sigma_s^0, \sigma_s^1, X_s, C, U_s : s < k)$.

(a) Let $Z^0 = U_s \cap A^0$ and $Z^1 = U_s \cap A^1$. Unfolding the definition of the forcing question, there is some $i < 2$, some finite set $F \subseteq C$, some $\rho_0, \dots, \rho_{a-1} \subseteq X_s \cap U_s \cap A^i$ and $n_0, \dots, n_{a-1} \in \omega$ such that $\bigcap_{e \in F} \mathcal{U}_e \bigcap_{j < a} \mathcal{U}_{\zeta(e_i, \sigma_s^i \cup \rho_j, n_j)}$ is not an acceptability class. Given $\ell \in \omega$, let \mathcal{C}_ℓ be the Π_1^{0, X_s} class of all ℓ -covers of ω $R_0, \dots, R_{\ell-1}$ such that for every $t < \ell$, $R_t \notin \bigcap_{e \in F} \mathcal{U}_e \bigcap_{j < a} \mathcal{U}_{\zeta(e_i, \sigma_s^i \cup \rho_j, n_j)}$. By assumption, $\mathcal{C}_\ell \neq \emptyset$ for some $\ell \in \omega$. By the low basis theorem, pick some ℓ -cover of ω $R_0, \dots, R_{\ell-1}$ in \mathcal{C}_ℓ which is low over X_s .

Define the \mathbb{P}_1 -condition $d = (\tau_s^0, \tau_s^1, Y_s, C, V_s : s < k + \ell - 1)$ obtained from c by splitting the branch s into ℓ branches $s_0, \dots, s_{\ell-1}$, and leaving the other branches unchanged. For each

$t < \ell$, let $V_{s_t} = U_s$, $Y_{s_t} = X_s \cap R_t$, $\tau_{s_t}^{1-i} = \sigma_s^{1-i}$, and $\tau_{s_t}^i \succeq \sigma_s^i$ is such that $R_t \notin \mathcal{U}_{\zeta(e_i, \tau_{s_t}^i, n)}$ for some n , or $R_t \notin \mathcal{U}_e$ for some $e \in C$.

The \mathbb{P}_1 -condition d is by construction an s -extension of c . We now claim that for every valid branch s_t in d , $d^{[i, s_t]} \Vdash (\exists n)(\forall m)\Phi_{e_i}(G, n, m)$. Since s_t is valid in d , $X_s \cap R_t \cap U_s \in \bigcap_{e \in C} \mathcal{U}_e$. In particular, $R_t \in \bigcap_{e \in C} \mathcal{U}_e$, so by choice of $\tau_{s_t}^i$, $R_t \notin \mathcal{U}_{\zeta(e_i, \tau_{s_t}^i, n)}$ for some n . Unfolding the definition, $(\forall \tau \subseteq R_t)(\forall m)\Phi_e(\tau_{s_t}^i \cup \tau, n, m)$, so $d^{[i, s_t]} \Vdash (\exists n)(\forall m)\Phi_{e_i}(G, n, m)$.

(b) Let \mathcal{D} be the $\Pi_1^0(\mathcal{M})$ class of all $Z^0 \oplus Z^1$ such that $Z^0 \cup Z^1 = U_s$ such that for every $i < 2$, every finite set $F \subseteq C$, every $\rho_0, \dots, \rho_{a-1} \subseteq X_s \cap Z^i$ and every $n_0, \dots, n_{a-1} \in \omega$, $\bigcap_{e \in F} \mathcal{U}_e \bigcap_{j < a} \mathcal{U}_{\zeta(e_i, \sigma_s^i \cup \rho_j, n_j)}$ is an acceptability class. Since $\mathcal{M} \models \text{WKL}$, there is some $Z^0 \oplus Z^1 \in \mathcal{D} \cap \mathcal{M}$. Let $D = C \cup \{\zeta(e_i, \sigma_s^i \cup \rho, n) : i < 2, \rho \subseteq X_s \cap Z^i, n \in \omega\}$.

We claim that $\bigcap_{e \in D} \mathcal{U}_e$ is an acceptability class. Indeed, suppose not. Then there is an ℓ -cover $R_0, \dots, R_{\ell-1}$ of ω such that $R_j \notin \bigcap_{e \in D} \mathcal{U}_e$ for every $j < \ell$. Then, there is a finite set $F \subseteq C$ and some $\rho_0, \dots, \rho_{a-1} \subseteq X_s \cap Z^i$ and $n_0, \dots, n_{a-1} \in \omega$ such that for every $j < \ell$, $R_j \notin \bigcap_{e \in F} \mathcal{U}_e \bigcap_{j < a} \mathcal{U}_{\zeta(e_i, \sigma_s^i \cup \rho_j, n_j)}$. This contradicts the assumption that $Z^0 \oplus Z^1 \in \mathcal{D}$.

Define the \mathbb{P}_1 -condition $d = (\tau_s^0, \tau_s^1, Y_s, D, V_s : s < k+1)$ obtained from c by splitting the branch s into 2 branches s_0, s_1 , and leaving the other branches unchanged. For each $i < 2$, let $Y_{s_i} = X_s$, $V_{s_i} = V \cap Z^i$, $\tau_{s_0}^0 = \sigma_s^0$ and $\tau_{s_1}^1 = \sigma_s^1$. For each $i < 2$, if s_i is valid in d , then $d^{[i, s_i]} \Vdash (\forall n)(\exists m)\Phi_{e_i}(G, n, m)$. This completes the proof of the lemma. \square

Lemma 3.11 Let \mathcal{F} be a sufficiently generic \mathbb{P}_1 -filter, and let P be an \mathcal{F} -projector. There is some $i < 2$ such that $\mathcal{F}^{[i, P]}$ is a 2-generic \mathbb{Q}_1 -filter.

Proof. By Lemma 3.10, for every \mathbb{P}_1 -condition $c \in \mathcal{F}$ and every pair of Σ_2^0 formulas $\varphi_0(G), \varphi_1(G)$, there is a $P(c)$ -extension d of c such that for every valid branch t in d refining the branch $P(c)$ of c , $d^{[i, t]} \Vdash \varphi_i(G)$ or $d^{[i, t]} \Vdash \neg \varphi_i(G)$ for some $i < 2$. Since \mathcal{F} is sufficiently generic, there is such an extension $d \in \mathcal{F}$, and since $P(d)$ is valid in d and refines the branch $P(c)$ of c , $d^{[i, P(d)]} \Vdash \varphi_i(G)$ or $d^{[i, P(d)]} \Vdash \neg \varphi_i(G)$ for some $i < 2$. By a pairing argument, there is some $i < 2$ such that for every Σ_2^0 formula $\varphi(G)$, there is some $c \in \mathcal{F}$ such that $c^{[i, P(c)]} \Vdash \varphi(G)$ or $c^{[i, P(c)]} \Vdash \neg \varphi(G)$. Therefore $\mathcal{F}^{[i, P]}$ is 2-generic. Since P is an \mathcal{F} -projector, $\mathcal{F}^{[i, P]} \subseteq \mathbb{Q}_1$. Since \mathcal{F} is a filter, by Lemma 3.6, so is $\mathcal{F}^{[i, P]}$. \square

4. APPLICATIONS

In this section, we apply the framework developed in section 3 to derive our main theorems.

4.1. Preservation of non- Σ_2^0 definitions

Our first application shows the existence, for every instance of the pigeonhole principle, of a solution which does not collapse the definition of a non- Σ_2^0 set into a Σ_2^0 one. This corresponds to preservation of 1 non- Σ_2^0 definition, following the terminology of Wang [34].

Theorem 4.1 Fix a non- Σ_2^0 set B . For every set A , there is an infinite set $H \subseteq A$ or $H \subseteq \overline{A}$ such that B is not $\Sigma_2^{0, H}$.

Fix B and A , and let $A^0 = \overline{A}$ and $A^1 = A$. By Wang [34, Theorem 3.6.], there is a countable Turing ideal $\mathcal{M} \models \text{WKL}$ such that B is not $\Sigma_1^0(\mathcal{M})$ and $\emptyset' \in \mathcal{M}$. We build our infinite set by the notion of forcing \mathbb{P}_1 within the Turing ideal \mathcal{M} . Fix an enumeration $\varphi_0(G, n), \varphi_1(G, n)$ of all Σ_2^0 formulas with one set parameter G and one integer parameter n .

Lemma 4.2 Let \mathcal{F} be a sufficiently generic \mathbb{P}_1 -filter and P be an \mathcal{F} -projector. For every pair of Σ_2^0 formulas $\varphi_0(G, n)$ and $\varphi_1(G, n)$, there is some $i < 2$ and some $p \in \mathcal{F}^{[i, P]}$ such that

$$(\exists n \notin B)p \Vdash \varphi_i(G, n) \vee (\exists n \in B)p \Vdash \neg \varphi_i(G, n)$$

Proof. Fix some $c \in \mathcal{F}$, and let $s = P(c)$. Let $W = \{n : c \text{ ?}\vdash_s \varphi_0(G, n) \vee \varphi_1(G, n)\}$. By Lemma 3.9, the set W is Σ_2^0 , therefore $W \neq B$. Let $n \in W \Delta B = (W - B) \cup (B - W)$. We have two cases.

Case 1: $n \in W - B$, then $c \text{ ?}\vdash_s \varphi_0(G, n) \vee \varphi_1(G, n)$. By Lemma 3.10(a), there is an s -extension d of c such that for every valid branch t of d refining the branch s of c , $d^{[i,t]} \Vdash \varphi_i(G, n)$ for some $i < 2$.

Case 2: $n \in B - W$, then $c \text{ ?}\not\vdash_s \varphi_{e_0}(G, n) \vee \varphi_{e_1}(G, n)$. By Lemma 3.10(b), there is an s -extension d of c such that for every valid branch t of d refining the branch s of c , $d^{[i,t]} \Vdash \neg \varphi_i(G, n)$ for some $i < 2$.

By genericity of \mathcal{F} , there is such an extension $d \in \mathcal{F}$. Let $P(d) = t$. Since t is valid in d , then either $n \notin B$ and $d^{[i,t]} \Vdash \varphi_i(G, n)$, or $n \in B$ and $d^{[i,t]} \Vdash \neg \varphi_i(G, n)$ for some $i < 2$. \square

We are now ready to prove Theorem 4.1.

Proof of Theorem 4.1. Let \mathcal{F} be a sufficiently generic \mathbb{P}_1 -filter and P be an \mathcal{F} -projector. By Lemma 4.2, and by a pairing argument, there is some $i < 2$ such that for every Σ_2^0 formula $\varphi(G, n)$, there is some $p \in \mathcal{F}^{[i,P]}$ such that

$$(\exists n \notin B)p \Vdash \varphi(G, n) \vee (\exists n \in B)p \Vdash \neg \varphi(G, n)$$

In particular, $\mathcal{G} = \mathcal{F}^{[i,P]}$ is 2-generic, so by Lemma 2.30, $G_{\mathcal{G}}$ is infinite, and by Lemma 2.29, B is not Σ_2^0 . By definition of \mathbb{P}_1 , $G_{\mathcal{G}} \subseteq A^0$ or $G_{\mathcal{G}} \subseteq A^1$. This completes the proof of Theorem 4.1. \square

The following corollary would correspond to strong jump cone avoidance of RT_2^1 , following the terminology of Wang [35].

Corollary 4.3 Fix a non- Δ_2^0 set B . For every set A , there is an infinite set $H \subseteq A$ or $H \subseteq \bar{A}$ such that B is not $\Delta_2^{0,H}$.

Proof. Given a non- Δ_2^0 set B , either B or \bar{B} is not $\Sigma_2^0(G_{\mathcal{G}})$. By Theorem 4.1, for every set A , there is an infinite set $H \subseteq A$ or $H \subseteq \bar{A}$ such that either B or \bar{B} is not $\Sigma_2^{0,H}$, hence such that B is not $\Delta_2^{0,H}$. \square

The second author asked in [24, Question 2.7] whether there is a set such that every infinite subset of it or its complement is of high degree. We answer negatively.

Corollary 4.4 For every set A , there is an infinite set $H \subseteq A$ or $H \subseteq \bar{A}$ of non-high degree.

Proof. Apply Corollary 4.3 to $B = \emptyset''$. \square

4.2. Preservation of Δ_2^0 hyperimmunities

Our second application concerns the ability to prevent solutions from computing fast-growing functions. By Martin's theorem [20], a set is of high degree iff it computes a function dominating every computable function. Therefore, Corollary 4.4 already shows that an instance cannot force its solutions to compute arbitrarily fast growing functions. We shall now refine this result by proving that an instance cannot help dominating a fixed non-computably dominated function. Recall the definition of hyperimmunity.

Definition 4.5. A function f *dominates* a function g if $f(x) \geq g(x)$ for every x . A function f is *X-hyperimmune* if it is not dominated by any X -computable function.

Theorem 4.6 Fix a \emptyset' -hyperimmune function f . For every set A , there is an infinite set $H \subseteq A$ or $H \subseteq \bar{A}$ such that f is not H' -hyperimmune.

Fix f and A , and let $A^0 = \bar{A}$ and $A^1 = A$. By Jockusch and Soare [15], there is a countable Turing ideal $\mathcal{M} \models \text{WKL}$ such that f is X -hyperimmune for every $X \in \mathcal{M}$, and $\emptyset' \in \mathcal{M}$. We build our infinite set by the notion of forcing \mathbb{P}_1 within the Turing ideal \mathcal{M} .

Lemma 4.7 Let s be a branch in $c \in \mathbb{P}_1$ and let $\varphi_0(G, n)$ and $\varphi_1(G, n)$ be two Σ_2^0 formulas. Suppose that

$$c \text{?}\vdash_s (\exists n)\varphi_0(G, n) \vee (\exists n)\varphi_1(G, n)$$

then there is a finite set U such that

$$c \text{?}\vdash_s (\exists n \in U)\varphi_0(G, n) \vee (\exists n \in U)\varphi_1(G, n)$$

Lemma 4.8 Let \mathcal{F} be a sufficiently generic \mathbb{P}_1 -filter and P be an \mathcal{F} -projector. For every pair of Turing functionals Φ_0, Φ_1 , there is some $i < 2$, some n and some $p \in \mathcal{F}^{[i, P]}$ such that

$$p \Vdash \Phi_i(G', n) \uparrow \vee p \Vdash \Phi_i(G', n) \downarrow < f(n)$$

Proof. Fix some $c \in \mathcal{F}$, and let $s = P(c)$. Let g be the partial \mathcal{M} -computable function which on input n searches for a finite set U such that

$$c \text{?}\vdash_s \Phi_0(G', n) \downarrow \in U \vee \Phi_1(G', n) \downarrow \in U$$

If found, $g(n) = \max U$, otherwise $g(n) \uparrow$. We have two cases.

Case 1: g is total. Since f is \mathcal{M} -hyperimmune, there is some n such that $g(n) < f(n)$. Let U be the finite set witnessing $g(n) \downarrow$. Then $c \text{?}\vdash_s \Phi_0(G', n) \downarrow \in U \vee \Phi_1(G', n) \downarrow \in U$. By Lemma 3.10(a), there is an s -extension d of c such that for every valid branch t of d refining the branch s of c , $d^{[i, t]} \Vdash \Phi_i(G', n) \downarrow \in U$ for some $i < 2$.

Case 2: g is partial, say $g(n) \uparrow$ for some n . Then by Lemma 4.7, $c \text{?}\not\vdash_s \Phi_{e_0}(G', n) \downarrow \vee \Phi_{e_1}(G', n) \downarrow$. By Lemma 3.10(b), there is an s -extension d of c such that for every valid branch t of d refining the branch s of c , $d^{[i, t]} \Vdash \Phi_i(G', n) \uparrow$ for some $i < 2$.

By genericity of \mathcal{F} , there is such an extension $d \in \mathcal{F}$. Let $P(d) = t$. Since t is valid in d , then either $d^{[i, t]} \Vdash \Phi_i(G', n) \uparrow$, or $d^{[i, t]} \Vdash \Phi_i(G', n) \downarrow \in U$ for some $i < 2$ and some set $U < f(n)$. \square

Proof of Theorem 4.6. Let \mathcal{F} be a sufficiently generic \mathbb{P}_1 -filter and P be an \mathcal{F} -projector. By Lemma 4.2, and by a pairing argument, there is some $i < 2$ such that for every Turing functional Φ , there is some $p \in \mathcal{F}^{[i, P]}$ such that

$$p \Vdash \Phi(G', n) \uparrow \vee p \Vdash \Phi(G', n) \downarrow < f(n)$$

In particular, $\mathcal{G} = \mathcal{F}^{[i, P]}$ is 2-generic, so by Lemma 2.30, $G_{\mathcal{G}}$ is infinite, and by Lemma 2.29, f is $G'_{\mathcal{G}}$ -hyperimmune. By definition of \mathbb{P}_1 , $G_{\mathcal{G}} \subseteq A^0$ or $G_{\mathcal{G}} \subseteq A^1$. This completes the proof of Theorem 4.6. \square

Note that Theorem 4.6 cannot be extended to preservation of two \emptyset' -hyperimmune functions simultaneously, as there exists a bi-infinite set A such that, p_A and $p_{\bar{A}}$ are both \emptyset' -hyperimmune, where p_X is the function which on input n returns the $n + 1$ st element of X . For every infinite subset H of A , p_H dominates p_A , and for every infinite subset H of \bar{A} dominates $p_{\bar{A}}$. In both cases, p_A and $p_{\bar{A}}$ cannot both be H' -hyperimmune for any solution H .

4.3. Low₃ solutions

An effectivization of the forcing construction enables us to obtain lowness results for the infinite pigeonhole principle. The existence of low₂ solutions for Δ_2^0 sets, and of low₂ cohesive sets for computable sequences of sets, was proven by Cholak, Jockusch and Slaman [3, sections 4.1 and 4.2]. The existence of low₃ cohesive sets for Δ_2^0 sequences of sets was proven by Wang [33, Theorem 3.4]. Wang [33, Questions 6.1 and 6.2] and the second author [24, Question 5.4] asked whether such results can be generalized for every Δ_{n+1}^0 instances of the pigeonhole and every Δ_n^0 instances of cohesiveness. We answer positively to both questions in the case $n = 2$.

A set Q is of *PA degree* relative to X (written $Q \gg X$) if it computes a member of every non-empty Π_1^0 class $\mathcal{C} \subseteq 2^\omega$.

Theorem 4.9 For every Δ_3^0 set A and every $Q \gg \emptyset''$, there is an infinite set $H \subseteq A$ or $H \subseteq \bar{A}$ such that $H'' \leq Q$.

Proof. By Simpson [30, Lemma VIII.2.9] and the relativized low basis theorem [15], there is a Turing ideal $\mathcal{M} \models \text{WKL}$ containing \emptyset' , and countable coded by a set B such that $B' \leq_T \emptyset''$. Let $A^0 = \bar{A}$ and $A^1 = A$. Consider the notion of forcing \mathbb{P}_1 within the Turing ideal \mathcal{M} .

Define an infinite decreasing sequence of \mathbb{P}_1 -conditions $c_0 \geq_{f_0} c_1 \geq_{f_1} \dots$ such that for every n , letting $c_n = (\sigma_{s,n}^0, \sigma_{s,n}^1, X_{s,n}, C_n, U_{s,n} : s < k_n)$, and every $s < k_n$, either s is not valid in c_n , or there is some $i < 2$ such that

$$c_n^{[i,s]} \Vdash (\exists a)(\forall b)\Phi_n(G, a, b) \text{ or } c_n^{[i,s]} \Vdash (\forall a)(\exists b)\neg\Phi_n(G, a, b)$$

We claim that there is a $\Pi_2^0(B)$, hence Π_3^0 such descending sequence. Indeed, by Lemma 2.14, at a given stage n , we have a condition c_n , it is $\Pi_2^0(B)$ to determine which branches are valid. Given a valid branch s , by Lemma 3.10, we can find an s -extension d such that for every valid branch t of d refining the branch s of c_n , there is some side $i < 2$ such that the property holds. Such extension is obtained by checking whether the relation $? \vdash_s$ holds, which is $\Sigma_1^0(B)$ by Lemma 3.9, and then finding $d \perp A \oplus \mathcal{M}$ -uniformly, hence $\emptyset^{(2)}$ -uniformly.

The valid branches of the conditions in the sequence $c_0 \geq_{f_0} c_1 \geq_{f_1} \dots$ form a Π_3^0 tree. Let $\mathcal{F} = \{c_0, c_1, \dots\}$. Since Q is of PA degree above $\emptyset^{(2)}$, Q computes an \mathcal{F} -projector P , that is, a function such that $P(c_n) < k_n$ is a valid branch in c_n , and $f_n(P(c_n)) = P(c_{n-1})$. By the pairing argument, there is a side $i < 2$ such that $\mathcal{G} = \mathcal{F}^{[i,P]}$ is 2-generic. The set $G_{\mathcal{G}}$ is Q -computable. By definition of \mathbb{P}_1 , $G_{\mathcal{G}} \subseteq A^0$ or $G_{\mathcal{G}} \subseteq A^1$. By Lemma 2.30, $G_{\mathcal{G}}$ is infinite, and by Lemma 2.29, $(\exists a)(\forall b)\Phi_n(G_{\mathcal{G}}, a, b)$ holds iff $c_n^{[i,P(c_n)]} \Vdash (\exists a)(\forall b)\Phi_n(G_{\mathcal{G}}, a, b)$. Therefore, $G_{\mathcal{G}}^{(2)} \leq_T Q$. This completes the proof of Theorem 4.9. \square

Corollary 4.10 For every Δ_3^0 set A , there is an infinite set $H \subseteq A$ or $H \subseteq \bar{A}$ of low₃ degree.

Proof. By the relativized low basis theorem [15], there is some $Q \gg \emptyset''$ such that $Q' \leq_T \emptyset^{(3)}$. By Theorem 4.9, there is an infinite set $H \subseteq A$ or $H \subseteq \bar{A}$ such that $H'' \leq Q$. In particular, $H^{(3)} \leq_T Q' \leq_T \emptyset^{(3)}$. \square

5. ANOTHER PROOF OF LIU'S THEOREM

The original computable analysis of Ramsey's theorem from Jockusch [14] produces solutions of complete degree, while the more effective proofs of Ramsey's theorem for pairs of Seetapun [29] and Cholak, Jockusch and Slaman [3] involve a compactness argument producing solutions of PA degree. Since complete degrees are also PA, it was a long-standing open question whether Ramsey's theorem for pairs implies weak König's lemma, until answered negatively by Liu [18]. Later, Flood [10] identified the amount of compactness present in Ramsey's theorem for pairs as a principle called the Ramsey-type weak König's lemma. In this section, we reprove Liu's theorem by providing an alternative (and arguably simpler) proof of his main combinatorial theorem.

Theorem 5.1 (Liu) For every set A , there is an infinite set $H \subseteq A$ or $H \subseteq \bar{A}$ of non-PA degree.

Before proving Theorem 5.1, we deduce Liu's theorem from it.

Theorem 5.2 (Liu) For every computable coloring $f : [\omega]^2 \rightarrow 2$, there is an infinite f -homogeneous set of non-PA degree.

Proof. Let $\vec{R} = R_0, R_1, \dots$ be the computable sequence defined by $R_x = \{y : f(x, y) = 1\}$. By Cholak, Jockusch and Slaman [3, Lemma 9.16], there is an infinite \vec{R} -cohesive set $C = \{x_0 < x_1 < \dots\}$ of non-PA degree. This fact can be proven using a simple computable Mathias forcing. In particular, $(\forall x \in \omega) \lim_{y \in C} f(x, y)$ exists. Let $A = \{n \in \omega : \lim_m f(x_n, x_m) = 1\}$. Note that $\bar{A} = \{n \in \omega : \lim_m f(x_n, x_m) = 0\}$. By a relativization of Theorem 5.1, there is an infinite set $H \subseteq A$ or $H \subseteq \bar{A}$ such that $H \oplus C$ is of non-PA degree. Suppose that $H \subseteq A$, $H \oplus C$ -computably thin out the set $\{x_n : n \in H\}$ using a greedy argument to obtain an infinite f -homogeneous set for color 1. The case $H \subseteq \bar{A}$ is similar, and yields an infinite set f -homogeneous for color 0. \square

We now prove Theorem 5.1 using a variant of the notion of forcing designed in this paper.

Definition 5.3. Let \mathbb{P} denote the set of conditions $(\sigma_s, X_s, C : s < k)$ such that

- (a) for every $s < k$, there is some $i < 2$ such that $\sigma_s \cup X_s \subseteq A^i$
- (b) X_0, \dots, X_{k-1} is a k -cover of $\omega - \{0, \dots, \max_{i,s} |\sigma_s|\}$
- (c) $\bigcap_{e \in C} \mathcal{U}_e$ is an acceptability class containing only infinite sets
- (d) C is computable

Note that no effectiveness restriction is imposed on the reservoirs \vec{X} . Their restriction is of combinatorial nature, since their union is required to be cofinite.

Definition 5.4. The partial order on \mathbb{P} is defined by $(\tau_s, Y_s, D : s < \ell) \leq (\sigma_s, X_s, C : s < k)$ if there is a function $f : \ell \rightarrow k$ such that for every $s < \ell$, $\sigma_{f(s)} \preceq \tau_s$, $Y_s \subseteq X_{f(s)}$, $C \subseteq D$ and $\tau_s - \sigma_{f(s)} \subseteq X_{f(s)}$.

Again, we write $d \leq_f c$ if $d \leq c$ is witnessed by the function f , and say that the branch s is valid in $c = (\sigma_s, X_s, C : s < k)$ if $X_s \in \bigcap_{e \in C} \mathcal{U}_e$. We write $c^{[s]}$ for $(\sigma_s, X_s, C, \omega)$. In other words, the branch s is valid in c if $c^{[s]} \in \mathbb{Q}_1$. The notion of projector is defined accordingly.

Lemma 5.5 Let $c \in \mathbb{P}$ be a condition, and s be such that $c^{[s]} \in \mathbb{Q}_1$. Then for every n , there is a simple extension $d \leq c$ such that $d^{[s]} \Vdash (\exists m > n) m \in G$.

Proof. Say $c = (\sigma_s, X_s, C : s < k)$. Since $c^{[s]} \in \mathbb{Q}_1$, then $X_s \cap U_s \in \bigcap_{e \in C} \mathcal{U}_e$. In particular, $X_s \cap U_s$ is infinite. Let $m \in X_s \cap U_s$ be such that $m > n$. Then condition $d = (\tau_s, \tau_s, X_s \cap (m, \infty), C : s < k)$ defined by $\tau_s = \sigma_s \cup \{m\}$, and $\tau_t = \sigma_t$ otherwise, is the desired simple extension of c . \square

Definition 5.6. Let $\xi : \omega \times 2^{<\omega} \rightarrow \omega$ be the computable function that takes as an index of a Turing functional $\Phi_e(G, n)$, a string σ and which gives a code for the open set

$$\{X : (\exists \rho \subseteq X - \{0, \dots, |\sigma|\})(\exists n) \Phi_e(\sigma \cup \rho, n) \downarrow = \Phi_n(n)\}$$

Lemma 5.7 Let s be a branch of a condition $c \in \mathbb{P}$, and let $\Phi_e(G, n)$ be a Turing functional. Then there is an s -extension $d \leq_f c$ such that for every valid branch t in d for which $f(t) = s$,

$$d^{[t]} \Vdash (\exists n) \Phi_e(G, n) \downarrow = \Phi_n(n) \text{ or } d^{[t]} \Vdash (\exists n) \Phi_e(G, n) \uparrow$$

Proof. Say $c = (\sigma_s, X_s, C : s < k)$. Define the predicate $P(n, k, v)$ to hold if

$$(\forall Z_0 \cup \dots \cup Z_{k-1} = \omega)(\exists j < k) Z_j \in \bigcap_{e \in C, e < k} \mathcal{U}_e \text{ and } (\exists \rho \subseteq Z_j - \{0, \dots, |\sigma_s|\}) \Phi_e(\sigma_s \cup \rho, n) \downarrow = v$$

Suppose first that the following is true for every k :

$$(\forall n)(\exists v < 2) P(n, k, v)$$

Note that for every k , the set $W_k = \{(n, v) : P(n, k, v)\}$ is c.e. Therefore, there must be some $n \in \omega$ such that $(n, \Phi_n(n)) \in W_k$, otherwise we would compute a $\{0, 1\}$ -valued DNC function. Then in particular,

$$(\forall Z_0 \cup \dots \cup Z_{k-1} = \omega)(\exists j < k) Z_j \in \bigcap_{e \in C} \mathcal{U}_e \cap \mathcal{U}_{\xi(e, \sigma_s)}$$

It follows that $\bigcap_{e \in C} \mathcal{U}_e \cap \mathcal{U}_{\xi(e, \sigma_s)}$ is an acceptability class. If $X_s \notin \bigcap_{e \in C} \mathcal{U}_e \cap \mathcal{U}_{\xi(e, \sigma_s)}$, then $d = (\sigma_s, X_s, C \cup \{\xi(e, \sigma_s)\}, s < k)$ is an s -extension of c on which the side s is not valid in d , and we are done. If $X_s \in \bigcap_{e \in C} \mathcal{U}_e \cap \mathcal{U}_{\xi(e, \sigma_s)}$, then there is some $\rho \subseteq X_s - \{0, \dots, |\sigma_s|\}$ such that $\Phi_e(\sigma \cup \rho, n) \downarrow = \Phi_n(n)$. The condition $d = (\tau_s, X_s \setminus \{0, \dots, |\rho|\}, C : s < k)$ defined by $\tau_s = \sigma_s \cup \rho$ and leaving the other branches unchanged, is an s -extension of c such that $d^{[s]} \Vdash (\exists n) \Phi_e(G, n) \downarrow = \Phi_n(n)$.

Suppose now that there is a k such that

$$(\exists n)(\forall v < 2) \neg P(n, k, v)$$

In particular, for some k and some n , we have a k -cover $Z_0^0 \cup \dots \cup Z_{k-1}^0 = \omega$ and $Z_0^1 \cup \dots \cup Z_{k-1}^1 = \omega$ such that for $v < 2$, we have

$$(\forall j < k) Z_j^v \notin \bigcap_{e \in C, e < k} \mathcal{U}_e \text{ or } (\forall \rho \subseteq Z_j^v - \{0, \dots, |\sigma_s|\}) \Phi_e(\sigma_s \cup \rho, n) \uparrow \vee \Phi_e(\sigma_s \cup \rho, n) \downarrow = 1 - v$$

Let $d = (\tau_s, Y_s, C : s < \ell)$ be the s -extension of c obtained from c by forking the branch s into k^2 parts $s_{0,0}, \dots, s_{k-1,k-1}$, such that $\tau_{s_{j,t}} = \sigma_s$ and $Y_{s_{j,t}} = X_s \cap Z_j^0 \cap Z_t^1$. Note that $d^{[s_{j,t}]} \Vdash (\exists n) \Phi_e(G, n) \uparrow$ for every $j, t < k$. This completes the proof of the lemma. \square

Proof of Theorem 5.1. Let \mathcal{F} be a sufficiently generic \mathbb{P} -filter, and let P be an \mathcal{F} -projector. Let $\mathcal{G} = \{c^{[P(c)]} : c \in \mathcal{F}\}$ and $G_{\mathcal{G}} = \bigcup \{\sigma : (\sigma, X, C, \omega) \in \mathcal{G}\}$. By construction, $G_{\mathcal{G}} \subseteq A^i$ for some $i < 2$. By Lemma 5.5, $G_{\mathcal{G}}$ is infinite. By Lemma 5.7, $G_{\mathcal{G}}$ is of non-PA degree. This completes the proof. \square

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