

Ramsey-type graph coloring and diagonal non-computability

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Abstract

A function is diagonally non-computable (d.n.c.) if it diagonalizes against the universal partial computable function. D.n.c. functions play a central role in algorithmic randomness and reverse mathematics. Flood and Towsner asked for which functions h , the principle stating the existence of an h -bounded d.n.c. function (h -DNR) implies the Ramsey-type König's lemma (RWKL). In this paper, we prove that for every computable order h , there exists an ω -model of h -DNR which is not a model of the Ramsey-type graph coloring principle for two colors (RCOLOR₂) and therefore not a model of RWKL. The proof combines bushy tree forcing and a technique introduced by Lerman, Solomon and Towsner to transform a computable non-reducibility into a separation over ω -models.

Keywords: Reverse mathematics, Forcing, Graph coloring, König's lemma

1. Introduction

In this paper, we deepen our understanding of the relation between diagonal non-computability and consequences of the Ramsey-type weak König's lemma by separating related principles within the framework of reverse mathematics.

Diagonal non-computability plays an important role in algorithmic randomness in which it is known to computationally coincide with infinite subsets of Martin-Löf randoms. In a reverse mathematical perspective, the corresponding principle has been used as a discriminating statement for separating theorems. Some principles in reverse mathematics – WKL₀ [10], RRT₂² [19], RWWKL [3], can be characterized by d.n.c.-like statements. Therefore, diagonal non-computability can be considered as a unifying framework for comparing existing principles.

The study of Ramsey-type versions of principles which are not consequences of the Ramsey theorem has been initiated by Flood [5] with his Ramsey-type weak König's lemma (RWKL). As pointed out by Flood & Towsner [6], this principle aims to play a central role in reverse mathematics, as the “missing link” explaining the relation between Ramsey's theorem for pairs (RT₂²) and the weak König's lemma (WKL₀). Indeed, previous proofs of RT₂² seemed to require WKL₀ even though Liu [18] proved that WKL₀ is not a consequence of RT₂². RWKL contains in fact the exact combinatorics needed in the proofs of RT₂² or even weaker statements like the Erdős-Moser theorem [6, 3].

1.1. Diagonally non-computable functions

A function f is *diagonally non-computable* (d.n.c.) relative to X if for every index e , $f(e) \neq \Phi_e^X(e)$, where Φ_e is an effective enumeration of all Turing machines. D.n.c. functions have been extensively studied in literature [1, 4, 12, 16]. The degrees of d.n.c. functions coincide with the degrees of fixed-point free functions [11] and the degrees of infinite subsets of Martin-Löf random reals [8, 14, 15].

Definition 1.1 (Diagonal non-computability) A function f is *h -bounded* for some function h if $f(x) \leq h(x)$ for every input x . DNR is the statement “For every definable set X , there exists a function d.n.c. relative to X ” and for every function h , *h -DNR* is the statement “For every definable set X , there exists an h -bounded function d.n.c. relative to X ”.

In particular, when h is the function constantly equal to k , we say that f is *k -bounded*. Friedberg [13] proved that every k -bounded d.n.c. function computes a 2-bounded d.n.c. function. Jockusch [13] proved that this reduction is not uniform, and Dorais & Shafer [4] constructed a non-standard structure satisfying the statement $(\exists k)k$ -DNR and which does not contain any 2-bounded d.n.c. function. The degrees of 2-bounded d.n.c. functions coincide with the degrees of completion of Peano arithmetic [10].

When considering orders h , that is, non-decreasing and unbounded functions, h -bounded d.n.c. functions are known to form a strict hierarchy within reverse mathematics [1, 6]. Recently, Bienvenu and the author [2] proved that witnesses to the strictness of this hierarchy can be constructed by probabilistic means. Jockusch [13] showed the existence of computable orders h such that every Martin-Löf random computes an h -bounded d.n.c. function.

1.2. Ramsey-type principles

Most of principles in reverse mathematics are of the form

$$(\forall X)[\Phi(X) \rightarrow (\exists Y)\Psi(X, Y)]$$

where Φ and Ψ are arithmetic formulas. A set X such that $\Phi(X)$ holds is called an *instance* and a set Y such that $\Psi(X, Y)$ holds is a *solution* to X . The Ramsey-type version of such a principle informally consists in asserting, for every instance X , the existence of infinitely many bits of information “compatible” with a solution to X .

Among the principles in reverse mathematics, the weak König’s lemma (WKL_0) states, for every infinite binary tree T , the existence of an infinite path through T . In this case, its Ramsey-type version – i.e. the Ramsey-type König’s lemma (RWKL) – states the existence of a direction d – left or right – and an infinite set of depths H , such that there are arbitrarily large nodes in T which go on direction d at each depth

of H . We now give a precise definition of RWKL. We denote by ω the set of positive integers and by $2^{<\omega}$ the set of finite binary strings.

Definition 1.2 (Ramsey-type weak König’s lemma) A set $H \subseteq \omega$ is *homogeneous* for a $\sigma \in 2^{<\omega}$ if $(\exists c < 2)(\forall i \in H)[i < |\sigma| \rightarrow \sigma(i) = c]$. A set H is *homogeneous* for an infinite tree $T \subseteq 2^{<\omega}$ if the tree $\{\sigma \in T : H \text{ is homogeneous for } \sigma\}$ is infinite. RWKL is the statement “Every infinite subtree of $2^{<\omega}$ has an infinite homogeneous set”.

Flood introduced the principle in [5] under the name RKL, and proved that it is a strict consequence of the stable Ramsey’s theorem for pairs (SRT_2^2) and WKL_0 over RCA_0 . He also proved that $\text{RCA}_0 \vdash \text{RWKL} \rightarrow \text{DNR}$ and asked whether the implication is strict. Bienvenu & al. [3] studied extensively variants of RWKL and constructed an ω -model of DNR – and even of the weak weak König’s lemma (WWKL_0) – which is not a model of RWKL. Flood & Towsner [6] reproved the existence of an ω -model of DNR which is not a model of RWKL using the techniques developed by Lerman & al. in [17]. They asked in particular for which functions h the principle h -DNR implies RWKL. In this paper, we answer this question by proving that for every computable order h , h -DNR does not even imply a weaker statement over ω -models. Note that this result is incomparable (neither stronger nor weaker) than the result of Bienvenu & al. [3] since there exists computable orders h such that the measure of oracles computing a h -bounded d.n.c. function is null [?].

1.3. Ramsey-type graph coloring

A graph $G = (V, E)$ is *k-colorable* if there is a function $f: V \rightarrow k$ such that $(\forall x, y \in V)(\{x, y\} \in E \rightarrow f(x) \neq f(y))$, and a graph is *locally k-colorable* if every finite subgraph is *k-colorable*. The statement that every locally *k-colorable* graph admits an infinite *k-coloration* for some fixed $k \geq 2$ has been proved to be equivalent to WKL_0 over RCA_0 by Hirst in [9]. We focus on the Ramsey-type version of this principle.

Definition 1.3 (Ramsey-type graph coloring) Let $G = (V, E)$ be a graph. A set $H \subseteq V$ is *k-homogeneous for G* if every finite $V_0 \subseteq V$ induces a subgraph that is *k-colorable* by a coloring that colors every vertex in $V_0 \cap H$ color 0. RCOLOR_k is the statement “for every infinite, locally *k-colorable* graph $G = (V, E)$, there is an infinite $H \subseteq V$ that is *k-homogeneous for G*.”

The principles RCOLOR_k have been introduced by Bienvenu & al. in [3]. They proved that RWKL is equivalent to RCOLOR_k for every $k \geq 3$. and constructed an ω -model of WWKL_0 (and in particular of DNR) which is not a model of RCOLOR_2 . It is currently unknown whether RCOLOR_2 is strictly weaker than RWKL and in particular whether or not it implies DNR over RCA_0 . The principle RCOLOR_2 seems easier to manipulate than RWKL, and most proofs of the type “ Φ does not prove RWKL” can be strengthened without any additional effort to “ Φ does not prove RCOLOR_2 ”.

1.4. Notations

String, sequence. Fix a function $h : \omega \rightarrow \omega$. A *string* (over h) is an ordered tuple of integers a_0, \dots, a_{n-1} (such that $a_i < h(i)$ for every $i < n$). A *sequence* (over h) is an infinite listing of integers a_0, a_1, \dots (such that $a_i < h(i)$ for every $i \in \omega$). We denote by \preceq the prefix relation between two strings or between a string and a sequence. For $s \in \omega$, h^s is the set of strings of length s over h , $h^{<s}$ is the set of strings of length strictly smaller than s over h , $h^{<\omega}$ is the set of finite strings over h and h^ω is the set of sequences (i.e. infinite strings) over h . When h is the constant function k , we write k^s (resp. $k^{<s}, \dots$) for h^s (resp. $h^{<s}, \dots$). Given a string $\sigma \in h^{<\omega}$, we denote by $|\sigma|$ its length. Given two strings $\sigma, \tau \in h^{<\omega}$, σ is a *prefix* of τ (written $\sigma \preceq \tau$ if there exists a string $\rho \in h^{<\omega}$ such that $\sigma\rho = \tau$).

Tree, path. A tree $T \subseteq k^{<\omega}$ is a set downward closed by prefix relation. A *binary tree* is a tree $T \subseteq 2^{<\omega}$. A set $P \subseteq \omega$ is a *path* through T if for every $\sigma \prec P$, $\sigma \in T$. A string $\sigma \in k^{<\omega}$ is a *stem* of a tree T if every $\tau \in T$ is comparable with σ . Given a tree T and a string $\sigma \in T$, we denote by $T^{[\sigma]}$ the subtree $\{\tau \in T : \tau \preceq \sigma \vee \tau \succeq \sigma\}$.

Turing functionals. We fix an effective enumeration Φ_0, Φ_1, \dots of all Turing functionals. Given an oracle X , we write $W_e^X = \text{dom}(\Phi_e^X)$, that is, W_e^X is the set of all inputs x such that $\Phi_e^X(x) \downarrow$. We may apply a Turing functional over a string $\sigma \in \omega^{<\omega}$. In this case, by convention, $\Phi_e^\sigma(x) \downarrow \rightarrow x < |\sigma|$.

An *order* h is an unbounded, non-decreasing function over integers. Given two sets A and B , we write $A \subseteq_{fin} B$ to mean that A is a finite subset of B . We also denote by $A \times B$ the set of unordered pairs $\{a, b\}$ where $a \in A$ and $b \in B$. Last, the notation $A > x$ means that the set A is empty or its least element is greater than x .

2. Bushy tree forcing

The specificity of the combinatorics of diagonally non-computable functions f is that f has to avoid *at most one* bad value on each input e . Therefore, if we consider two functions f_0 and f_1 having two different values on input e , at least one of them diagonalize against $\Phi_e(e)$. The exploitation of this observation leads to the notion of bushy tree forcing, in which the construction of a d.n.c. function is done by simply applying cardinality arguments. Bushy tree forcing was first developed in [1]. Since then, it has been successfully applied to various problematics, like proving the existence of a d.n.c. function of minimal degree [16]. We state the core lemmas of bushy tree forcing without proving them. See the survey [?] for detailed proofs.

Definition 2.1 (Bushy tree) Fix an integer k and a string $\sigma \in \omega^{<\omega}$. A tree T is *k-bushy above σ* if it has stem σ and whenever $\tau \succeq \sigma$ is not a leaf of T , it has at least k immediate children.

Definition 2.2 (Big set, small set) Fix an integer k and some string $\sigma \in \omega^{<\omega}$. A set $B \subseteq \omega^{<\omega}$ is k -big above σ if there exists a finite tree T k -bushy above σ such that all leaves of T are in B . If no such tree exists, B is said to be k -small above σ .

Those notions of k -bigness and k -smallness can be justified as follows: Suppose we have constructed an initial segment σ of a d.n.c. function f . We want to decide whether a Σ_1^0 property $\varphi(f)$ will hold or not. A property φ induces a set of strings $B = \{\tau \in \omega^{<\omega} : \varphi(\tau) \text{ holds}\}$. Deciding $\varphi(f)$ consists in either finding an extension $\tau \succeq \sigma$ which is in B and such that τ is again an initial segment of a d.n.c. function, or ensuring that no extension of σ will meet B .

Suppose that B is 2-big above σ . By definition, there exists a finite tree T 2-bushy above σ and whose leaves are all in B . In other words, for each leaf τ in T , $\varphi(\tau)$ holds. By our previous observation, at each depth, there exists at most one bad value. Therefore the tree T must have one leaf τ which is an initial segment of a d.n.c. function. By hypothesis, $\varphi(\tau)$ holds and σ being the stem of T , $\tau \succeq \sigma$. We can then take this τ as our desired extension and continue the construction.

Suppose now that B is 2-small above σ . Informally, it means that σ does not have enough extensions satisfying φ . In this case, we want to ensure that no further extension of σ will meet B while continuing the construction. But, how can we ensure there will always be a d.n.c. extension avoiding B when choosing a leaf in T while considering other properties φ ? It suffices to slightly modify our question for the next property φ_1 and ask whether the corresponding set B_1 is 3-big, and so on.

At this stage, it becomes clear that the good forcing notion is a pair (σ, B) where $\sigma \in \omega^{<\omega}$ and B is a set which is k -small above σ for some k . The set B is intuitively the “bad” set of extensions we want to avoid, and therefore may only increase. Another condition (τ, C) extends (σ, B) if $\sigma \preceq \tau$ and $B \subseteq C$.

What about the constraint that σ must be an initial segment of a d.n.c. function? Fix any set X , and consider the set B_{DNC}^X of all strings which are not initial segments of any function d.n.c. relative to X :

$$B_{DNC}^X = \{\sigma \in \omega^{<\omega} : (\exists e < |\sigma|) \Phi_e^X(e) \downarrow = \sigma(e)\}$$

One can easily see that B_{DNC}^X is 2-small above the empty string. Therefore, by starting the construction with the initial condition (ϵ, B_{DNC}^X) , we ensure that the resulting function will be d.n.c. relative to X . Notice that the choice of the set X has no impact on the construction as we only care about the bushiness of the corresponding set B_{DNC}^X .

The following three lemmas are at the core of every bushy tree argument.

Lemma 2.3 (Concatenation) Fix an integer k . Suppose that $A \subseteq \omega^{<\omega}$ is k -big above σ . If $A_\tau \subseteq \omega^{<\omega}$ is k -big above τ for every $\tau \in A$, then $\bigcup_{\tau \in A} A_\tau$ is k -big above σ .

The concatenation property is very intuitive and is sufficient for running a basic bushy tree argument. Indeed, fix a condition (σ, B) where B is k -bushy above σ . We need to decide whether a property φ with corresponding set $C = \{\tau \in \omega^{<\omega} : \varphi(\tau) \text{ holds}\}$ can be forced. The question becomes “Is the set $B \cup C$ k -big above σ ?”. In the case of a positive answer, let T be a finite tree k -bushy above σ whose leaves are in $B \cup C$. By the concatenation property, B must be k -small above one of the leaves τ in T , otherwise B would be k -big above T . The condition (τ, B) is the desired extension forcing $\varphi(f)$ to hold. In the case of a negative answer, $(\sigma, B \cup C)$ is an extension forcing $\varphi(f)$ not to hold.

Lemma 2.4 (Smallness additivity) Suppose that B_1, B_2, \dots, B_n are subsets of $\omega^{<\omega}$, k_1, k_2, \dots, k_n are integers, and $\sigma \in \omega^{<\omega}$. If B_i is k_i -small above σ for all i , then $\bigcup_i B_i$ is $(\sum_i k_i)$ -small above σ .

Smallness additivity has a significant impact on the effectiveness of the forcing argument. Note that we did not impose effectiveness constraints on the set B in the definition of a condition (σ, B) , and indeed, sets may have arbitrary complexity, like B_{DNC}^X which is strictly X -c.e. The question of the k -bigness of a set B is B -c.e. However, the considered properties have often a c.e. corresponding set C . In this case, given a condition (σ, B) , the question of k -bigness of $B \cup C$ is $\Sigma_1^{0,B}$ whereas the k -bigness of C is Σ_1^0 .

Smallness additivity enables us to ask the question of k -bigness of C independently of B , and combine the two sets after. Indeed, suppose that B is k -small above σ , and ask whether C is k -big above σ . If so, by the usual reasoning, find a leaf τ in the bushy tree witnessing k -bigness of C , such that B is still k -small above τ . In the other case, $(\sigma, B \cup C)$ is a valid extension as $B \cup C$ is $2k$ -small above σ .

The effectiveness issue will be particularly important when defining the computable graph in the ground construction, so that it satisfies specific properties necessary to the iteration forcing.

Lemma 2.5 (Small set closure) We say that $B \subseteq \omega^{<\omega}$ is k -closed if whenever B is k -big above a string ρ then $\rho \in B$. Accordingly, the k -closure of any set $B \subseteq \omega^{<\omega}$ is the set $C = \{\tau \in \omega^{<\omega} : B \text{ is } k\text{-big above } \tau\}$. If B is k -small above a string σ , then its closure is also k -small above σ .

Considering the k -closure C of the set B instead of the set B itself in the condition (σ, B) simplifies the reasoning. Indeed, taking an extension τ of σ avoiding the set C is enough to deduce by k -closure that C is k -small above τ and therefore that (τ, C) is a valid condition.

3. Separation over ω -models

An ω -structure is a structure $\mathcal{M} = (\omega, S, +, \cdot, <)$ where ω is the set of standard integers, $+$, \cdot and $<$ are the standard operations over integers and S is a set of reals such that \mathcal{M} satisfies the axioms of RCA_0 . Notice that \mathcal{M} is fully specified by its second-order part S , and we may therefore amalgamate \mathcal{M} with S . Friedman [7] characterized the second-order parts S of ω -structures as those forming a *Turing ideal*, that is, a set of reals closed by Turing join and downward-closed by Turing reduction.

Separation of a principle P from a principle Q over ω -models differs from computable non-reducibility in that solutions to P -instances may enable to define other P -instances whose solutions compute solutions to Q -instances. Therefore, we need to be careful about iterated applications of principles. In their paper [17], Lerman, Solomon & Towsner introduced a general technique for transforming a one-step separation – i.e. proof of computable non-reducibility – into a separation over ω -models, and used it for separating EM from SRT_2^2 and ADS from SCAC over ω -models. We briefly present their method.

Fix two principles P and Q , each of the form $(\forall X)[\Phi(X) \rightarrow (\exists Y)\Psi(X, Y)]$ where Φ and Ψ are arithmetical formulas. The construction of an ω -model of P which is not a model of Q consists in creating a Turing ideal \mathcal{I} together with a fixed Q -instance $I_0 \in \mathcal{I}$, such that every P -instance $J \in \mathcal{I}$ has a solution in \mathcal{I} , whereas I_0 contains no solution in \mathcal{I} . The techniques introduced by Lerman & al. include

- A *ground construction*, whose goal is to define a fixed instance I_0 of Q with no computable solution, and ensuring some fairness property about the iteration forcing. Lerman & al. used the forcing framework for creating their instances although computable instances could be defined. The constructed instance is considered as the *ground context*.
- An *iteration forcing* which, given a context X sharing the same properties with the ground context – i.e. I_0 has no X -computable solution and the iteration forcing relative to X satisfies some fairness properties – and an X -computable P -instance J , constructs a solution G to J such that $X \oplus G$ satisfies again the contextual properties.

Choosing carefully the enumeration J_0, J_1, \dots of all P -instance functionals, one can define an infinite sequence of contexts $X_0 = I_0 \leq_T X_1 \leq_T X_2 \dots$ such that

- X_i does not compute a solution to I_0
- X_{i+1} computes a solution to $J_i^{X_i}$

and such that the Turing ideal generated by the X_i 's is the second-order part of an ω -model of \mathbf{P} .

The specificity of the techniques of Lerman & al. is that instead of creating a \mathbf{Q} -instance I_0 which will try to satisfy the least requirements necessary to the iteration forcing at each level, I_0 considers only the ground context, and satisfies a much more general notion of requirement. This notion has to be general enough so that we can express the requirements at level $i + 1$ inside the requirements at level i , and therefore satisfy transparently requirements at all levels.

Given a \mathbf{Q} -instance I_0 , a context X and an iteration forcing notion \mathbb{P}^X , they define their general requirement \mathcal{K}^{X, I_0} to be an upward-closed set of forcing conditions $c \in \mathbb{P}^X$ with a particular shape

$$\mathcal{K}^{X, I_0} = \{c \in \mathbb{P}^X : (\exists F \subseteq_{fin} I_0) R_{\mathcal{K}}^X(c, F)\}$$

where $R_{\mathcal{K}}^X$ is an X -computable predicate with some additional properties specific to the forcing notion we consider. The set F has to be understood as a finite sub-instance of I_0 . If $G(c)$ is the initial segment of the generic solution G we are constructing, an intended particular requirement is

$$\mathcal{W}_e^{X, I_0} = \{c \in \mathbb{P}^X : (\exists F \subseteq_{fin} I_0) \Phi_e^{X \oplus G(c)} \text{ is not an } F\text{-solution}\}$$

where “ $\Phi_e^{X \oplus G(c)}$ is not an I_0 -solution” has to be understood as “ $\Phi_e^{X \oplus G(c)}$ halts on an initial segment which cannot be extended into an F -solution.” Therefore, if some condition $c \in \mathcal{W}_e$, then it cannot be extended into an infinite set G such that $\Phi_e^{X \oplus G}$ is a solution to I_0 .

Of course, we cannot expect all our requirements \mathcal{W}_e^{X, I_0} to be \mathbb{P}^X -dense, as there are Turing indices e such that $\Phi_e^{X \oplus G}$ is nowhere defined, whatever the oracle G . In those cases, one may want to force $\Phi_e^{X \oplus G}$ not to be total. In Lerman & al. framework, this is done by ensuring that further extensions avoid the following set for some $x \in \omega$:

$$\mathcal{K}^{X, [x, +\infty)} = \{c \in \mathbb{P}^X : (\exists F \text{ finite } \mathbf{Q}\text{-instance over } [x, +\infty)) R_{\mathcal{K}}^X(c, F)\}$$

In the case of $\mathcal{W}_e^{X, [x, +\infty)}$, it corresponds to forcing $\Phi_e^{X \oplus G}$ not to halt on values greater than x . The fairness condition that the \mathbf{Q} -instance I_0 has to satisfy states that if there are valid extensions in $\mathcal{K}^{X, F}$, for finite \mathbf{Q} -instance F over an arbitrarily far domain, then I_0 will take one of those F as a part of its own instance, and therefore there will exist a valid extension in \mathcal{K}^{X, I_0} . The combinatorics of \mathbf{P} have to be good enough to ensure that, in a context X where the fairness condition holds, either we will find an extension in \mathcal{K}^{X, I_0} , or we will avoid the requirement $\mathcal{K}^{X, [x, +\infty)}$ for some $x \in \omega$.

4. Main result

Flood and Towsner [6] asked for which functions h the statement h -DNR implies RWKL over \mathbf{RCA}_0 . In the case of functions whose range is bounded by some

constant k , h -DNR implies k -DNR and therefore is equivalent to WKL_0 . We prove that in the case of computable orders h , h -DNR does not even imply the Ramsey-type graph coloring principle for pairs over ω -models.

Theorem 4.1 For every computable order h , there exists an ω -model of h -DNR which is not a model of $RCOLOR_2$.

The proof of Theorem 4.1 follows the pattern presented in section 3, in which the iteration forcing is a variant of the bushy tree forcing presented in section 2. Fix a computable order h . The proof is divided into two main parts:

- The first part describes the iteration forcing in a context X , assuming that we have already constructed a computable, locally 2-colorable graph \mathcal{G} ensuring that the context X satisfies some properties.
- The second part is the actual finite-injury priority construction of the graph \mathcal{G} so that it satisfies the required properties over the ground context (the latter being the empty context as \mathcal{G} is a computable graph).

Finally, we describe the construction of the ω -model of h -DNR which is not a model of $RCOLOR_2$.

4.1. Iteration forcing

The general context of the iteration forcing is a fixed set X such that

- X does not compute a solution to \mathcal{G}
- each requirement $\mathcal{K}^{X,\mathcal{G}}$ is uniformly dense (in a sense defined below)

Our goal is to define an h -bounded d.n.c. function f such that $X \oplus f$ satisfies the same context properties, that is $X \oplus f$ does not compute a solution to \mathcal{G} and the requirements $\mathcal{K}^{X \oplus f, \mathcal{G}}$ are uniformly dense.

Definition 4.2 Our set of forcing conditions \mathbb{Q}^X is the set of pairs (σ, B) such that $\sigma \in h^{<\omega}$ and $B \subseteq h^{<\omega}$ is a $h(|\sigma|)$ -closed set of strings $h(|\sigma|)$ -small above σ . We say that $(\tau, C) \leq (\sigma, B)$ if $\sigma \preceq \tau$ and $B \subseteq C$.

Our initial condition will be (ϵ, B_{DNC}^X) . Therefore, every infinite descending sequence of conditions will produce an h -bounded function d.n.c. relative to X .

We say that a condition (σ, B) is k -roomy if B is k -small above σ and $h(|\sigma|) \geq 4k$. The intuition of k -roominess is that there is room for increasing the size of the bad set B . The choice of the coefficient is for the purpose of our combinatorics. Notice that, thanks to the fact that h is an order, every condition can be extended to a k -roomy condition for some $k \in \omega$.

4.1.1. Requirements and uniform density

As presented in section 3, a requirement is a set of conditions of the iteration forcing \mathbb{P}^X which enable to diagonalize positively against the instance \mathcal{G} of RCOLOR_2 . In our case, a finite set of vertices F is extensible to an infinite solution to \mathcal{G} if and only if it does not contain a pair of vertices $\{x, y\}$ which are the endpoints of a path of odd length in \mathcal{G} . Based on this observation, we define our set of diagonalizing pairs as follows.

Definition 4.3 Given a locally 2-colorable graph \mathcal{G} , we denote by $\text{Odd}(\mathcal{G})$ the \mathcal{G} -c.e. set of pairs $\{x, y\}$ such that there exists a path of odd length whose endpoints are x and y .

We extend the notation $\text{Odd}(\mathcal{G})$ to sets of the form $A_0 \times A_1$ where A_0 and A_1 are arbitrary sets of integers. In this case, we can see $A_0 \times A_1$ as the set of edges of a graph (which is locally 2-colorable if and only if $A_0 \cap A_1 = \emptyset$). In particular, $\{x\} \in \text{Odd}(A_0 \times A_1)$ for each $x \in A_0 \cap A_1$.

A requirement is usually a set of conditions. In our case, thanks to the smallness additivity property of the bushy tree forcing, a requirement can be defined only in terms of the stem part of the condition.

Definition 4.4 A *requirement* is a set $\mathcal{K}^{X, \mathcal{G}}$ of finite strings over h which is closed under extensions and is defined by

$$\mathcal{K}^{X, \mathcal{G}} = \{\tau \in h^{<\omega} : (\exists F \subseteq_{fin} \text{Odd}(\mathcal{G})) R_{\mathcal{K}}^X(\tau, F)\}$$

for an X -computable relation $R_{\mathcal{K}}^X$ such that if $R_{\mathcal{K}}^X(\tau, F)$ holds for a finite set of pairs F , and F_1 is a finite set of singletons extensibles to pairs in F – that is $(\forall \{z\} \in F_1)(\exists \{x, y\} \in F)[z \in \{x, y\}]$ – then $R_{\mathcal{K}}^X(\tau, F_1)$ also holds. This last property will be used in the proof of Theorem 4.11.

We illustrate our general notion of requirement by describing a particular scheme of requirements which will ensure that $X \oplus f$ does not compute a solution to \mathcal{G} . We shall see later another scheme of requirements which will “propagate” uniform density to the context $X \oplus f$.

Example 4.5 For each integer m , define the requirement

$$\mathcal{W}_m^{X, \mathcal{G}} = \{\tau \in h^{<\omega} : (\exists \{a, b\} \in \text{Odd}(\mathcal{G})) \Phi_m^{X \oplus \tau}(a) = \Phi_m^{X \oplus \tau}(b) = 1\}$$

In this case, the X -computable predicate $R_{\mathcal{W}_m}^X(\tau, F)$ is defined by

$$F \neq \emptyset \wedge (\forall x \in \bigcup F)[\Phi_m^{X \oplus \tau}(x) = 1]$$

Note that it satisfies the property of Definition 4.4. Suppose a condition (σ, B) used to construct our generic function f satisfies $\sigma \in \mathcal{W}_m^{X, \mathcal{G}}$. Because σ is an initial segment of f , we have successfully diagonalized against $\Phi_m^{X \oplus f}$ computing a solution to \mathcal{G} .

We can replace the graph \mathcal{G} in a requirement $\mathcal{K}^{X,\mathcal{G}}$ by a set of pairs $A_0 \times A_1$, which can be thought of as the set of edges of a finite or infinite graph, and consider the set

$$\mathcal{K}^{X,A_0 \times A_1} = \{\tau \in h^{<\omega} : (\exists F \subseteq_{fin} \text{Odd}(A_0 \times A_1)) R_{\mathcal{K}}^X(\tau, F)\}$$

Definition 4.6 We say \mathcal{K}^X is *essential* below some k -roomy condition (σ, B) if for every x , there exists a finite set $A_0 > x$ such that for every y , there exists a finite set $A_1 > y$ such that $\mathcal{K}^{X,A_0 \times A_1}$ is $2k$ -big above σ .

The following notion of uniform density is a fairness property of the context X . It states that if the requirement $\mathcal{K}^{X,\mathcal{G}}$ provides infinitely many fresh values on which the graph \mathcal{G} could create a diagonalization, then at some point, \mathcal{G} will actually produce one.

Definition 4.7 We say $\mathcal{K}^{X,\mathcal{G}}$ is *uniformly dense* if whenever \mathcal{K}^X is essential below some k -roomy condition (σ, B) , $\mathcal{K}^{X,\mathcal{G}}$ is k -big above σ .

Definition 4.8 We say (σ, B) *settles* $\mathcal{K}^{X,\mathcal{G}}$ if either $\sigma \in \mathcal{K}^{X,\mathcal{G}}$ or there exists an $x \in \omega$ such that $\mathcal{K}^{X,(x,+\infty)^2} \subseteq B$.

Intuitively, a condition (σ, B) settles a requirement $\mathcal{K}^{X,\mathcal{G}}$ if it forces a finite bad outcome $\sigma \in \mathcal{K}^{X,\mathcal{G}}$ (usually a diagonalization) or forces an infinite bad outcome $\mathcal{K}^{X,(x,+\infty)^2} \subseteq B$ (basically forcing the partiality of a functional). This intuition is best illustrated by the actual requirement scheme we care about.

Example 4.9 Suppose (σ, B) settles $\mathcal{W}_m^{X,\mathcal{G}}$. We claim that if (σ, B) appears in a sequence defining a generic function f , then $\Phi_m^{X \oplus f}$ is not a solution to \mathcal{G} . If $\sigma \in \mathcal{W}_m^{X,\mathcal{G}}$, then this claim was verified by Example 4.5. So assume that (σ, B) settles $\mathcal{K}^{X,\mathcal{G}}$ via the second clause and fix the witness x . We claim that for all $(\tau, C) \leq (\sigma, B)$ and all $y > x$, $\Phi_m^{X \oplus \tau}(y) \uparrow$ or $\Phi_m^{X \oplus \tau}(y) \downarrow \neq 1$. It follows immediately from this claim that $\Phi_m^{X \oplus f}$ is partial or defines a finite set and hence is not a solution to \mathcal{G} . To prove this claim, fix $(\tau, C) \leq (\sigma, B)$. Suppose for a contradiction that there is a $y > x$ such that $\Phi_m^{X \oplus \tau}(y) = 1$. Then

$$(\exists \{y, y\} \in (x, +\infty)^2) \Phi_m^{X \oplus \tau}(y) = \Phi_m^{X \oplus \tau}(y) = 1$$

and hence $\tau \in \mathcal{K}^{X,(x,+\infty)^2} \subseteq B \subseteq C$, contradicting the fact that C is k -small above τ for some k .

The following lemma confornts the intuition that the settling relation is a forcing notion, and in particular is stable by condition extension.

Lemma 4.10 If (σ, B) settles $\mathcal{K}^{X,\mathcal{G}}$ and $(\tau, C) \leq (\sigma, B)$, then (τ, C) settles $\mathcal{K}^{X,\mathcal{G}}$.

Proof. If $\sigma \in \mathcal{K}^{X,\mathcal{G}}$, then by closure of $\mathcal{K}^{X,\mathcal{G}}$ under suffixes, $\tau \in \mathcal{K}^{X,\mathcal{G}}$ and therefore (τ, C) settles $\mathcal{K}^{X,\mathcal{G}}$. Suppose now that (σ, B) settles $\mathcal{K}^{X,\mathcal{G}}$ via the second clause, with

some witness x . $\mathcal{K}^{X,(x,+\infty)^2} \subseteq B \subseteq C$, therefore (τ, C) settles $\mathcal{K}^{X,\mathcal{G}}$ with the same witness. \square

The following theorem is the heart of the iteration forcing. It states that, under the assumption of uniform density, the set of conditions settling a requirement is dense.

Theorem 4.11 Let $\mathcal{K}^{X,\mathcal{G}}$ be a uniformly dense requirement and let (σ, B) be a condition. There is an extension $(\tau, C) \leq (\sigma, B)$ settling $\mathcal{K}^{X,\mathcal{G}}$.

Proof. We can suppose w.l.o.g. that (σ, B) is k -roomy for some $k \in \omega$.

First suppose that $\mathcal{K}^{X,\mathcal{G}}$ is essential below (σ, B) . By definition of uniformly dense, $\mathcal{K}^{X,\mathcal{G}}$ is k -big above σ . By the contatenation property, there exists an extension $\tau \succeq \sigma$ which is in $\mathcal{K}^{X,\mathcal{G}} \setminus B$ and such that B is k -small above τ . The condition (τ, B) is a valid extension settling $\mathcal{K}^{X,\mathcal{G}}$ via the first clause.

Suppose now that $\mathcal{K}^{X,\mathcal{G}}$ is not essential below (σ, B) . By definition, there exists an $x \in \omega$ such that for every finite set $A_0 > x$, there exists a $y \in \omega$ such that for every finite set $A_1 > y$, $\mathcal{K}^{X,A_0 \times A_1}$ is $2k$ -small above σ . Fix such x . We have two cases:

- Case 1: $\mathcal{K}^{X,(x,+\infty)^2}$ is $3k$ -small above σ . By the smallness additivity property, $B_1 = B \cup \mathcal{K}^{X,(x,+\infty)^2}$ is $4k$ -small above σ . Therefore (σ, B_1) is an extension of (σ, B) settling $\mathcal{K}^{X,\mathcal{G}}$ via the second clause with witness x .
- Case 2: $\mathcal{K}^{X,(x,+\infty)^2}$ is $3k$ -big above σ . Let $T \subseteq h^{<\omega}$ a the finite tree $3k$ -bushy above σ whose leafs are in $\mathcal{K}^{X,(x,+\infty)^2}$. There are finitely many leafs in T , so there exists a finite set A_0 such that \mathcal{K}^{X,A_0^2} is $3k$ -big above σ . By assumption, there exists some $y > A_0$ be such that for every finite set $A_1 > y$, $\mathcal{K}^{X,A_0 \times A_1}$ is $2k$ -small above σ . Fix such y . The set $\mathcal{K}^{X,A_0 \times (y,+\infty)}$ is $2k$ -small above σ , otherwise, the same argument as for A_0 would give a finite set $A_1 \subset (y, +\infty)$ such that $\mathcal{K}^{X,A_0 \times A_1}$ is $2k$ -big above σ , contradicting our choice of y . So $B_1 = (B \cup \mathcal{K}^{X,A_0 \times (y,+\infty)})$ is $3k$ -small above σ . By the concatenation property, there exists a string $\tau \succeq \sigma$ in \mathcal{K}^{X,A_0^2} such that B_1 is $3k$ -small above τ . Notice that, if we denote by Γ_τ the set of strings extending τ ,

$$\begin{aligned} \mathcal{K}^{X,A_0 \times (y,+\infty)} \cap \Gamma_\tau &= \{\rho \succeq \tau : (\exists F \subseteq_{fin} \text{Odd}(A_0 \times (y, +\infty))) R_{\mathcal{K}}^X(\rho, F)\} \\ &= \{\rho \succeq \tau : (\exists F \subseteq_{fin} \text{Odd}((y, +\infty)^2)) R_{\mathcal{K}}^X(\rho, F)\} \\ &= \mathcal{K}^{X,(y,+\infty)^2} \cap \Gamma_\tau \end{aligned}$$

This equality is due to the extra property we imposed to $R_{\mathcal{K}}^X$ in Definition 4.4. Therefore $B_2 = B_1 \cup \mathcal{K}^{X,(y,+\infty)^2}$ is $3k$ -small above τ . The condition (τ, B_2) is an extension settling $\mathcal{K}^{X,\mathcal{G}}$ via the second clause with witness y . \square

4.1.2. The construction

At this stage of the proof, we have proven the lemmas necessary for constructing a function f d.n.c. relative to X such that $X \oplus f$ does not compute a solution to \mathcal{G} . We shall see later that, by considering some carefully chosen requirements, the property of uniform density of the requirements also holds at level $X \oplus f$.

To define f , let $\mathcal{K}_0^{X,\mathcal{G}}, \mathcal{K}_1^{X,\mathcal{G}} \dots$ be a list of all the requirements. Thanks to Theorem 4.11, we can define by induction an infinite decreasing sequence of conditions $c_0 = (\epsilon, B_{DNC}^X) \geq c_1 \geq \dots$ such that for each $s \in \omega$

1. $|\sigma_s| \geq s$ (by the concatenation property)
2. c_{s+1} settles $\mathcal{K}_s^{X,\mathcal{G}}$ (by Theorem 4.11)

where c_s is some k_s -roomy condition (σ_s, B_s) for $s > 0$. We define our generic function by $f = \bigcup_s \sigma_s$. As $B_{DNC}^X = B_0 \subseteq B_s$ for every $s \geq 0$, B_{DNC}^X is k -small above every initial segment σ_s of f for some k , and therefore f is d.n.c. relative to X . As well, by Example 4.9, $X \oplus f$ does not compute a solution to \mathcal{G} .

4.1.3. Forcing uniform density

We now describe the requirements forcing uniform density at the next level. To specify a potential requirement at the next level, we need the index for the relation $R_{\mathcal{K}}^{X \oplus f}$, defining the requirement $\mathcal{K}^{X \oplus f, \mathcal{G}}$. We shall denote this index by \mathcal{K} . For each such index \mathcal{K} and each potential condition (ξ, \cdot) of the forcing $\mathbb{P}^{X \oplus f}$ we will associate a requirement $\mathcal{T}_{\mathcal{K}, \xi, r}^X$ at the level X ensuring that $\mathcal{K}^{X \oplus f, \mathcal{G}}$ will satisfy uniform density in the case where (ξ, \cdot) is an r -roomy condition.

The requirement $\mathcal{T}_{\mathcal{K}, \xi, r}^X$ consists of all strings $\tau \in \omega^{<\omega}$ such that there exists a finite tree $T \subseteq h^{<\omega}$ which is r -bushy below ξ (and whose code is bounded by $|\tau|$ to ensure X -computability of $R_{\mathcal{T}}^X$) such that for each leaf ρ in T , $(\exists F \subseteq_{fin} \text{Odd}(\mathcal{G})) R_{\mathcal{K}}^{X \oplus \tau}(\rho, F)$. Note that this is where we need the fact that F is a finite subset of $\text{Odd}(\mathcal{G})$ and not simply a pair in $\text{Odd}(\mathcal{G})$.

Lemma 4.12 Let $f = \bigcup_s \sigma_s$ be a generic function defined by a sequence of conditions (σ_s, B_s) . Each requirement $\mathcal{K}^{X \oplus f, \mathcal{G}}$ is uniformly dense.

Proof. Fix a requirement $\mathcal{K}^{X \oplus f, \mathcal{G}}$ and an r -roomy condition (ξ, D) such that $\mathcal{K}^{X \oplus f}$ is essential below (ξ, D) . By property 2. of the construction, there is a condition (σ_s, B_s) settling $\mathcal{T}_{\mathcal{K}, \xi, r}^{X, \mathcal{G}}$. By definition, there are two ways in which (σ_s, B_s) could settle $\mathcal{T}_{\mathcal{K}, \xi, r}^{X, \mathcal{G}}$.

- Case 1: $\sigma_s \in \mathcal{T}_{\mathcal{K}, \xi, r}^{X, \mathcal{G}}$. By definition, there exists a finite tree $T \subseteq h^{<\omega}$ which is r -bushy below ξ and such that for each leaf ρ in T , $(\exists F \subseteq_{fin} \text{Odd}(\mathcal{G})) R_{\mathcal{K}}^{X \oplus \sigma_s}(\rho, F)$. In other words, $\mathcal{K}^{X \oplus \sigma_s, \mathcal{G}}$ is r -big above ξ . Therefore, by the use property, $\mathcal{K}^{X \oplus f, \mathcal{G}}$ is r -big above ξ , hence $\mathcal{K}^{X \oplus f, \mathcal{G}}$ is uniformly dense above (ξ, \cdot) .

- Case 2: there exists an $x \in \omega$ such that $\mathcal{T}_{\mathcal{K}, \xi, r}^{X, (x, +\infty)^2} \subseteq B_s$. Because $\mathcal{K}^{X \oplus f}$ is essential below (ξ, D) , there exists a finite set $A_0 > x$ and a finite set $A_1 > A_0$ such that $\mathcal{K}^{X \oplus f, A_0 \times A_1}$ is r -big above ξ . Let $T \subseteq h^{<\omega}$ be a finite tree r -bushy above ξ witnessing this fact. Let (σ_t, B_t) be an extension of (σ_s, B_s) such that $|\sigma_t|$ is greater than the code of T and σ_t is large enough to witness that for each leaf $\rho \in T$, $(\exists F \subseteq_{fin} \text{Odd}(\mathcal{G})) R_{\mathcal{K}}^{X \oplus \sigma_t}(\rho, F)$. Such σ_t must exist by the use property. By definition, $\sigma_t \in \mathcal{T}_{\mathcal{K}, \xi, r}^{X, (x, +\infty)^2}$, but $\mathcal{T}_{\mathcal{K}, \xi, r}^{X, (x, +\infty)^2} \subseteq B_s \subseteq B_t$, contradicting the fact that B_t is k -small above σ_t for some k .

□

This last lemma finishes the iteration forcing as it enables to prove that the property of uniform density is propagated to level $X \oplus f$.

4.2. Ground construction

It remains to construct an infinite, computable, locally 2-colorable graph \mathcal{G} such that

- \mathcal{G} has no computable infinite homogeneous set
- Each requirement $\mathcal{K}^{\emptyset, \mathcal{G}}$ is uniformly dense

The construction is very similar to the measure-defeating argument used in [3] for separating WWKL_0 from RCOLOR_2 over ω -models. The construction is done by a finite injury priority argument. The resulting graph will be composed of connected components of finite size. Each strategy will put restraints on finitely many connected components, each of finite size, therefore each strategy will have cofinitely many vertices not restrained by strategies of higher priority to work with. In order to make the graph computable, the edges over domain $\{0, \dots, s\}$ must be decided before stage s . We build a graph satisfying two kind of requirements.

4.2.1. Forcing \mathcal{G} not to have computable solutions

The first class of requirements \mathcal{R}_e ensures that Φ_e does not compute an infinite \mathcal{G} -homogeneous set.

$$\mathcal{R}_e : \Phi_e \text{ infinite} \rightarrow (\exists \{x, y\} \in \text{Odd}(\mathcal{G})) \Phi_e(x) = \Phi_e(y) = 1$$

The strategy for satisfying the requirement \mathcal{R}_e consists in waiting until Φ_e halts on two values x and y which are *not* in connected components restrained by strategies of higher priority, and are not in the same connected components. Assuming that each strategy acts finitely often and each connected component is of finite size, if Φ_e

is infinite, there must be such a pair $\{x, y\}$ appearing at some finite stage s . At this stage, the strategy picks two fresh vertices z_1, z_2 which have not appeared yet in the construction, and adds the edges $\{x, z_1\}, \{z_1, z_2\}, \{z_2, y\}$ in \mathcal{G} . Having done that, the strategy puts a restraint to all vertices in the connected component of x and y and is declared satisfied. The edges added ensure that $\{x, y\} \in \text{Odd}(\mathcal{G})$. As the graph is computable, once a strategy is satisfied, it is never injured.

4.2.2. Forcing uniform density

The second class of requirements $\mathcal{S}_{\mathcal{K}, \sigma, k}$ states that if \mathcal{K} is a potential requirement, if $h(|\sigma|) \geq 4k$ and if for every $x \in \omega$, there exists a finite set $A_0 > x$ such that for every $y \in \omega$, there exists a finite set $A_1 > y$ such that $\mathcal{K}^{\emptyset, A_0 \times A_1}$ is $2k$ -big above σ , then the set $\mathcal{K}^{\emptyset, \mathcal{G}}$ is k -big above σ .

The strategy for satisfying the requirement $\mathcal{S}_{\mathcal{K}, \sigma, k}$ consists in waiting until it finds two finite sets of vertices A_0 and A_1 such that the vertices of A_0 and vertices of A_1 live in different connected components and are not restrained by a strategy of higher priority, together with a finite tree $T \subseteq h^{<\omega}$ which is $2k$ -bushy above σ and whose leaves are in $\mathcal{K}^{\emptyset, A_0 \times A_1}$.

If such pair of sets A_0 and A_1 is found, the strategy takes two fresh vertices a and b greater than any vertex which already appeared in the construction, and adds an edge between them. It also adds edges between members of A_0 and a so that they all live in the same connected component. Now, consider two possible extensions G_1, G_2 of our current graph G , in which G_1 is some completion where all vertices in A_1 are connected to either a or b in a way that the graph remains bipartite, and G_2 is obtained from G by adding for each $y \in A_1$ an edge $\{a, y\}$ if and only if $\{b, y\} \in G_1$ and an edge $\{b, y\}$ if and only if $\{a, y\} \in G_1$. Note that G_2 is also bipartite and any pair $\{x, y\} \in A_0 \times A_1$ is homogeneous for exactly one of G_1 and G_2 . By the smallness additivity property, either $\mathcal{K}^{\emptyset, G_1}$ or $\mathcal{K}^{\emptyset, G_2}$ is k -big above σ , so we can complete the graph \mathcal{G} so that $\mathcal{K}^{\emptyset, \mathcal{G}}$ is k -big above σ .

If no such pair of sets exists, we claim that the requirement is vacuously satisfied. Suppose it is not and assume that each connected component is of finite size, and there are finitely many connected components restrained by strategies of higher priority. Taking x to be greater than all restrained vertices, there must be a finite set $A_0 > x$ such that for every y , there exists a finite set $A_1 > y$ such that $\mathcal{K}^{\emptyset, A_0 \times A_1}$ is $2k$ -big above σ .

By choice of x , members of A_0 are not restrained by a strategy of higher priority. The connected components of members of A_0 being finite, taking y to be greater than all of those connected components, there must exist a finite set $A_1 > y$ such that $\mathcal{K}^{\emptyset, A_0 \times A_1}$ is $2k$ -big above σ . By choice of y , members of A_1 are in different connected components than members of A_0 and are not restrained by strategies of higher priority. By definition of $2k$ -bigness, there exists a finite tree T which is $2k$ -bushy above σ and whose leaves are in $\mathcal{K}^{\emptyset, A_0 \times A_1}$. Therefore we are in first case.

4.2.3. The construction

The two kinds of requirements are interleaved into a priority ordering. Notice that as soon as an edge is put between two vertices x and y , the corresponding strategy puts a restraint on the whole connected component, so that no strategy of lower priority can add edges to it. As each strategy adds finitely many vertices, each connected component of the resulting graph \mathcal{G} must be of finite size. As well, no edge is added between two vertices $x, y < s$ after stage s , therefore the graph is computable.

This finishes the ground construction.

4.3. Putting all together

Thanks to the ground construction and the iteration forcing, we can define an infinite increasing sequence of sets $X_0 = \emptyset \leq_T X_1 \leq_T \dots$ such that for each $i \in \omega$

- X_{i+1} computes a function d.n.c. relative to X_i
- X_i does not compute a solution to \mathcal{G}

Let \mathcal{M} be ω -structure whose second-order part is the Turing ideal generated by the sequence X_0, X_1, \dots . We first check that \mathcal{M} is a model of h -DNR. Fix a set $Y \in \mathcal{M}$. There exists an i such that $Y \leq_T X_i$, and so such that X_{i+1} computes an h -bounded function f d.n.c. relative to Y . By construction of \mathcal{M} , $X_{i+1} \in \mathcal{M}$ and so $f \in \mathcal{M}$. Therefore \mathcal{M} is a model of h -DNR. There remains to check that \mathcal{M} is not a model of RCOLOR_2 . As \mathcal{G} is computable, $\mathcal{G} \in \mathcal{M}$. For every set $Y \in \mathcal{M}$, there exists an i such that $Y \leq_T X_i$ and therefore Y is not a solution to \mathcal{G} . Hence \mathcal{G} has no solution in \mathcal{M} and thus is not a model of RCOLOR_2 .

Acknowledgements. The author is grateful to Manuel Lerman, Reed Solomon and Henry Towsner for kindly answering the author's questions about their forcing techniques. The author is also thankful to his PhD advisor Laurent Bienvenu for useful comments and discussions.

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