

# Controlling iterated jumps of Ramsey-type theorems

Ludovic PATEY  
*PPS, Paris 7*



September 21, 2015

# RAMSEY-TYPE HIERARCHIES

## Ramsey's theorem

### Definition

Given a coloring  $f : [\mathbb{N}]^n \rightarrow k$ , a set  $H$  is  $f$ -homogeneous if there exists a color  $i < k$  such that  $f([H]^n) = i$ .

$\text{RT}_k^n$ : Every coloring  $f : [\mathbb{N}]^n \rightarrow k$  has an infinite  $f$ -homogeneous set.

## Rainbow Ramsey theorem

### Definition

A coloring  $f : [\mathbb{N}]^n \rightarrow \mathbb{N}$  is  $k$ -bounded if each color is used at most  $k$  times. A set  $H$  is an  $f$ -rainbow if  $f$  is injective on  $[H]^n$ .

$\text{RRT}_k^n$ : Every  $k$ -bounded coloring  $f : [\mathbb{N}]^n \rightarrow \mathbb{N}$  has an infinite  $f$ -rainbow.

# RAMSEY-TYPE HIERARCHIES

## Thin set theorem

### Definition

Given a coloring  $f : [\mathbb{N}]^n \rightarrow \mathbb{N}$ , a set  $H$  is  **$f$ -thin** if  $f([H]^n)$  avoids  $i$ .

**TS<sup>n</sup>** : Every coloring  $f : [\mathbb{N}]^n \rightarrow \mathbb{N}$  has an infinite  $f$ -thin set.

## Free set theorem

### Definition

Given a coloring  $f : [\mathbb{N}]^n \rightarrow \mathbb{N}$ , a set  $H$  is  **$f$ -free** if for every  $\sigma \in [H]^n$ ,  $f(\sigma) \in H \rightarrow f(\sigma) \in \sigma$ .

**FS<sup>n</sup>** : Every coloring  $f : [\mathbb{N}]^n \rightarrow \mathbb{N}$  has an infinite  $f$ -free set.

# THE HIERARCHIES OVER COMPUTABLE REDUCIBILITY

Definition (Jockusch's bounds)

For every  $n \geq 2$ ,

- (i) Every computable  $\mathbf{P}^n$ -instance has a  $\Pi_n^0$  solution.
- (ii) There is a computable  $\mathbf{P}^n$ -instance with no  $\Sigma_n^0$  solution.

If a hierarchy satisfies Jockusch's bounds, then it is **strict** over **computable reducibility**.

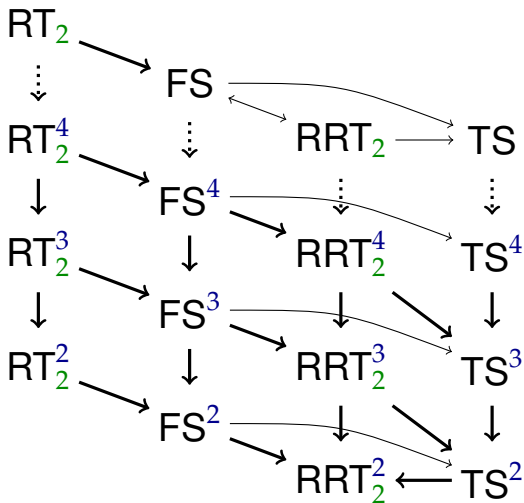
# THE HIERARCHIES OVER COMPUTABLE REDUCIBILITY

## Theorem

*The following satisfy Jockusch's bounds.*

- ▶ *Ramsey's theorem* (Jockusch)
- ▶ *The rainbow Ramsey theorem* (Csimá, Mileti)
- ▶ *The free set theorem* (Cholak, Giusto, Hirst, Jockusch)
- ▶ *The thin set theorem* (Cholak, Giusto, Hirst, Jockusch)

## THE HIERARCHIES OVER COMPUTABLE REDUCIBILITY



# RAMSEY-TYPE HIERARCHIES

What about **reverse mathematics**?

# RAMSEY'S THEOREM IN REVERSE MATHS

Theorem (Simpson)

For every  $n \geq 3$ ,  $\text{RCA}_0 \vdash \text{RT}_2^n \leftrightarrow \text{ACA}_0$ .

Theorem (Seetapun)

$\text{RCA}_0 \wedge \text{RT}_2^2 \not\vdash \text{ACA}_0$

$$\text{RT}_{2,2}^k, k \geq 3$$

$$\downarrow$$

$$\text{RT}_{2,2}^2$$



# RAMSEY-TYPE HIERARCHIES IN REVERSE MATHS

Theorem (Wang)

*None of  $\text{FS}$ ,  $\text{RRT}_2$  and  $\text{TS}$  imply  $\text{ACA}_0$  over  $\text{RCA}_0$ .*

Theorem (Cholak, Jockusch, Slaman)

*Every computable  $\text{RT}_2^2$ -instance admits a  $\text{low}_2$  solution. The same holds for  $\text{FS}^2$ ,  $\text{RRT}_2^2$  and  $\text{TS}^2$ .*

Theorem (Wang)

*Every computable  $\text{RRT}_2^3$ -instance admits a  $\text{low}_3$  solution.*

# RAMSEY-TYPE HIERARCHIES IN REVERSE MATHS

Definition (Strong Jockusch's bounds)

For every  $n \geq 2$ ,

- (i) Every computable  $\mathbf{P}^n$ -instance has a **low<sub>n</sub> solution**.
- (ii) There is a computable  $\mathbf{P}^n$ -instance with **no  $\Sigma_n^0$  solution**.

If a hierarchy satisfies strong Jockusch's bounds, then it is **strict** over **reverse mathematics**.

# RAMSEY-TYPE HIERARCHIES IN REVERSE MATHS

Do FS,  $RRT_2$  or TS satisfy  
strong Jockusch's bounds?

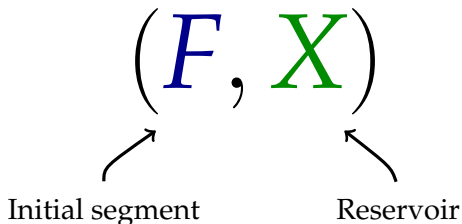
# RAMSEY-TYPE HIERARCHIES IN REVERSE MATHS

Do FS,  $RRT_2$  or TS satisfy  
strong Jockusch's bounds?

I don't know :(

# MATHIAS FORCING

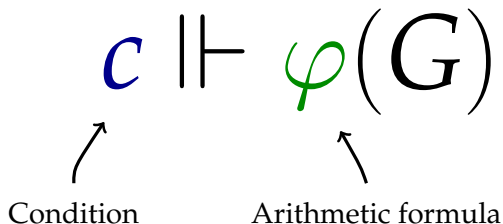
Solutions to Ramsey-type theorems are built using variants of [Mathias forcing](#).



$F$  is **finite**,  $X$  is **infinite** and  $\max(F) < \min(X)$ .

# LOW<sub>n</sub>-NESS

Make a  $\emptyset^{(n)}$ -effective construction which decides  $\Sigma_n^{0,G}$  formulas.



If  $\mathcal{F}$  sufficiently generic filter, then  
 $\varphi(G)$  holds iff  $c \Vdash \varphi(G)$  for some  $c \in \mathcal{F}$ .

# FORCING RELATION

Fix a condition  $c = (F, X)$  and an arithmetic formula  $\varphi(G)$ .

Base case:

If  $\varphi$  is  $\Sigma_0^0$ , then  $c \Vdash \varphi$  iff  $\varphi(F)$  holds.

Induction rules:

- (i)  $c \Vdash (\exists n)\varphi(n)$  iff  $c \Vdash \varphi(n)$  for some  $n \in \omega$ .
- (ii)  $c \Vdash \neg\varphi$  iff  $d \nVdash \varphi$  for all  $d \leq c$ .

# FORCING RELATION

computable Mathias forcing = computable reservoir.

Theorem (Cholak, Dzhafarov, Hirst, Slaman)

Fix a condition  $c = (F, X)$  and an arithmetic formula  $\varphi(G)$ .

- (1) If  $\varphi$  is  $\Sigma_0^0$ , then the relation  $c \Vdash \varphi$  is computable.
- (2) If  $\varphi$  is  $\Sigma_1^0$ ,  $\Pi_1^0$  or  $\Sigma_2^0$ , then so is the relation  $c \Vdash \varphi$ .
- (3) For  $n \geq 2$ , if  $\varphi$  is  $\Pi_n^0$ , then the relation  $c \Vdash \varphi$  is  $\Pi_{n+1}^0$ .
- (4) For  $n \geq 3$ , if  $\varphi$  is  $\Sigma_n^0$ , then the relation  $c \Vdash \varphi$  is  $\Sigma_{n+1}^0$ .



# APPROACH

Define more **precise** conditions thanks to a **refined analysis** of the argument.

# COHESIVENESS

## Definition

An infinite set  $C$  is  $\vec{R}$ -cohesive for a sequence of sets  $R_0, R_1, \dots$  if for each  $i \in \omega$ ,  $C \subseteq^* R_i$  or  $C \subseteq^* \overline{R_i}$ .

COH: “Every sequence of sets  $\vec{R}$  has an  $\vec{R}$ -cohesive set.”

The  $\vec{R}$ -cohesive set  $C$  is

- ▶ **p-cohesive** if  $\vec{R}$  are all the primitive recursive sets
- ▶ **r-cohesive** if  $\vec{R}$  are all the computable sets

# COHESIVENESS

Fix  $R_0, R_1, \dots$ . Given some condition  $(F, X)$ ,

(S1) the **extension** step:

- ▶ take an element  $x$  from  $X$  and add it to  $F$
- ▶ new condition:  $(F \cup \{x\}, X \setminus [0, x])$

(S2) the **cohesiveness** step:

- ▶ choose an infinite  $X \cap R_i$  or  $X \cap \bar{R}_i$
- ▶ new condition:  $(F, X \cap R_i)$  or  $(F, X \cap \bar{R}_i)$

# COHESIVENESS

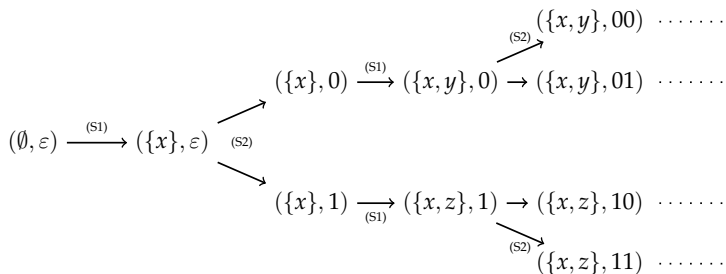
- ▶ After 0 coh step, we obtain  $(F, \omega \setminus [0, \max(F)])$
- ▶ After 1 coh step, we obtain one of the following
  - ▶  $(F, R_0 \setminus [0, \max(F)])$
  - ▶  $(F, \bar{R}_0 \setminus [0, \max(F)])$
- ▶ After 2 coh steps, we obtain one of the following
  - ▶  $(F, R_0 \cap R_1 \setminus [0, \max(F)])$
  - ▶  $(F, \bar{R}_0 \cap R_1 \setminus [0, \max(F)])$
  - ▶  $(F, R_0 \cap \bar{R}_1 \setminus [0, \max(F)])$
  - ▶  $(F, \bar{R}_0 \cap \bar{R}_1 \setminus [0, \max(F)])$
- ▶ And so on...

# COHESIVENESS

- ▶ Define  $R_\varepsilon = \omega$ .
- ▶ Given a string  $\sigma$  of length  $i$ ,
  - ▶  $R_{\sigma 0} = R_\sigma \cap \bar{R}_i$
  - ▶  $R_{\sigma 1} = R_\sigma \cap R_i$

$(F, \sigma)$  denotes  $(F, R_\sigma \setminus [0, \max(F)])$

## COHESIVENESS



# COHESIVENESS

## Definition

A tuple  $c = (F, \sigma)$  is **valid** iff  $R_\sigma$  is infinite.

## Definition

$$\mathcal{T}(\vec{R}) = \{\sigma \in 2^{<\omega} : |R_\sigma| \geq |\sigma|\}$$

- ▶  $\mathcal{T}(\vec{R})$  is an infinite,  $\Delta_2^0$  binary tree.
- ▶  $(F, \sigma)$  is valid iff  $\sigma$  is extendible in  $\mathcal{T}(\vec{R})$

# COHESIVENESS

A **condition** is a tuple  $(F, \sigma, T)$  such that

- (a)  $F$  is a finite set
- (b)  $T$  is an infinite,  $\emptyset'$ -p.r. **subtree of  $\mathcal{T}(\vec{R})$**
- (c)  $\sigma \in 2^{<\omega}$  is a stem of  $T$

A condition  $(E, \tau, S)$  **extends**  $(F, \sigma, T)$  iff

- (i)  $F \subseteq E, E \setminus F \subseteq R_\sigma \setminus [0, \max(E)]$
- (ii)  $\sigma \preceq \tau$
- (iii)  $S \subseteq T$



FORCING  $\Sigma_1^0$  AND  $\Pi_1^0$  FORMULAS

Fix a **precondition**  $c = (F, \sigma, T)$  (drop “ $T$  is infinite”).

Fix a  $\Sigma_0^0$  formula  $\varphi(G, x)$ .

- (i)  $c \Vdash (\exists x)\varphi(G, x)$  iff  $\varphi(F, w)$  holds for some  $w \in \omega$
- (ii)  $c \Vdash (\forall x)\varphi(G, x)$  iff  $\varphi(E, w)$  holds for every  $w \in \omega$  and every set  $E$  satisfying  $(F, \sigma)$ .

# FORCING ARITHMETIC FORMULAS

Fix a **condition**  $c = (F, \sigma, T)$ .

Fix an arithmetic formula  $\varphi(G)$ .

- (iii) If  $\varphi = (\exists x)\psi(x)$  where  $\psi \in \Pi_{n+1}^0$  then  $c \Vdash \varphi$  iff there is a  $w < |\sigma|$  such that  $c \Vdash \psi(w)$
- (iv) If  $\varphi = (\forall x)\psi(x)$  where  $\psi \in \Sigma_1^0$  then  $c \Vdash \varphi$  iff for every  $\tau \in T$ , every  $E$  satisfying  $(F, \tau)$  and every  $w < |\tau|$ ,  $(E, \tau, T^{[\tau]}) \not\Vdash \neg\psi(w)$
- (v) If  $\varphi = \neg\psi(x)$  where  $\psi \in \Sigma_{n+3}^0$  then  $c \Vdash \varphi$  iff  $d \not\Vdash \psi$  for every  $d \leq c$ .

# THE FORCING RELATION

## Lemma

*Suppose that  $\mathcal{F}$  is a sufficiently generic filter and let  $G$  be the corresponding generic real. Then for each arithmetic formula  $\varphi(G)$ ,  $\varphi(G)$  holds iff  $c \Vdash \varphi(G)$  for some  $c \in \mathcal{F}$ .*

## Lemma

*Fix a condition  $c$  and an arithmetic formula  $\varphi(G)$ . If  $\varphi(G)$  is a  $\Sigma_n^0$  ( $\Pi_n^0$ ) formula then so is the relation  $c \Vdash \varphi(G)$ .*

# WHO'S NEXT?

We can do the same for

- ▶ The Erdős-Moser theorem
- ▶ Stable Ramsey's theorem for pairs
- ▶ ...

$$(\vec{F}, T, \mathcal{C})$$

$$(\vec{F}, T, \mathcal{C})$$

# CONCLUSION

- ▶ The free set, thin set and rainbow Ramsey hierarchies are **combinatorially weak**.
- ▶ Eventhough **conceptually simple**, controlling iterated jumps is **syntactically heavy**.
- ▶ In order to control iterated jumps, we must avoid **wasting properties**.

# REFERENCES



Peter A. Cholak, Mariagnese Giusto, Jeffrey L. Hirst, and Carl G. Jockusch Jr.  
Free sets and reverse mathematics.  
*Reverse mathematics*, 21:104–119, 2001.



Ludovic Patey.  
Controlling iterated jumps of solutions to combinatorial problems.  
Submitted. Available at <http://arxiv.org/abs/1509.05340>, 2015.



Wei Wang.  
Cohesive sets and rainbows.  
*Annals of Pure and Applied Logic*, 165(2):389–408, 2014.



Wei Wang.  
The definability strength of combinatorial principles, 2014.  
To appear. Available at <http://arxiv.org/abs/1408.1465>.

# QUESTIONS

Thank you for listening!