

# Ramsey's theorem and compactness

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# THEOREMS AS PROBLEMS

Many theorems  $\mathbf{P}$  are of the form

$$(\forall X)[\Phi(X) \rightarrow (\exists Y)\Psi(X, Y)]$$

where  $\Phi$  and  $\Psi$  are arithmetic formulas.

We may think of  $\mathbf{P}$  as a class of **problems**.

- ▶ An  $X$  such that  $\Phi(X)$  holds is an **instance**.
- ▶ A  $Y$  such that  $\Psi(X, Y)$  holds is a **solution** to  $X$ .

# THEOREMS AS PROBLEMS

Examples:

- ▶ (König's lemma)  
Every **infinite, finitely branching tree** has an **infinite path**.
- ▶ (Ramsey's theorem)  
Every  **$k$ -coloring** has an **infinite monochromatic subset**.
- ▶ (The atomic model theorem)  
Every **complete atomic theory** has an **atomic model**.
- ▶ ...

# TURING IDEALS

A **Turing ideal** is a collection of sets  $\mathcal{M}$  closed under

- ▶ the **Turing reduction**:  $(\forall X \in \mathcal{M})(\forall Y \leq_T X)[Y \in \mathcal{M}]$
- ▶ the **effective join**:  $(\forall X, Y \in \mathcal{M})[X \oplus Y \in \mathcal{M}]$

Example:

- ▶  $\{X : X \text{ is computable}\}$
- ▶  $\{X : X \leq_T A \wedge X \leq_T B\}$  for some sets  $A$  and  $B$

# COMPARE THEOREMS

A Turing ideal  $\mathcal{M}$  **satisfies** a theorem  $P$  (written  $\mathcal{M} \models P$ ) if every  $P$ -instance in  $\mathcal{M}$  has a solution in  $\mathcal{M}$ .

A theorem  $P$  **computably entails** a theorem  $Q$  (written  $P \vdash_c Q$ ) if every Turing ideal satisfying  $P$  satisfies  $Q$ .

# SEPARATING THEOREMS

Fix two theorems  $P$  and  $Q$ .

How to prove that  $P \not\leq Q$ ?

Build a **Turing ideal**  $\mathcal{M}$  such that

- ▶  $\mathcal{M} \models P$
- ▶  $\mathcal{M} \not\models Q$

# SEPARATING THEOREMS

Pick a Q-instance  $I$  with no  $I$ -computable solution.

Start with  $\mathcal{M}_0 = \{Z : Z \leq_T I\}$ .

Given a Turing ideal  $\mathcal{M}_n = \{Z : Z \leq_T U\}$  for some set  $U$ ,

# SEPARATING THEOREMS

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1. pick some P-instance  $X \in \mathcal{M}_n$



# SEPARATING THEOREMS

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Given a Turing ideal  $\mathcal{M}_n = \{Z : Z \leq_T U\}$  for some set  $U$ ,

1. pick some **P-instance**  $X \in \mathcal{M}_n$
2. choose a **solution**  $Y$  to  $X$

# SEPARATING THEOREMS

Pick a Q-instance  $I$  with no  $I$ -computable solution.

Start with  $\mathcal{M}_0 = \{Z : Z \leq_T I\}$ .

Given a Turing ideal  $\mathcal{M}_n = \{Z : Z \leq_T U\}$  for some set  $U$ ,

1. pick some **P-instance**  $X \in \mathcal{M}_n$
2. choose a **solution**  $Y$  to  $X$
3. let  $\mathcal{M}_{n+1} = \{Z : Z \leq_T Y \oplus U\}$ .

# SEPARATING THEOREMS

Beware, while **adding sets** to  $\mathcal{M}$ ,  
we may **add a solution** to the Q-instance!

# SEPARATING THEOREMS

An **avoidance property** is a collection of sets closed upwards under the Turing reducibility.

## Examples

- ▶  $\{X : A \leq_T X\}$  for some set  $A$
- ▶  $\{X : X \text{ is of PA degree}\}$
- ▶  $\{X : X \text{ computes a Martin-Löf random}\}$

# SEPARATING THEOREMS

Fix a property  $\mathcal{P}$ .

A statement  $\mathbf{P}$  **avoids**  $\mathcal{P}$  if for every  $Z \notin \mathcal{P}$ , every **Z-computable**  $\mathbf{P}$ -instance  $X$  **has a solution**  $Y$  such that  $Y \oplus Z \notin \mathcal{P}$

Lemma

*If  $\mathbf{P}$  avoids  $\mathcal{P}$  but  $\mathbf{Q}$  does not, then  $\mathbf{P} \not\leq \mathbf{Q}$*

# Ramsey's theorem

# RAMSEY'S THEOREM

Fix a coloring  $f : [\mathbb{N}]^n \rightarrow k$ . A set  $H$  is  $f$ -homogeneous if there exists a color  $i < k$  such that  $f([H]^n) = i$ .

## Ramsey's theorem

Every coloring  $f : [\mathbb{N}]^n \rightarrow k$  has an infinite  $f$ -homogeneous set.

# CONE AVOIDANCE

A theorem  $P$  **avoids cones** if it avoids  $\{A_0, A_1, \dots\}$  for every countable sequence of **non-computable** sets  $A_0, A_1, \dots$ .

- ▶  $RT_2^3$  does not avoid  $\{\emptyset'\}$  (Jockusch, 1972)
- ▶  $RT_2^2$  avoids cones (Seetapun, 1995)



# AVOIDANCE VS STRONG AVOIDANCE

Avoidance  
 $\equiv$   
effective weakness

# STRONG AVOIDANCE

Fix a property  $\mathcal{P}$ .

A statement  $P$  **strongly avoids**  $\mathcal{P}$  if for every  $Z \notin \mathcal{P}$ , every  $P$ -instance  $X$  **has a solution**  $Y$  such that  $Y \oplus Z \notin \mathcal{P}$

# AVOIDANCE VS STRONG AVOIDANCE

Strong avoidance  
 $\equiv$   
combinatorial weakness

# STRONG CONE AVOIDANCE

A theorem  $P$  **strongly avoids cones** if it strongly avoids  $\{A_0, A_1, \dots\}$  for every countable sequence of **non-computable** sets  $A_0, A_1, \dots$

- ▶  $RT_2^2$  does not strongly avoid  $\{\emptyset'\}$  (Jockusch, 1972)
- ▶  $RT_2^1$  strongly avoids cones (Dzhafarov and J., 2009)

# König's lemma

# KÖNIG'S LEMMA

A **tree** is a subset of  $\mathbb{N}^{<\mathbb{N}}$  downward-closed under the prefix relation.

A tree  $T$  is **finitely branching** if for every  $\sigma \in T$ , there are finitely many  $n$ 's such that  $\sigma n \in T$ .

## König's lemma

Every infinite, finitely branching tree has an **infinite path**.

# KÖNIG'S LEMMA

A tree is **binary** if it is a subset of  $2^{<\mathbb{N}}$ .

**weak König's lemma**

Every infinite, binary tree has an **infinite path**.

# KÖNIG'S LEMMA

A binary tree  $T$  has **positive measure** if

$$\liminf_s \frac{|\{\sigma \in T : |\sigma| = s\}|}{2^s} > 0$$

**weak weak König's lemma**

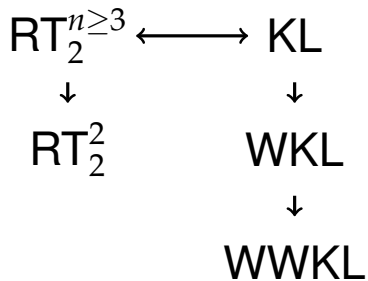
Every binary tree of positive measure has an **infinite path**.



# AVOIDANCE

- ▶ KL does not avoid  $\{\emptyset'\}$  (J., Lewis, Remmel, 1991)
- ▶ WKL avoids cones (J. and Soare, 1972)
  
- ▶ WKL does not avoid PA degrees (Solovay)
- ▶ WWKL avoids PA degrees (Kučera, 1985)

## SUMMARY



# RAMSEY VS KÖNIG

A function is **hyperimmune** if it is not dominated by any computable function.

- ▶  $RT_2^2$  does not avoid hyp. functions (Jockusch, 1972)
- ▶ WKL avoids hyp. functions (J. and Soare, 1972)
  
- ▶  $RT_2^2$  avoids PA degrees (Liu, 2012)
- ▶  $RT_2^1$  strongly avoids PA degrees (Liu, 2012)

# CONSTANT-BOUND ENUMERATIONS

A  **$k$ -enumeration** of a class  $\mathcal{C} \subseteq \mathbb{N}^{\mathbb{N}}$  is a sequence  $E_0, E_1, \dots$  such that for each  $n \in \mathbb{N}$ ,

- ▶  $E_n$  contains  $k$  strings of length  $n$
- ▶  $\mathcal{C} \cap [E_n] \neq \emptyset$

A **constant-bound enumeration** of  $\mathcal{C}$  is a  $k$ -enum for some  $k \in \omega$ .

## C.B-ENUM AVOIDANCE

A theorem  $P$  (strongly) avoids c.b-enums if it (strongly) avoids the c.b-enum's of  $\mathcal{C}$  for every class  $\mathcal{C} \subseteq 2^{\mathbb{N}}$ .

- ▶ WWKL does not avoid c.b-enums (Liu, 2015)
- ▶  $RT_2^2$  avoids c.b-enums (Liu, 2015)
- ▶  $RT_2^1$  strongly avoids c.b-enums (Liu, 2015)

# C.B-ENUM AVOIDANCE

If a theorem  $P$  avoids **c.b-enums** then

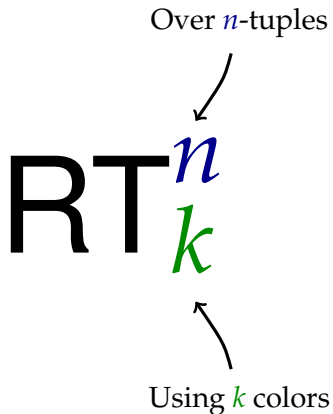
- ▶  $P$  avoids **cones**
- ▶  $P$  avoids **PA degrees**

Any c.b-enum of  $\mathcal{C} = \{X : X \text{ is a completion of PA}\}$  computes a member of  $\mathcal{C}$ .

$$RT_2^2 \wedge WWKL \not\leq_c WKL$$

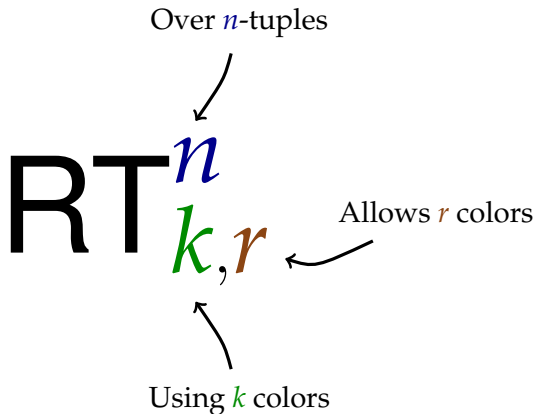
Which theorems avoid **c.b-enums**?

# RAMSEY'S THEOREM





# RAMSEY'S THEOREM



## THIN SET THEOREM

 $TS_{k}^{n}$  $RT_{k,k-1}^{n}$

# ALLOWING MORE COLORS

For every  $n$  and sufficiently large  $k$ 's

- ▶  $\text{TS}_k^n$  strongly avoids cones (Wang, 2014)
- ▶  $\text{TS}_k^n$  strongly avoids c.b-enums (P.)
  
- ▶ The free set theorem avoids c.b-enums (P.)
- ▶ The rainbow Ramsey theorem avoids c.b-enums (P.)

Can  $RT_2^2$  avoid arbitrary paths?

# PATH AVOIDANCE

A theorem  $P$  **avoids paths** if it avoids  $\mathcal{C}$  for every **closed** class  $\mathcal{C} \subseteq \mathbb{N}^{\mathbb{N}}$ .

- ▶ **Cohesiveness** avoids paths (P.)
- ▶ The **atomic model theorem** avoids paths (P.)

# PATH AVOIDANCE

Given a class  $\mathcal{C} \subseteq \mathbb{N}^{\mathbb{N}}$ ,  $\text{deg}(\mathcal{C}) = \{\text{deg}(X) : X \in \mathcal{C}\}$ .

## Simpson's embedding lemma

For every  $\Pi_1^0$  class  $\mathcal{C} \subseteq 2^{\mathbb{N}}$  and every  $\Sigma_3^0$  class  $\mathcal{D} \subseteq \mathbb{N}^{\mathbb{N}}$ , there is a  $\Pi_1^0$  class  $\mathcal{E} \subseteq 2^{\mathbb{N}}$  such that

$$\text{deg}(\mathcal{E}) = \text{deg}(\mathcal{C}) \cup \text{deg}(\mathcal{D})$$

# PATH AVOIDANCE

If for some **P**-instance  $X$  with **no  $X$ -computable solution**

$$\mathcal{D}_X = \{Y : Y \text{ is a solution to } X\}$$

is  $\Sigma_3^0$ , then **P does not avoid paths.**

- ▶  $\text{RT}_2^2$  does not avoid paths (P.)
- ▶  $\text{RT}_2^1$  does not strongly avoid paths (P.)

Can  $RT_2^2$  avoid 1-enums?



# 1-ENUM AVOIDANCE

A theorem  $P$  (strongly) avoids 1-enums if it (strongly) avoids the 1-enum's of  $\mathcal{C}$  for every class  $\mathcal{C} \subseteq 2^{\mathbb{N}}$ .

Every c.b-enum of a  $\Pi_1^0$  class computes a 1-enum.

- ▶  $RT_2^2$  avoids 1-enums of  $\Pi_1^0$  classes (Liu, 2015)
- ▶ rainbow Ramsey's theorem for pairs avoids 1-enums (P.)

# 1-ENUM AVOIDANCE

## Theorem (P.)

There is a class  $\mathcal{C} \subseteq 2^{\mathbb{N}}$

- ▶ with *no computable 1-enum*
  - ▶ with a *computable 2-enum*  $(\sigma_0, \tau_0), (\sigma_1, \tau_1), \dots$
  - ▶ such that  $\{n : \mathcal{C} \cap [\sigma_n] \neq \emptyset\}$  is  $\Delta_2^0$ .
- 
- ▶  $\text{RT}_2^2$  does not avoid 1-enums (P.)

Can  $RT_2^2$  simultaneously avoid  
countably many **c.b-enums**?

# SIMULTANEOUS C.B-ENUM AVOIDANCE

A theorem  $P$  **simultaneously avoids c.b-enums** if it avoids the c.b-enum's of all the  $\mathcal{C}$ 's for every countable sequence of classes  $\mathcal{C}_0, \mathcal{C}_1, \dots \subseteq 2^{\mathbb{N}}$ .

If  $P$  avoids c.b-enums, then it simultaneously avoids c.b-enums for every **increasing** countable sequences of classes.

- ▶ the **Erdős-Moser theorem** simu. avoids c.b-enums (P.)
- ▶  $TS_{k+1}^2$  simultaneously avoids  $k$  c.b-enums (P.)
- ▶  $TS_k^2$  does not simultaneously avoid  $k$  c.b-enums (P.)

# CONCLUSION

- ▶ Ramsey's theorem for pairs is **effectively** weak, but not **combinatorially**.
- ▶ The free set, thin set, Erdős moser and rainbow Ramsey theorems are **combinatorially** weak.
- ▶ Many Ramsey-type theorems have the ability to compute paths through binary trees with no computable paths.

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# QUESTIONS

Thank you for listening !