#### Lowness and avoidance

A guide to separation



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### Reverse mathematics

## Infinitary mathematics





Theorem

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## $A_1, \dots, A_n \Rightarrow T$

## $A_1, \dots, A_n \leftarrow T$

#### Second-order arithmetics

$$t ::= 0 \mid 1 \mid x \mid t_1 + t_2 \mid t_1 \cdot t_2$$

$$f ::= t_1 = t_2 \mid t_1 < t_2 \mid t_1 \in X \mid f_1 \vee f_2$$
$$\mid \neg f \mid \forall x.f \mid \exists x.f \mid \forall X.f \mid \exists X.f$$

(Hilbert and Bernays)

#### Robinson's arithmetics

1. 
$$m + 0 = m$$

2. 
$$m + (n + 1) = (m + n) + 1$$

3. 
$$m \times 0 = 0$$

4. 
$$m \times (n + 1) = (m \times n) + m$$

5. 
$$m + 1 \neq 0$$

6. 
$$m + 1 = n + 1 \rightarrow m = n$$

7. 
$$\neg (m < 0)$$

8. 
$$m < n + 1 \leftrightarrow (m < n \lor m = n)$$

#### **Comprehension scheme**

$$\exists X \forall n (n \in X \Leftrightarrow \varphi(n))$$

for every formula  $\varphi(n)$  where X appears freely.

### Arithmetic hierarchy

$$\Sigma_n^0 \quad \varphi(\mathbf{y}) \equiv \exists \mathbf{x}_1 \forall \mathbf{x}_2 \dots \mathbf{Q} \mathbf{x}_n \ \psi(\mathbf{y}, \mathbf{x}_1, \dots, \mathbf{x}_n)$$

$$\Pi_n^0 \quad \varphi(\mathbf{y}) \equiv \forall \mathbf{x}_1 \exists \mathbf{x}_2 \dots \mathbf{Q} \mathbf{x}_n \ \psi(\mathbf{y}, \mathbf{x}_1, \dots, \mathbf{x}_n)$$

where  $\psi$  contains only bounded first-order quantifiers

A set is  $\Gamma$  if it is  $\Gamma$ -definable A set is  $\Delta_n^0$  if it is  $\Sigma_n^0$  and  $\Pi_n^0$ .

## Computability = Definability

#### Theorem (Gödel)

A set is c.e. iff it is  $\Sigma_1^0$  and computable iff it is  $\Delta_1^0$ .

#### Theorem (Post)

A set is  $\emptyset^{(n)}$ -c.e. iff it is  $\Sigma_{n+1}^0$  and  $\emptyset^{(n)}$ -computable iff it is  $\Delta_{n+1}^0$ .

#### $\Delta_1^0$ comprehension scheme

$$\forall n(\varphi(n) \Leftrightarrow \psi(n)) \Rightarrow \exists X \forall n(n \in X \Leftrightarrow \varphi(n))$$

where  $\varphi(n)$  is a  $\Sigma^0_1$  formula where X does not occur freely, and  $\psi$  is a  $\Pi^0_1$  formula.

#### **Induction scheme**

$$\varphi(0) \land \forall n(\varphi(n) \Rightarrow \varphi(n+1)) \Rightarrow \forall n\varphi(n)$$

for every formula  $\varphi(n)$ 

#### $\Sigma_1^0$ induction scheme

$$\varphi(0) \land \forall \mathbf{n}(\varphi(\mathbf{n}) \Rightarrow \varphi(\mathbf{n}+1)) \Rightarrow \forall \mathbf{n}\varphi(\mathbf{n})$$

where  $\varphi(n)$  is a  $\Sigma_1^0$  formula

equivalent to

#### $\Sigma^0_1$ bounded comprehension scheme

$$\forall p \exists X \forall n (n \in X \Leftrightarrow n$$

where  $\varphi(n)$  is a  $\Sigma_1^0$  formula where X does not occur freely

#### $RCA_0$

#### Robinson's arithmetics

$$m+1 \neq 0$$
  
 $m+1 = n+1 \to m = n$   
 $\neg (m < 0)$   
 $m < n+1 \leftrightarrow (m < n \lor m = n)$ 

$$m + 0 = m$$
  
 $m + (n + 1) = (m + n) + 1$   
 $m \times 0 = 0$   
 $m \times (n + 1) = (m \times n) + m$ 

#### $\Sigma_1^0$ induction scheme

$$\varphi(0) \land \forall n(\varphi(n) \Rightarrow \varphi(n+1))$$
  
\Rightarrow \forall n\varphi(n)

where  $\varphi(n)$  is a  $\Sigma_1^0$  formula

#### $\Delta_1^0$ comprehension scheme

$$\forall n(\varphi(n) \Leftrightarrow \psi(n)) \\ \Rightarrow \exists X \forall n(n \in X \Leftrightarrow \varphi(n))$$

where  $\varphi(n)$  is a  $\Sigma_1^0$  formula where X does not occur freely, and  $\psi$  is a  $\Pi_1^0$  formula.

#### Reverse mathematics

Mathematics are computationally very structured

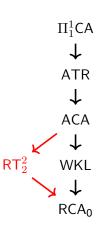
Almost every theorem is empirically equivalent to one among five big subsystems.  $\Pi^1_1\mathsf{CA}$ **ATR** ACA WKI RCA<sub>n</sub>

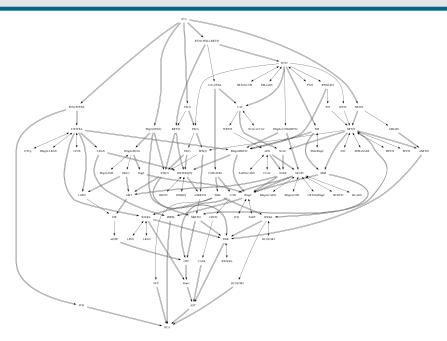
#### Reverse mathematics

# Mathematics are computationally very structured

Almost every theorem is empirically equivalent to one among five big subsystems.

Except for Ramsey's theory...





# How to prove a separation?

Given two statements P and Q.

#### How to prove that $RCA_0 + P \nvdash Q$ ?

Build a model  $\mathcal{M}$  such that

- $ightharpoonup \mathcal{M} \models P$
- $ightharpoonup \mathcal{M} 
  ot \models Q$

$$\omega$$
-structure  $\mathcal{M} = \{\omega, \mathcal{S}, <, +, \cdot\}$ 

- (i)  $\omega$  is the set of standard natural numbers
- (ii) < is the natural order
- (iii) + and  $\cdot$  are the standard operations over natural numbers
- (iv)  $S \subseteq \mathcal{P}(\omega)$

An  $\omega$ -structure is fully specified by its second-order part S.

## Turing ideal $\mathcal{M}$

- $\blacktriangleright \ (\forall X \in \mathcal{M})(\forall Y \leq_T X)[Y \in \mathcal{M}]$
- $\blacktriangleright \ (\forall X, Y \in \mathcal{M})[X \oplus Y \in \mathcal{M}]$

#### Examples

- ► {*X* : *X* is computable }
- ▶  $\{X : X \leq_T A \land X \leq_T B\}$  for some sets A and B

Let  $\mathcal{M} = \{\omega, \mathcal{S}, <, +, \cdot\}$  be an  $\omega$ -structure

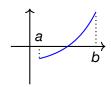
$$\mathcal{M} \models \mathsf{RCA}_0$$
 $\equiv$ 

 $\mathcal S$  is a Turing ideal

#### Many theorems can be seen as problems.

#### Intermediate value theorem

For every continuous function f over an interval [a,b] such that  $f(a) \cdot f(b) < 0$ , there is a real  $x \in [a,b]$  such that f(x) = 0.



#### König's lemma

Every infinite, finitely branching tree admits an infinite path.



#### $\Pi_2^1$ -problem

$$\mathsf{P} \equiv \forall \mathbf{X} [\varphi(\mathbf{X}) \to \exists \mathbf{Y} \psi(\mathbf{X}, \mathbf{Y})]$$

where  $\varphi$  and  $\psi$  are arithmetic formulas

- ▶ P-instances: dom P =  $\{X : \varphi(X)\}$
- ▶ P-solutions to X:  $P(X) = \{Y : \psi(X, Y)\}$

Given two  $\Pi_2^1$ -problems P and Q.

#### How to prove that $RCA_0 + P \nvdash Q$ ?

Build a Turing ideal  $\mathcal M$  such that

- ightharpoonup  $\mathcal{M} \models P$
- $ightharpoonup \mathcal{M} 
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#### Construct an $\omega$ -model of RCA<sub>0</sub> +P

Start with  $\mathcal{M}_0 = \{Z : Z \leq_{\mathcal{T}} \emptyset\}$ 

#### Construct an $\omega$ -model of RCA<sub>0</sub> +P

Start with 
$$\mathcal{M}_0 = \{Z : Z \leq_T \emptyset\}$$

Given a Turing ideal  $\mathcal{M}_n = \{Z : Z \leq_T U\}$  for some set U,

1. pick an instance  $X \in \mathcal{M}_n$  of P

#### Construct an $\omega$ -model of RCA<sub>0</sub>+P

Start with 
$$\mathcal{M}_0 = \{Z : Z \leq_{\mathcal{T}} \emptyset\}$$

- 1. pick an instance  $X \in \mathcal{M}_n$  of P
- 2. choose a solution Y to X

#### Construct an $\omega$ -model of RCA<sub>0</sub>+P

Start with 
$$\mathcal{M}_0 = \{Z : Z \leq_{\mathcal{T}} \emptyset\}$$

- 1. pick an instance  $X \in \mathcal{M}_n$  of P
- 2. choose a solution *Y* to *X*
- 3. define  $\mathcal{M}_{n+1} = \{Z : Z \leq_T Y \oplus U\}$

#### Construct an $\omega$ -model of RCA<sub>0</sub>+P

Start with 
$$\mathcal{M}_0 = \{Z : Z \leq_{\mathcal{T}} \emptyset\}$$

- 1. pick an instance  $X \in \mathcal{M}_n$  of P
- 2. choose a solution Y to X
- 3. define  $\mathcal{M}_{n+1} = \{Z : Z \leq_T Y \oplus U\}$

Let 
$$\mathcal{M} = \bigcup_n \mathcal{M}_n$$
. Then  $\mathcal{M} \models \mathsf{RCA}_0 + \mathsf{P}$ 

Beware, adding sets to  $\mathcal{M}$  may add solutions to instances of Q!

## A weakness property is a collection of sets closed downward under the Turing reduction.

#### Exemples

 $\blacktriangleright$  {X: X is low}

▶  $\{X : A \not\leq_T X\}$  given a set A

► {*X* : *X* is hyperimmune-free}

Let  $\mathcal{W}$  be a weakness property.

A problem P preserves W if for every  $Z \in W$ , every Z-computable instance X of P admits a solution Y such that  $Y \oplus Z \in W$ 

#### Lemma

If P preserves  $\mathcal{W}$ , then for every  $Z \in \mathcal{W}$ , there is an  $\omega$ -model  $\mathcal{M} \models \mathsf{RCA}_0 + \mathsf{P}$  with  $Z \in \mathcal{M} \subseteq \mathcal{W}$ .

#### Lemma

If P preserves  $\mathcal W$  and Q does not, then RCA<sub>0</sub> +P  $\not\vdash$  Q

## Cone avoidance

## $ACA_0$

#### Arithmetic Comprehension Axiom

- ► Every increasing sequence of reals admits a supremum.
- Bolzano/Weierstrass theorem: Every sequence of reals admits a converging sub-sequence.
- ▶ Every countable commutative ring admits a maximal ideal.
- ► König's lemma: Every infinite, finitely branching tree admits an infinite path.
- ▶ Ramsey's theorem for colorings of  $[\mathbb{N}]^3$ .
- ▶ ..

# $ACA_0$

# **Arithmetic Comprehension Axiom**

$$X' = \{ \mathbf{e} : \exists t \; \Phi_{\mathbf{e}}^{X}(\mathbf{e})[t] \downarrow \}$$

#### Lemma

$$\mathsf{RCA}_0 \vdash \mathsf{ACA}_0 \leftrightarrow \forall X \ \exists Y \ (Y = X')$$

#### Lemma

If a  $\Pi_2^1$ -problem P preserves  $\mathcal{W}_{\emptyset'} = \{Z : \emptyset' \not\leq_{\mathcal{T}} Z\}$ , then  $\mathsf{RCA}_0 + \mathsf{P} \not\vdash \mathsf{ACA}_0$ .

# Cone avoidance

A  $\Pi_2^1$ -problem P admits cone avoidance if for every set Z, every set  $C \not\leq_T Z$  and every Z-computable P-instance X, there is a P-solution Y to X such that  $C \not\leq_T Y \oplus Z$ .

P admits cone avoidance

 $\equiv$ 

P preserves  $W_C = \{Z : C \not\leq_T Z\}$  for every set C

# Strategy

# **Examples**

Cohen forcing Jockusch-Soare forcing

#### Pattern

Forcing question

# **Application**

Pigeonhole forcing

# Forcing in Computability Theory

## Partial order

 $(\mathbb{P}, \leq)$ 

### Condition

 $p \in \mathbb{P}$  approximation

## **Denotation**

 $[p] \subseteq 2^{\omega}$  class of candidates

# Compatibility

If  $q \le p$  then  $[q] \subseteq [p]$ 

# Forcing in Computability Theory

Filter 
$$\mathcal{F} \subseteq \mathbb{P}$$

$$\forall p \in \mathcal{F} \ \forall q \geq p \ q \in \mathcal{F}$$
  
 $\forall p, q \in \mathcal{F}, \exists r \in \mathcal{F} \ r \leq p, q$ 

Dense set 
$$D \subseteq \mathbb{P}$$

$$\forall p \in \mathbb{P} \exists q \leq p \ q \in D$$

# **Denotation**

$$[\mathcal{F}] = \bigcap_{\boldsymbol{\rho} \in \mathcal{F}} [\boldsymbol{\rho}]$$

Forcing 
$$p \Vdash \varphi(G)$$

$$\forall \mathbf{G} \in [\mathbf{p}] \ \varphi(\mathbf{G})$$

# Cohen forcing

$$(2^{<\omega}, \preceq)$$

 $2^{<\omega}$  is the set of all finite binary strings

 $\sigma \preceq \tau$  means  $\sigma$  is a prefix of  $\tau$ 

$$[\sigma] = \{ \mathbf{X} \in 2^{\omega} : \sigma \prec \mathbf{X} \}$$

#### Theorem (Folklore

Let  $C \not\leq_{\mathcal{T}} \emptyset$ . For every sufficiently Cohen generic  $G, C \not\leq_{\mathcal{T}} G$ .

#### Lemma

For every non-computable set C and Turing functional  $\Phi_e$ , the following set is dense in  $(2^{<\omega}, \preceq)$ .

$$\mathbf{D} = \{ \sigma \in 2^{<\omega} : \sigma \Vdash \Phi_{\mathbf{e}}^{\mathbf{G}} \neq \mathbf{C} \}$$

Given  $\sigma \in 2^{<\omega}$ , define the  $\Sigma_1^0$  set

$$W = \{(x, v) : \exists \tau \succeq \sigma \ \Phi_{\mathbf{e}}^{\tau}(x) \downarrow = v\}$$

- ► Case 1:  $(x, 1 C(x)) \in W$  for some xThen  $\tau$  is an extension forcing  $\Phi_e^G \neq C$
- ► Case 2:  $(x, C(x)) \not\in W$  for some xThen  $\sigma$  forces  $\Phi_e^G \neq C$
- ► Case 3: W is a  $\Sigma_1^0$  graph of C Impossible, since  $C \not\leq_T \emptyset$

# Weak König's lemma

 $2^{<\omega}$  is the set of all finite binary strings

A binary tree is a set  $T \subseteq 2^{<\omega}$  closed under prefixes

A path through *T* is an infinite sequence *P* such that every initial segment is in *T* 

WKL

Every infinite binary tree admits an infinite path.

# Jockusch-Soare forcing

$$(\mathcal{T},\subseteq)$$

 $\mathcal{T}$  is the collection of infinite computable binary trees

$$[T] = \{ \mathbf{X} \in 2^\omega : \forall \sigma \prec \mathbf{X} \ \sigma \in \mathbf{T} \}$$

### Theorem (Jockusch-Soare)

Let  $C \not\leq_T \emptyset$ . For every infinite computable binary tree  $T \subseteq 2^{<\omega}$ , there is a path  $P \in [T]$  such that  $C \not\leq_T P$ .

#### Lemma

For every non-computable set C and Turing functional  $\Phi_e$ , the following set is dense in  $(\mathcal{T}, \subseteq)$ .

$$\textit{D} = \{\textit{T} \in \mathcal{T} : \textit{T} \Vdash \Phi_{\textit{e}}^{\textit{G}} \neq \textit{C}\}$$

# Given $T \in \mathcal{T}$ , define the $\Sigma_1^0$ set

$$W = \{(x, v) : \exists \ell \in \mathbb{N} \forall \sigma \in 2^{\ell} \cap T \Phi_{\mathsf{e}}^{\sigma}(x) \downarrow = v\}$$

- ► Case 1:  $(x, 1 C(x)) \in W$  for some xThen T forces  $\Phi_e^G \neq C$
- ► Case 2:  $(x, C(x)) \not\in W$  for some xThen  $\{\sigma \in T : \neg(\Phi_e^{\sigma}(x) \downarrow = v)\}$  forces  $\Phi_e^G \neq C$
- ► Case 3: W is a  $\Sigma_1^0$  graph of C Impossible, since  $C \not\leq_T \emptyset$

# **Forcing question**

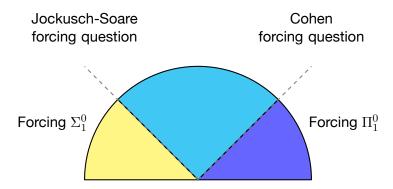
$$p ? \vdash \varphi(G)$$

where  $p \in \mathbb{P}$  and  $\varphi(G)$  is  $\Sigma^0_1$ 

## Specification

Let  $p \in \mathbb{P}$  and  $\varphi(G)$  be a  $\Sigma^0_1$  formula.

- (a) If  $p ? \vdash \varphi(G)$ , then  $q \Vdash \varphi(G)$  for some  $q \leq p$ ;
- (b) If  $p : \not\vdash \varphi(G)$ , then  $q \Vdash \neg \varphi(G)$  for some  $q \leq p$ .



Fix a notion of forcing  $(\mathbb{P}, \leq)$ .

A forcing question is  $\Gamma$ -preserving if for every  $p \in \mathbb{P}$  and every  $\Gamma$ -formula  $\varphi(G, x)$ , the relation  $p ? \vdash \varphi(G, x)$  is in  $\Gamma$  uniformly in x.

#### Lemma

Suppose  $?\vdash$  is  $\Sigma^0_1$ -preserving. For every non-computable set C and Turing functional  $\Phi_e$ , the following set is dense in  $(\mathbb{P}, \leq)$ .

$${\it D} = \{{\it p} \in \mathbb{P} : {\it p} \Vdash \Phi_{\it e}^{\it G} 
eq {\it C}\}$$

# Given $p \in \mathbb{P}$ , define the $\Sigma^0_1$ set

$$W = \{(x, v) : p ? \vdash \Phi_{\mathsf{e}}^{\mathsf{G}}(x) \downarrow = v\}$$

- ► Case 1:  $(x, 1 C(x)) \in W$  for some xThen there is an extension forcing  $\Phi_e^G \neq C$
- ► Case 2:  $(x, C(x)) \notin W$  for some xThen there is an extension forcing  $\Phi_e^G \neq C$
- Case 3: W is a Σ<sup>0</sup><sub>1</sub> graph of C Impossible, since C ∠<sub>T</sub> ∅

# Pigeonhole principle

 $\mathsf{RT}^1_{\pmb{k}}$  Every k-partition of  $\mathbb N$  admits an infinite subset of a part.

```
0 1 2 3 4 0 1 2 3 4 5 6 7 8 9 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 .... 25 26 27 28 ....
```

## Theorem (Dzhafarov and Jockusch)

For every set  $C \not\leq_{\mathcal{T}} \emptyset$  and every 2-partition  $A_0 \sqcup A_1 = \mathbb{N}$ , there is some i < 2 and an infinite set  $G \subseteq A_i$  such that  $C \not\leq_{\mathcal{T}} G$ .

#### Theorem (Dzhafarov and Jockusch)

For every set  $C \not\leq_{\mathcal{T}} \emptyset$  and every 2-partition  $A_0 \sqcup A_1 = \mathbb{N}$ , there is some i < 2 and an infinite set  $G \subseteq A_i$  such that  $C \not\leq_{\mathcal{T}} G$ .

Input: a set  $C \not\leq_{\mathcal{T}} \emptyset$  and a 2-partition  $A_0 \sqcup A_1 = \mathbb{N}$ 

Output: an infinite set  $G \subseteq A_i$  such that  $C \not\leq_T G$ 

$$(F_0,F_1,X)$$
Initial segment Reservoir

- $ightharpoonup F_i$  is finite, X is infinite,  $\max F_i < \min X$
- $ightharpoonup C \not\leq_T X$
- $ightharpoonup F_i \subseteq A_i$

(Mathias condition)

(Weakness property)

(Combinatorics)

# **Extension**

$$(E_0, E_1, Y) \leq (F_0, F_1, X)$$

- ▶  $F_i \subseteq E_i$
- $ightharpoonup Y \subseteq X$
- $ightharpoonup E_i \setminus F_i \subseteq X$

## **Denotation**

$$\langle \textbf{G}_0, \textbf{G}_1 \rangle \in [\textbf{\textit{F}}_0, \textbf{\textit{F}}_1, \textbf{\textit{X}}]$$

- $ightharpoonup F_i \subseteq G_i$
- $ightharpoonup G_i \setminus F_i \subseteq X$

$$[\textbf{\textit{E}}_0,\textbf{\textit{E}}_1,Y]\subseteq[\textbf{\textit{F}}_0,\textbf{\textit{F}}_1,X]$$

$$(F_0, F_1, X) \Vdash \varphi(G_0, G_1)$$
Condition Formula

 $\varphi(G_0, G_1)$  holds for every  $\langle G_0, G_1 \rangle \in [F_0, F_1, X]$ 

Input: a set  $C \not\leq_T \emptyset$  and a 2-partition  $A_0 \sqcup A_1 = \mathbb{N}$ 

Output : an infinite set  $G \subseteq A_i$  such that  $C \not\leq_T G$ 

Input: a set  $C \not\leq_T \emptyset$  and a 2-partition  $A_0 \sqcup A_1 = \mathbb{N}$ 

Output: an infinite set  $G \subseteq A_i$  such that  $C \not\leq_T G$ 

$$\Phi_{\mathbf{e}_0}^{\mathbf{G}_0} \neq \mathbf{C} \vee \Phi_{\mathbf{e}_1}^{\mathbf{G}_1} \neq \mathbf{C}$$

Input: a set  $C \not\leq_T \emptyset$  and a 2-partition  $A_0 \sqcup A_1 = \mathbb{N}$ 

Output: an infinite set  $G \subseteq A_i$  such that  $C \not\leq_T G$ 

$$\Phi_{\mathbf{e}_0}^{\mathbf{G}_0} \neq \mathbf{C} \vee \Phi_{\mathbf{e}_1}^{\mathbf{G}_1} \neq \mathbf{C}$$

The set  $\{p \in \mathbb{P} : p \Vdash \Phi_{e_0}^{G_0} \neq C \lor \Phi_{e_1}^{G_1} \neq C\}$  is dense

# Disjunctive forcing question

$$p ? \vdash \varphi_0(\mathbf{G}_0) \lor \varphi_1(\mathbf{G}_1)$$

where  $\pmb{p} \in \mathbb{P}$  and  $arphi_0(\pmb{G}_0)$ ,  $arphi_1(\pmb{G}_1)$  are  $\Sigma^0_1$ 

#### Lemma

Let  $p \in \mathbb{P}$  and  $\varphi_0(G_0)$ ,  $\varphi_1(G_1)$  be  $\Sigma_1^0$  formulas.

- (a) If  $p ? \vdash \varphi_0(G_0) \lor \varphi_1(G_1)$ , then  $q \Vdash \varphi_0(G_0) \lor \varphi_1(G_1)$  for some  $q \le p$ ;
- (b) If  $p \not \cong \varphi_0(G_0) \vee \varphi_1(G_1)$ , then  $q \Vdash \neg \varphi_0(G_0) \vee \neg \varphi_1(G_1)$  for some  $q \leq p$ .

Suppose the following relation is uniformly  $\Sigma^0_1(X)$  whenever  $\varphi_0(G_0), \varphi_1(G_1)$  are  $\Sigma^0_1$ 

$$(F_0,F_1,X)$$
? $\vdash \varphi_0(G_0) \lor \varphi_1(G_1)$ 

#### Lemma

For every non-computable set C and Turing functionals  $\Phi_{e_0}$ ,  $\Phi_{e_1}$ , the following set is dense in  $(\mathbb{P}, \leq)$ .

$$D = \{ p \in \mathbb{P} : p \Vdash \Phi_{e_0}^{\mathsf{G}_0} \neq C \lor \Phi_{e_1}^{\mathsf{G}_1} \neq C \}$$

Consider the  $\Sigma_1^0(X)$  set

$$W = \{(x, v) : \rho ? \vdash \Phi_{e_0}^{G_0}(x) \downarrow = v \lor \Phi_{e_0}^{G_0}(x) \downarrow = v \}$$

# Problem: complexity of the instance

"Can we find an extension for this instance of RT<sub>2</sub>?"

Definition 
$$(F_0, \digamma_1, X) ? \vdash \varphi_0(G_0) \lor \varphi_1(G_1)$$
 
$$\equiv$$
 
$$(\exists i < 2) (\exists E_i \subseteq X \cap A_i) \varphi_i(F_i \cup E_i)$$

The formula is 
$$\Sigma_1^0(X \oplus A_i)$$

# Idea: make an overapproximation

"Can we find an extension for every instance of RT<sub>2</sub>?"

$$\begin{array}{c} (\textit{\textbf{F}}_{0}, \textit{\textbf{F}}_{1}, \textit{\textbf{X}}) ? \vdash \varphi_{0}(\textit{\textbf{G}}_{0}) \lor \varphi_{1}(\textit{\textbf{G}}_{1}) \\ & \equiv \\ (\forall \textit{\textbf{B}}_{0} \sqcup \textit{\textbf{B}}_{1} = \mathbb{N}) (\exists \textit{\textbf{i}} < 2) (\exists \textit{\textbf{E}}_{\textit{\textbf{i}}} \subseteq \textit{\textbf{X}} \cap \textit{\textbf{B}}_{\textit{\textbf{i}}}) \varphi_{\textit{\textbf{i}}}(\textit{\textbf{F}}_{\textit{\textbf{i}}} \cup \textit{\textbf{E}}_{\textit{\textbf{i}}}) \end{array}$$

The formula is  $\Sigma^0_1(X)$ 

Case 1: 
$$p ? \vdash \varphi_0(G_0) \lor \varphi_1(G_1)$$

Letting  $B_i = A_i$ , there is an extension  $q \le p$  forcing

$$\varphi_0(\mathbf{G}_0) \vee \varphi_1(\mathbf{G}_1)$$

Case 2: 
$$p ? \not\vdash \varphi_0(\mathbf{G}_0) \lor \varphi_1(\mathbf{G}_1)$$

$$(\exists B_0 \sqcup B_1 = \mathbb{N})(\forall i < 2)(\forall E_i \subseteq X \cap B_i) \neg \varphi_i(F_i \cup E_i)$$

The condition  $(F_0, F_1, X \cap B_i) \leq p$  forces

$$\neg \varphi_0(\mathbf{G}_0) \vee \neg \varphi_1(\mathbf{G}_1)$$

# What we know so far...

Forcing question ?⊢	Notion of forcing $(\mathbb{P}, \leq)$
$\Sigma_1^0$ -preserving	cone avoidance

# Lecture 2

# Preservation of hyperimmunity

A function  $g : \mathbb{N} \to \mathbb{N}$  dominates  $f : \mathbb{N} \to \mathbb{N}$  if  $\forall^{\infty} x \ g(x) \ge f(x)$ .

A function  $f: \mathbb{N} \to \mathbb{N}$  is a modulus for a set  $A \subseteq \mathbb{N}$  if every function dominating f computes A.

A function  $f: \mathbb{N} \to \mathbb{N}$  is hyperimmune if it is not dominated by any computable function.

An infinite set  $A \subseteq \mathbb{N}$  is hyperimmune if there is no infinite computable sequence of pairwise disjoint blocs intersecting A.

# Computation

 $\Delta_1^1$  (hyperarithmetic) sets

High degrees ( $\mathbf{d}' \geq \mathbf{0}''$ )

Hyperimmune sets

# **Function growth**

Sets admitting a modulus

Functions dominating every computable function

Hyperimmune functions

A set G is weakly 1-generic if for every c.e. dense set of strings  $W_e \subseteq 2^{<\mathbb{N}}$ , there is some  $\sigma \prec G$  in  $W_e$ .

#### Lemma

Every weakly 1-generic set is hyperimmune.

Given a computable sequence of pairwise disjoint blocs  $(B_n)_{n\in\mathbb{N}}$  the following set is dense:

$$\{\sigma: \exists n \mid \sigma| > \max B_n \land B_n \cap \sigma = \emptyset\}$$

#### l emma

Every hyperimmune function computes a weakly 1-generic set.

Given a hyperimmune function f, build an f-computable sequence  $\sigma_0 \prec \sigma_1 \prec \ldots$  Having defined  $\sigma_n$ , wait until time  $f(|\sigma_n|)$  to see if some  $W_e$  enumerates an extension

(I cheat, slightly more complicated)

## Preservation of hyperimmunity

A  $\Pi_2^1$ -problem P admits preservation of hyperimmunity if for every set Z, every Z-hyperimmune function f and every Z-computable P-instance X, there is a P-solution Y to X such that f is  $Y \oplus Z$ -hyperimmune.

P admits preservation of Z-hyperimmunity  $\equiv$  P preserves  $\mathcal{W}_f = \{Z : f \text{ is } Z\text{-hyperimmune } \}$  for every function f

# Cohen forcing

$$(2^{<\omega}, \preceq)$$

 $2^{<\omega}$  is the set of all finite binary strings

 $\sigma \preceq \tau$  means  $\sigma$  is a prefix of  $\tau$ 

$$[\sigma] = \{ \mathbf{X} \in 2^{\omega} : \sigma \prec \mathbf{X} \}$$

#### Theorem (Folklore)

Let  $f: \mathbb{N} \to \mathbb{N}$  be hyperimmune. For every sufficiently Cohen generic G, f is G-hyperimmune.

#### Lemma

For every hyperimmune function  $f: \mathbb{N} \to \mathbb{N}$  and Turing functional  $\Phi_e$ , the following set is dense in  $(2^{<\omega}, \preceq)$ .

$$\textit{D} = \{ \sigma \in 2^{<\omega} : \sigma \Vdash \exists x \; \Phi_{\textit{e}}^{\textit{G}}(x) \uparrow \lor \exists x \; \Phi_{\textit{e}}^{\textit{G}}(x) < \textit{f}(x) \}$$

Given  $\sigma \in 2^{<\omega}$ , define the partial computable function: h(x) = y for the least y such that

$$\exists \tau \succeq \sigma \; \Phi_{\mathbf{e}}^{\tau}(\mathbf{x}) \downarrow = \mathbf{y}$$

- ► Case 1: h(x) < f(x) for some  $x \in \text{dom } h$ . Then  $\tau$  is an extension forcing  $\Phi_e^G(x) < f(x)$
- ► Case 2:  $x \notin \text{dom } h$  for some xThen  $\sigma$  forces  $\Phi_e^G(x) \uparrow$
- ► Case 3: *h* is total and dominates *f*. Impossible, since *f* is hyperimmune

Fix a notion of forcing  $(\mathbb{P}, \leq)$ .

A forcing question is  $\Gamma$ -compact if for every  $p \in \mathbb{P}$  and every  $\Gamma$ -formula  $\varphi(G,x)$ , if  $p ? \vdash \exists x \ \varphi(G,x)$  then there is a finite set  $F \subseteq \mathbb{N}$  such that  $p ? \vdash \exists x \in F \ \varphi(G,x)$ .

#### Lemma

Suppose ? $\vdash$  is  $\Sigma^0_1$ -preserving and  $\Sigma^0_1$ -compact. For every hyperimmune function  $f: \mathbb{N} \to \mathbb{N}$  and Turing functional  $\Phi_e$ , the following set is dense in  $(\mathbb{P}, \leq)$ .

$$D = \{ p \in \mathbb{P} : p \Vdash \exists x \; \Phi_{\mathsf{e}}^{\mathsf{G}}(x) \uparrow \lor \exists x \; \Phi_{\mathsf{e}}^{\mathsf{G}}(x) < f(x) \}$$

Given  $p \in \mathbb{P}$ , define the partial computable function:  $h(x) = 1 + \max F$  for the least F such that

$$p ? \vdash \exists y \in F \Phi_{e}^{G}(x) \downarrow = y$$

- ► Case 1: h(x) < f(x) for some  $x \in \text{dom } h$ . Then there is an extension forcing  $\Phi_e^G(x) \le \max F < f(x)$
- ► Case 2:  $x \notin \text{dom } h$  for some xThen  $p ? \not\vdash \exists y \Phi_e^G(x) \downarrow = y$ . There is an extension forcing  $\Phi_e^G(x) \uparrow$
- ► Case 3: h is total and dominates f. Impossible, since f is hyperimmune

#### Theorem

A  $\Pi_2^1$ -problem admits cone avoidance iff it admits preservation of hyperimmunity.

- ▶ If a problem admits cone avoidance, it can avoid  $\omega$  cones simultaneously.
- ▶ There are problems which admit preservation of k hyperimmunities, but not k + 1 simultaneously.

## What we know so far...

Forcing question ?⊢	Notion of forcing $(\mathbb{P}, \leq)$
$\Sigma_1^0$ -preserving	cone avoidance
$\Sigma_1^0$ -preserving and $\Sigma_1^0$ -compact	preservation of hyperimmunity

# Compactness avoidance

# $\mathsf{WKL}_0$

### Weak König's lemma

- Every infinite binary tree admits an infinite path
- Heine/Borel cover lemma: Every cover of the [0, 1] interval by a sequence of open sets admits a finite sub-cover.
- ► Every real-valued function over [0, 1] is bounded.
- ► Gödel's completeness theorem: every countable set of statements in predicate calculus admits a countable model.
- ▶ Every countable commutative ring admits a prime ideal.
- ▶ ...

A function  $f: \mathbb{N} \to \mathbb{N}$  is diagonally non-computable (DNC) if

$$\forall e f(e) \neq \Phi_e(e)$$

#### Lemma

There exists a computable infinite binary tree  $T \subseteq 2^{\leq \mathbb{N}}$  such that [T] are the  $\{0,1\}$ -valued DNC functions.

 $T = \{ \sigma \in 2^{<\mathbb{N}} : \forall \mathbf{e} < |\sigma| \ \sigma(\mathbf{e}) \neq \Phi_{\mathbf{e}}(\mathbf{e})[|\sigma|] \}.$ 

#### Lemma

For every computable infinite binary tree T, every  $\{0,1\}$ -valued DNC function computes a path.

- ▶ Given  $\sigma \in T$  and  $x \in \mathbb{N}$ , let  $\Phi_{e_{\sigma}}$  explore the branches below  $\sigma \cdot 0$  and  $\sigma \cdot 1$ .
- ▶ If the branch below  $\sigma \cdot i$  is the first to die, then halt and output i.
- ▶ For every  $\sigma$  extensible in T,  $\sigma \cdot f(e_{\sigma})$  is extensible in T.

# Cohen forcing

$$(2^{<\omega}, \preceq)$$

 $2^{<\omega}$  is the set of all finite binary strings

 $\sigma \preceq \tau$  means  $\sigma$  is a prefix of  $\tau$ 

$$[\sigma] = \{ \mathbf{X} \in 2^{\omega} : \sigma \prec \mathbf{X} \}$$

### Theorem (Folklore)

Every sufficiently Cohen generic G computes no  $\{0,1\}$ -valued DNC function.

#### Lemma

For every  $\{0,1\}$ -valued Turing functional  $\Phi_{\rm e}$ , the following set is dense in  $(2^{<\omega},\preceq)$ .

$$D = \{ \sigma \in 2^{<\omega} : \sigma \Vdash \exists x \; \Phi_{\mathbf{e}}^{\mathbf{G}}(x) \uparrow \lor \exists x \; \Phi_{\mathbf{e}}^{\mathbf{G}}(x) \downarrow = \Phi_{\mathbf{x}}(x) \}$$

Given  $\sigma \in 2^{<\omega}$ , define the  $\Sigma_1^0$  set

$$W = \{(x, v) : \exists \tau \succeq \sigma \ \Phi_{\mathbf{e}}^{\tau}(x) \downarrow = v\}$$

- ► Case 1:  $(x, \Phi_X(x)) \in W$  for some x such that  $\Phi_X(x) \downarrow$ Then  $\tau$  is an extension forcing  $\Phi_e^G(x) = \Phi_X(x)$
- ► Case 2: (x,0),  $(x,1) \notin W$  for some xThen  $\sigma$  forces  $\Phi_e^G(x) \uparrow$
- ► Case 3: W is a  $\Sigma_1^0$  graph of a DNC function Impossible, since no DNC function is computable.

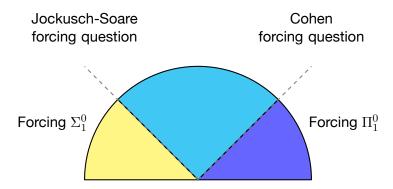
Fix a notion of forcing  $(\mathbb{P}, \leq)$ .

A forcing question is  $\Pi^0_n$ -merging if for every  $p \in \mathbb{P}$  and every pair of  $\Sigma^0_n$ -formulas  $\varphi(G), \psi(G)$  such that  $p \not \cong \varphi(G)$  and  $p \not \cong \varphi(G)$ , there is an extension  $q \leq p$  such that  $q \Vdash \neg \varphi(G) \land \neg \psi(G)$ .

#### Lemma

Suppose  $?\vdash$  is  $\Sigma^0_1$ -preserving and  $\Pi^0_1$ -merging. For every  $\{0,1\}$ -valued functional  $\Phi_e$ , the following set is dense in  $(\mathbb{P},\leq)$ .

$$D = \{ p \in \mathbb{P} : p \Vdash \exists x \; \Phi_{e}^{G}(x) \uparrow \lor \exists x \; \Phi_{e}^{G}(x) \downarrow = \Phi_{x}(x) \}$$



# Solovay forcing

$$(\mathcal{C},\subseteq)$$

 $\mathcal{C}$  is the collection of closed classes of positive measure in  $2^{\mathbb{N}}$ 

#### **Theorem**

For every sufficiently Solovay generic G, G computes no  $\{0,1\}$ -valued DNC function.

#### Lemma

For every  $\{0,1\}$ -valued Turing functional  $\Phi_e$ , the following set is dense in C.

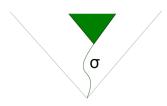
$$D = \{ \mathcal{P} \in \mathcal{C} : \mathcal{P} \Vdash \exists x \; \Phi_{e}^{G}(x) \uparrow \lor \exists x \; \Phi_{e}^{G}(x) \downarrow = \Phi_{x}(x) \}$$

## Lebesgue density lemma

#### Lemma

For every closed class  $\mathcal{P}\subseteq 2^{\mathbb{N}}$  of positive measure and every  $\epsilon>0$ , there is some  $\sigma\in 2^{<\mathbb{N}}$  such that

$$\frac{\mu(\mathcal{P} \cap [\sigma])}{\mu([\sigma]) \ge 1 - \epsilon}$$



Given a closed class  $\mathcal{P}\subseteq 2^{\mathbb{N}}$  and  $\sigma\in 2^{<\mathbb{N}}$  such that  $\mu(\mathcal{P})\cap[\sigma])>0.9\times\mu([\sigma])$ , define the  $\Sigma^0_1$  set

$$W = \{(x, v) : \mu(Z : \Phi_{\mathsf{e}}^{\sigma \cdot Z}(x) \downarrow = v) > 0.2\}$$

- ▶ Case 1:  $(x, \Phi_X(x)) \in W$  for some x such that  $\Phi_X(x) \downarrow$ Then pick  $\tau \in 2^{<\mathbb{N}}$  such that  $\mu(\mathcal{P} \cap [\tau]) > 0$  and  $\Phi_e^\tau(x) \downarrow = \Phi_X(x)$ . The class  $\mathcal{P} \cap [\tau]$  is an extension forcing  $\Phi_e^G(x) = \Phi_X(x)$
- ► Case 2: (x,0),  $(x,1) \notin W$  for some xThen  $\mathcal{P} \cap [\sigma] \cap \{Y : \Phi_e^Y(x) \uparrow\}$  forces  $\Phi_e^G(x) \uparrow$
- ► Case 3: W is a  $\Sigma_1^0$  graph of a DNC function Impossible, since no DNC function is computable.

# DNC

### **Diagonal Non-Computability**

- ▶ For every set *X*, there exists an *X*-DNC function *f*, that is,  $\forall e, f(e) \neq \Phi_e^X(e)$ .
- ▶ For every set X, there exists an X-fixpoint-free function f, that is,  $\forall e, W_{f(e)}^X \neq W_e^X$ .
- ▶ For every set *X*, there exists a function *f* such that  $\forall n, C^X(f(n)) \ge n$ .
- ▶ For every set X, there exists an infinite subset of an X-random set.
- ▶ RWWKL: For every binary tree of positive measure  $T \subseteq 2^{<\mathbb{N}}$ , there is an infinite homogeneous set.

▶ ..

#### Lemma

There is a probabilistic algorithm to compute a DNC function.

Algorithm	Probability of error
Pick $f(0)$ at random in $[0, 2^2]$	$\leq 2^{-2}$
Pick $f(1)$ at random in $[0, 2^3]$	$\leq 2^{-3}$
Pick $f(2)$ at random in $[0, 2^4]$	$\leq 2^{-4}$

Global probability of error: at most  $\sum_{n} 2^{-n-2} = 0.5$ .

# Cohen forcing

$$(2^{<\omega}, \preceq)$$

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 $\sigma \preceq \tau$  means  $\sigma$  is a prefix of  $\tau$ 

$$[\sigma] = \{ \mathbf{X} \in 2^{\omega} : \sigma \prec \mathbf{X} \}$$

### Theorem (Folklore)

Every sufficiently Cohen generic *G* computes no DNC function.

#### Lemma

For every Turing functional  $\Phi_e$ , the following set is dense in  $(2^{<\omega},\preceq)$ .

$$D = \{ \sigma \in 2^{<\omega} : \sigma \Vdash \exists x \; \Phi_{\mathsf{e}}^{\mathsf{G}}(x) \uparrow \lor \exists x \; \Phi_{\mathsf{e}}^{\mathsf{G}}(x) \downarrow = \Phi_{\mathsf{x}}(x) \}$$

Given  $\sigma \in 2^{<\omega}$ , define the  $\Sigma_1^0$  set

$$W = \{(x, v) : \exists \tau \succeq \sigma \ \Phi_{\mathbf{e}}^{\tau}(x) \downarrow = v\}$$

- ► Case 1:  $(x, \Phi_X(x)) \in W$  for some x such that  $\Phi_X(x) \downarrow$ Then  $\tau$  is an extension forcing  $\Phi_e^G(x) = \Phi_X(x)$
- ► Case 2:  $\exists x \ \forall y \ (x,y) \not\in W$ Then  $\sigma$  forces  $\Phi_e^G(x) \uparrow$
- ► Case 3: W is a  $\Sigma_1^0$  graph of a DNC function Impossible, since no DNC function is computable.

Fix a notion of forcing  $(\mathbb{P}, \leq)$ .

A forcing question is countably  $\Pi_n^0$ -merging if for every  $p \in \mathbb{P}$  and every countable sequence of  $\Sigma_n^0$ -formulas  $(\varphi_n(G))_{n \in \mathbb{N}}$  such that for every  $n, p \not \Vdash \varphi_n(G)$ , there is an extension  $q \leq p$  such that for every  $n, q \Vdash \neg \varphi_n(G)$ .

#### Lemma

Suppose  $?\vdash$  is  $\Sigma^0_1$ -preserving and countably  $\Pi^0_1$ -merging. For every Turing functional  $\Phi_e$ , the following set is dense in  $(\mathbb{P}, \leq)$ .

$$D = \{ p \in \mathbb{P} : p \Vdash \exists x \; \Phi_{e}^{G}(x) \uparrow \lor \exists x \; \Phi_{e}^{G}(x) \downarrow = \Phi_{x}(x) \}$$

### What we know so far...

Forcing question ?⊢	Notion of forcing $(\mathbb{P}, \leq)$
$\Sigma_1^0$ -preserving	cone avoidance
$\Sigma_1^0$ -preserving and $\Sigma_1^0$ -compact	preservation of hyperimmunity
$\Sigma_1^0$ -preserving and $\Pi_1^0$ -merging	PA avoidance
$\Sigma_1^0\text{-preserving}$ and $\omega\text{-}\Pi_1^0\text{-merging}$	DNC avoidance

## Lecture 3

### **Forcing question**

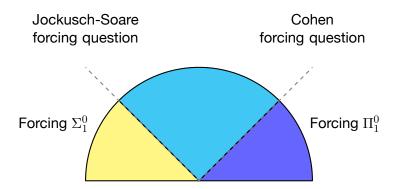
$$p ? \vdash \varphi(G)$$

where  $p \in \mathbb{P}$  and  $\varphi(G)$  is  $\Sigma^0_1$ 

### Specification

Let  $p \in \mathbb{P}$  and  $\varphi(G)$  be a  $\Sigma^0_1$  formula.

- (a) If  $p ? \vdash \varphi(G)$ , then  $q \Vdash \varphi(G)$  for some  $q \leq p$ ;
- (b) If  $p \not \cong \varphi(G)$ , then  $q \Vdash \neg \varphi(G)$  for some  $q \leq p$ .



## Conservation theorems

# Infinitary mathematics





Fix a family of formulas  $\Gamma$ .

A theory  $T_1$  is  $\Gamma$ -conservative over  $T_0$  if every  $\Gamma$ -sentence provable over  $T_1$  is provable over  $T_0$ .

If  $T_1$  is an  $\mathcal{L}_1$ -conservative extension of  $T_0$ , then they have the same first-order part.

A second-order structure  $\mathcal{N}=(N,T)$  is an  $\omega$ -extension of  $\mathcal{M}=(M,S)$  if  $N=M,\,T\supseteq S,\,+^{\mathcal{N}}=+^{\mathcal{M}},\,\times^{\mathcal{N}}=\times^{\mathcal{M}}$  and  $<^{\mathcal{N}}=<^{\mathcal{M}}.$ 

#### **Theorem**

If every countable model of  $\mathcal{M} \models T_0$  admits an  $\omega$ -extension  $\mathcal{N} \models T_1$ , then  $T_1$  is  $\mathcal{L}_1$ -conservative over  $T_0$ .

- ▶ Suppose  $T_0 \nvdash \phi$ . Let  $\mathcal{M} \models T_0 \land \neg \phi$ .
- ▶ Let  $\mathcal{N} \models T_1$  be an  $\omega$ -extension of  $\mathcal{M}$ .
- ▶ Then  $\mathcal{N} \models T_1 \land \neg \phi$ . So  $T_1 \nvdash \phi$ .

A second-order structure  $\mathcal{N}=(N,T)$  is an  $\omega$ -extension of  $\mathcal{M}=(M,S)$  if  $N=M,\,T\supseteq S,\,+^{\mathcal{N}}=+^{\mathcal{M}},\,\times^{\mathcal{N}}=\times^{\mathcal{M}}$  and  $<^{\mathcal{N}}=<^{\mathcal{M}}.$ 

#### **Theorem**

If every countable model of  $\mathcal{M} \models T_0$  admits an  $\omega$ -extension  $\mathcal{N} \models T_1$ , then  $T_1$  is  $\Pi_1^1$ -conservative over  $T_0$ .

- ▶ Suppose  $T_0 \nvdash \forall X \phi(X)$ . Let  $\mathcal{M} \models T_0 \land \exists X \neg \phi(X)$ .
- ▶ Let  $\mathcal{N} \models T_1$  be an  $\omega$ -extension of  $\mathcal{M}$ .
- ▶ Then  $\mathcal{N} \models T_1 \land \exists X \neg \phi(X)$ . So  $T_1 \nvdash \forall X \phi(X)$ .

### **Induction scheme**

$$\varphi(0) \land \forall x (\varphi(x) \to \varphi(x+1)) \to \forall y \varphi(y)$$

for every formula  $\varphi(x)$ 

### **Collection scheme**

$$(\forall x < a)(\exists y)\varphi(x,y) \to (\exists b)(\forall x < a)(\exists y < b)\varphi(x,y)$$

for every  $a \in \mathbb{N}$  and every formula  $\varphi(x, y)$ 

Over 
$$\mathbf{Q} + \mathbf{I}\Delta_0^0 + \mathbf{exp}$$

Induction	Collection	Least principle	Regularity
:	:	:	:
$I\Sigma_2^0 \equiv I\Pi_2^0$		$L\Pi_2^0 \equiv L\Sigma_2^0$	$\Sigma_2^0$ -regularity
$I\Delta^0_2$	$B\Sigma_2^0 \equiv B\Pi_1^0$	$L\Delta^0_2$	$\Delta_2^0$ -regularity
$\mathrm{I}\Sigma_1^0 \equiv \mathrm{I}\Pi_1^0$		$L\Pi^0_1 \equiv L\Sigma^0_1$	$\Sigma_1^0$ -regularity
$I\Delta^0_1$	$B\Sigma^0_1 \equiv B\Pi^0_0$	$L\Delta_1^0$	$\Delta_1^0$ -regularity

- ► exp: totality of the exponential
- ► A set X is M-regular if every initial segment of X is M-coded
- ► Least principle: every non-empty set admits a minimum element

Over 
$$\mathbf{Q} + \mathbf{I} \Delta_0^0 + \mathbf{exp}$$

Induction	Collection	Least principle	Regularity
:	:	:	
$I\Sigma_2^0 \equiv I\Pi_2^0$		$L\Pi_2^0 \equiv L\Sigma_2^0$	$\Sigma_2^0$ -regularity
$I\Delta_2^0$	$\mathrm{B}\Sigma_2^0 \equiv \mathrm{B}\Pi_1^0$	$L\Delta^0_2$	$\Delta_2^0$ -regularity
$\mathrm{I}\Sigma_1^0 \equiv \mathrm{I}\Pi_1^0$		$L\Pi^0_1 \equiv L\Sigma^0_1$	$\Sigma_1^0$ -regularity
$I\Delta^0_1$	$B\Sigma^0_1 \equiv B\Pi^0_0$	$L\Delta_1^0$	$\Delta_1^0$ -regularity

$$RCA_0 \equiv Q + \Delta_1^0$$
-comprehension +  $I\Sigma_1^0$ 

## First-order part of *T*:

set of its first-order sentences

Induction	System	First-order part	
:	:	:	
$\mathrm{I}\Sigma_2^0 \equiv \mathrm{I}\Pi_2^0$	$RCA_0 + I\Sigma^0_2$	$Q + I\Sigma_2$	
$I\Delta^0_2$	$RCA_0 + B\Sigma_2^0$	$Q + I\Delta_2$	
$\mathrm{I}\Sigma_1^0 \equiv \mathrm{I}\Pi_1^0$	$RCA_0$	$Q + I\Sigma_1$	
$I\Delta_1^0 + exp$	$RCA^*_0$	$Q + I\Delta_1 + exp$	

## Goal

Given a  $\Pi_2^1$ -problem P, show that

 $RCA_0 + P$  is a  $\Pi_1^1$ -conservative extension of  $RCA_0$ .

Then the first-order part of RCA<sub>0</sub> + P is Q + I $\Sigma_1$ .

## Approach

(Version 1)

Given a  $\Pi_2^1$ -problem P, show that

Every countable model of RCA<sub>0</sub> is  $\omega$ -extended into a model of RCA<sub>0</sub> + P.

Then the first-order part of RCA<sub>0</sub> + P is Q + I $\Sigma_1$ .

Let  $\mathcal{M}=(\textit{M},\textit{S})$  be a second-order structure, and  $\textit{G}\subseteq\textit{M}$ .  $\mathcal{M}[\textit{G}]$  is the smallest  $\omega$ -extension containing the  $\Delta^0_1(\mathcal{M}\cup\{\textit{G}\})$  sets.

#### Lemma (Friedman)

Let  $\mathcal{M}=(\textit{M},\textit{S})\models \mathsf{RCA}_0$  and  $\textit{G}\subseteq \textit{M}$  be such that  $\mathcal{M}\cup\{\textit{G}\}\models \mathsf{I}\Sigma^0_1$ . Then  $\mathcal{M}[\textit{G}]\models \mathsf{RCA}_0$ .

Start with a countable model  $\mathcal{M}_0 \models \mathsf{RCA}_0$ 

Given a countable model  $\mathcal{M}_n \models \mathsf{RCA}_0$ ,

Start with a countable model  $\mathcal{M}_0 \models \mathsf{RCA}_0$ 

Given a countable model  $\mathcal{M}_n \models RCA_0$ ,

1. pick an instance  $X \in \mathcal{M}_n$  of P

Start with a countable model  $\mathcal{M}_0 \models \mathsf{RCA}_0$ 

Given a countable model  $\mathcal{M}_n \models RCA_0$ ,

- 1. pick an instance  $X \in \mathcal{M}_n$  of P
- 2. choose a solution G to X such that  $\mathcal{M}_n \cup \{G\} \models \mathsf{I}\Sigma^0_1$

Start with a countable model  $\mathcal{M}_0 \models \mathsf{RCA}_0$ 

Given a countable model  $\mathcal{M}_n \models RCA_0$ ,

- 1. pick an instance  $X \in \mathcal{M}_n$  of P
- 2. choose a solution G to X such that  $\mathcal{M}_n \cup \{G\} \models \mathsf{I}\Sigma^0_1$
- 3. define  $\mathcal{M}_{n+1} = \mathcal{M}_n[G]$

Start with a countable model  $\mathcal{M}_0 \models \mathsf{RCA}_0$ 

Given a countable model  $\mathcal{M}_n \models RCA_0$ ,

- 1. pick an instance  $X \in \mathcal{M}_n$  of P
- 2. choose a solution G to X such that  $\mathcal{M}_n \cup \{G\} \models \mathsf{I}\Sigma^0_1$
- 3. define  $\mathcal{M}_{n+1} = \mathcal{M}_n[G]$

Let 
$$\mathcal{M} = \bigcup_n \mathcal{M}_n$$
. Then  $\mathcal{M} \models \mathsf{RCA}_0 + \mathsf{P}$ 

## Approach

(Version 2)

Given a  $\Pi_2^1$ -problem P, show that

For every countable model  $\mathcal{M}$  of RCA<sub>0</sub> and every P-instance  $X \in \mathcal{M}$ , there is a solution G such that  $\mathcal{M} \cup \{G\} \models \mathsf{I}\Sigma^0_1$ .

Then the first-order part of RCA<sub>0</sub> + P is Q + I $\Sigma_1$ .

## $\mathsf{WKL}_0$

### Weak König's lemma

Every infinite binary tree admits an infinite path

Theorem (Harrington)

 $\mathsf{WKL}_0$  is  $\Pi^1_1\text{-conservative over }\mathsf{RCA}_0$ 

#### Theorem (Harrington)

Let  $\mathcal{M}=(M,S)\models \mathsf{RCA}_0$  be a countable model and  $\mathcal{T}\subseteq 2^{< M}$  be an infinite tree in S. There is a path  $G\in [\mathcal{T}]$  such that  $\mathcal{M}[G]\models \mathsf{RCA}_0$ .

$$(\mathbb{P}, \leq)$$

The set of all infinite binary trees in *S* ordered by inclusion

$$T ?\vdash \varphi(G)$$

there is some  $\ell \in M$  such that for every  $\sigma \in T$  of length  $\ell$ ,  $\varphi(\sigma)$ .

$$T ?\vdash \varphi(G)$$

there is some  $\ell \in M$  such that for every  $\sigma \in T$  of length  $\ell$ ,  $\varphi(\sigma)$ .

#### Lemma

Let T be a condition and  $\varphi(G)$  be a  $\Sigma_1^0(\mathcal{M})$ -formula.

- 1. If T? $\vdash \varphi(G)$  then T forces  $\varphi(G)$
- 2. If  $T \not \cong \varphi(G)$  then there is an extension  $T_1 \subseteq T$  forcing  $\neg \varphi(G)$

#### Lemma

Let T be a condition and  $\varphi(x,X)$  be a  $\Sigma^0_1(\mathcal{M})$ -formula such that T forces  $\neg \varphi(b,G)$  for some  $b \in M$ . Then there is an extension  $T_1 \subseteq T$  such that

- ▶ Either  $T_1$  forces  $\neg \varphi(0, G)$
- ▶ Or  $T_1$  forces  $\varphi(a, G)$  and  $\neg \varphi(a+1, G)$  for some  $a \in M$

### Given $T \in \mathbb{P}$ , define the $\Sigma_1^0(\mathcal{M})$ set

$$W = \{x \in M : T? \vdash \varphi(x, G)\}$$

- ► Case 1:  $0 \notin W$ . Then there is an extension forcing  $\neg \varphi(0, G)$
- ► Case 2:  $a \in W$  and  $a + 1 \notin W$  for some  $a \in M$ Then there is an extension forcing  $\varphi(a, G)$  and  $\neg \varphi(a + 1, G)$
- ► Case 3:  $0 \in W$  and  $\forall a \in M \ (a \in W \rightarrow a + 1 \in W)$ Impossible, since  $\mathcal{M} \models \mathsf{I}\Sigma_1^0$  but  $b \notin W$ .

# Every set can be $\Delta_2^0$ from the viewpoint of RCA<sub>0</sub>.

#### Theorem (Towsner

Let  $\mathcal{M}=(M,S)\models \mathsf{RCA}_0$  be a countable model and  $A\subseteq M$  be an arbitrary set. There is a set  $G\subseteq M$  such that A is  $\Delta^0_2(G)$  and  $\mathcal{M}[G]\models \mathsf{RCA}_0$ .

## **Towsner forcing**

 $\mathbb{P}$ : set of pairs (g, I) in  $\mathcal{M}$  such that

- ▶  $g \subseteq M^2 \rightarrow 2$  is a finite partial function;
- ▶  $I \subset M$  is a finite set of "locked" columns.

[g, I]: class of all partial functions  $h \subseteq M^2 \to 2$  such that

- ▶  $g \subseteq h$ ;
- ▶ for all  $(x,y) \in \text{dom } h \setminus \text{dom } g$ , if  $x \in I$  then h(x,y) = A(x).

$$(h,J) \leq (g,I)$$
 if  $J \supseteq I$  and  $h \in [g,I]$ 

$$(g,I) ?\vdash \varphi(G)$$

there is some  $h \in [g, I]$  such that  $\varphi(h)$ .

#### Lemma

Let (g, I) be a condition and  $\varphi(G)$  be a  $\Sigma^0_1(\mathcal{M})$ -formula.

- 1. If  $(g, I) ? \vdash \varphi(G)$  then there is an extension forcing  $\varphi(G)$
- 2. If  $(g, I) ? \not\vdash \varphi(G)$  then (g, I) forces  $\neg \varphi(G)$

#### Lemma (Friedman)

Let  $\mathcal{M} = (M, S) \models \mathsf{RCA}_0$  and  $G \subseteq M$  be such that  $\mathcal{M} \cup \{G\} \models \mathsf{I}\Sigma^0_1$ . Then  $\mathcal{M}[G] \models \mathsf{RCA}_0$ .

#### Lemma

Let (g,I) be a condition and  $\varphi(x,X)$  be a  $\Sigma^0_1(\mathcal{M})$ -formula such that (g,I) forces  $\neg \varphi(b,G)$  for some  $b \in M$ . Then there is an extension  $(h,J) \leq (g,I)$  such that

- ▶ Either (h, J) forces  $\neg \varphi(0, G)$
- ▶ Or (h, J) forces  $\varphi(a, G)$  and  $\neg \varphi(a + 1, G)$  for some  $a \in M$

Given  $(g, I) \in \mathbb{P}$ , define the  $\Sigma^0_1(\mathcal{M})$  set

$$W = \{x \in M : (g, I) ? \vdash \varphi(x, G)\}$$

- ► Case 1:  $0 \notin W$ . Then there is an extension forcing  $\neg \varphi(0, G)$
- ► Case 2:  $a \in W$  and  $a + 1 \notin W$  for some  $a \in M$ Then there is an extension forcing  $\varphi(a, G)$  and  $\neg \varphi(a + 1, G)$
- ► Case 3:  $0 \in W$  and  $\forall a \in M \ (a \in W \rightarrow a + 1 \in W)$ Impossible, since  $\mathcal{M} \models \mathsf{I}\Sigma_1^0$  but  $b \notin W$ .

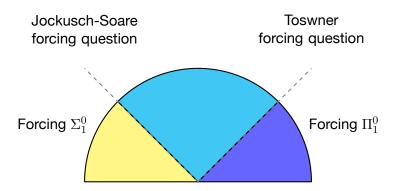
Fix a notion of forcing  $(\mathbb{P}, \leq)$ .

A forcing question is  $(\Sigma_n^0, \Pi_n^0)$ -merging if for every  $p \in \mathbb{P}$  and every pair of  $\Sigma_n^0$ -formulas  $\varphi(G), \psi(G)$  such that  $p \not \vdash \varphi(G)$  and  $p \not \vdash \psi(G)$ , there is an extension  $q \leq p$  such that  $q \vdash \varphi(G) \land \neg \psi(G)$ ..

#### Lemma

Suppose  $?\vdash$  is  $\Sigma^0_1$ -preserving and  $(\Sigma^0_1,\Pi^0_1)$ -merging. For every  $\Sigma^0_1$  formula  $\varphi(\mathbf{x},\mathbf{G})$ , the following set is dense in  $(\mathbb{P},\leq)$ .

$$D = \{ p \in \mathbb{P} : p \Vdash [\varphi(0, \mathbf{G}) \land \forall x (\varphi(x, \mathbf{G}) \to \varphi(x + 1, \mathbf{G}))] \to \forall x \varphi(x, \mathbf{G}) \}$$



## What we know so far...

Forcing question ?-	Notion of forcing $(\mathbb{P}, \leq)$
$\Sigma_1^0$ -preserving	cone avoidance
$\Sigma_1^0$ -pres. and $\Sigma_1^0$ -compact	pres. of hyperimmunity
$\Sigma_1^0$ -pres. and $\Pi_1^0$ -merging	PA avoidance
$\Sigma_1^0$ -pres. and $\omega$ - $\Pi_1^0$ -merging	DNC avoidance
$\Sigma_1^0$ -pres. and $(\Sigma_1^0,\Pi_1^0)$ -merging	$I\Sigma^0_1$ preservation
	•••

## Higher jump control

Fix a notion of forcing  $(\mathbb{P}, \leq)$ .

A forcing question is  $\Gamma$ -preserving if for every  $p \in \mathbb{P}$  and every  $\Gamma$ -formula  $\varphi(G, x)$ , the relation  $p ? \vdash \varphi(G, x)$  is in  $\Gamma$  uniformly in x.

#### Lemma

Suppose  $?\vdash$  is  $\Sigma_n^0$ -preserving. For every non- $\emptyset^{(n-1)}$ -computable set C and Turing functional  $\Phi_e$ , the following set is dense in  $(\mathbb{P}, \leq)$ .

$$D = \{ p \in \mathbb{P} : p \Vdash \Phi_{\mathsf{e}}^{\mathsf{G}^{(n-1)}} \neq C \}$$

### Given $p \in \mathbb{P}$ , define the $\Sigma_n^0$ set

$$W = \{(x, v) : \rho ? \vdash \Phi_{\mathsf{e}}^{\mathsf{G}^{(n-1)}}(x) \downarrow = v\}$$

- ▶ Case 1:  $(x, 1 C(x)) \in W$  for some xThen there is an extension forcing  $\Phi_e^{G^{(n-1)}} \neq C$
- ► Case 2:  $(x, C(x)) \notin W$  for some xThen there is an extension forcing  $\Phi_e^{G^{(n-1)}} \neq C$
- ► Case 3: *W* is a  $\Sigma_n^0$  graph of *C* Impossible, since  $C \not\subset_T \emptyset^{(n-1)}$

## Cohen forcing

$$(2^{<\omega}, \preceq)$$

 $2^{<\omega}$  is the set of all finite binary strings

 $\sigma \preceq \tau$  means  $\sigma$  is a prefix of  $\tau$ 

$$[\sigma] = \{ \mathbf{X} \in 2^{\omega} : \sigma \prec \mathbf{X} \}$$

Let  $\sigma \in 2^{<\mathbb{N}}$  and  $\varphi(G) \equiv \exists x \psi(G, x)$  be a  $\Sigma_n^0$  formula for  $n \ge 1$ .

$$\sigma ? \vdash \varphi(\mathbf{G}) \equiv \begin{cases} \exists \mathbf{x} \ \exists \tau \succeq \sigma \ \psi(\tau, \mathbf{x}) & \text{for } n = 1 \\ \exists \mathbf{x} \ \exists \tau \succeq \sigma \ \tau \ ? \nvdash \neg \psi(\mathbf{G}, \mathbf{x}) & \text{for } n > 1 \end{cases}$$

#### Lemma

The forcing question for  $\Sigma_n^0$ -formulas is  $\Sigma_n^0$ -preserving

▶ And  $\Sigma_n^0$ -compact,  $\omega$ - $\Pi_n^0$ -merging,  $(\Sigma_n^0, \Pi_n^0)$ -merging

A set *X* is high if  $X' \geq_T \emptyset''$ 

#### **Theorem**

For every sufficiently Cohen generic G,  $G^{(n)} \not\geq_T \emptyset^{(n+1)}$ .

#### Corollary

No sufficiently Cohen generic is high.

# Cohen forcing question for $\Sigma_n^0$ Forcing $\Sigma_n^0$ Forcing $\Pi_n^0$

## Jockusch-Soare forcing

$$(\mathcal{T},\subseteq)$$

 $\mathcal{T}$  is the collection of infinite primitive recursive binary trees

$$[T] = \{ X \in 2^{\omega} : \forall \sigma \prec X \ \sigma \in T \}$$

Let  $T \in \mathcal{T}$  and  $\varphi(G) \equiv \exists x \psi(G, x)$  be a  $\Sigma_n^0$  formula for  $n \geq 1$ .

$$T? \vdash \varphi(G)$$

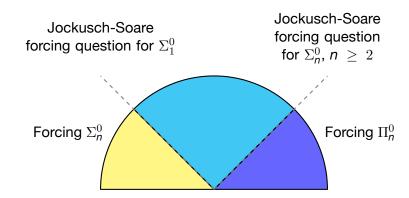
$$\equiv$$

$$\begin{cases} \exists \ell, x \in \mathbb{N} \ \forall \sigma \in 2^{\ell} \cap T \ \psi(\sigma, x) & \text{for } n = 1 \\ \exists S \in \mathcal{T}, \ S \subseteq T \land S? \not\vdash \neg \psi(G, x) & \text{for } n > 1 \end{cases}$$

#### Lemma

The forcing question for  $\Sigma_n^0$ -formulas is  $\Sigma_n^0$ -preserving

- ▶ And  $\Sigma_1^0$ -compact,  $(\Sigma_1^0, \Pi_1^0)$ -merging for n=1
- ▶ And  $\Sigma_n^0$ -compact,  $\omega$ - $\Pi_n^0$ -merging,  $(\Sigma_n^0, \Pi_n^0)$ -merging for  $n \ge 2$



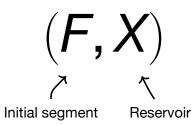
An infinite set C is cohesive for a sequence  $R_0, R_1, \ldots$  if for every  $i, C \subseteq^* R_i$  or  $C \subseteq^* \overline{R_i}$ 

## COH

Cohesiveness principle
Every sequence of sets admits a cohesive set

Cohesiveness is about jump computation

## Mathias condition



F is finite, X is infinite,  $\max F < \min X$ 

## Mathias extension

$$(E, Y) \le (F, X)$$
  
 $F \subseteq E, Y \subseteq X, E \setminus F \subseteq X$ 

# Cylinder

$$[F,X]=\{G:F\subseteq G\subseteq F\cup X\}$$

#### Lemma

Let  $R_0, R_1, \ldots$  be computable sets. Every sufficiently generic set G for computable Mathias forcing is  $\vec{R}$ -cohesive

▶ Given (F,X) and  $R_n$ , either  $(F,X \cap R_n)$  or  $(F,X \cap \overline{R}_n)$  is valid

$$\sigma?\vdash\varphi(\mathbf{G})\equiv\exists\mathbf{E}\subseteq\mathbf{X}\;\varphi(\mathbf{F}\cup\mathbf{E})$$

#### Lemma

The forcing question for  $\Sigma_1^0$ -formulas is  $\Sigma_1^0$ -preserving

▶ And  $\Sigma_1^0$ -compact,  $\omega$ - $\Pi_1^0$ -merging,  $(\Sigma_1^0, \Pi_1^0)$ -merging

A function  $g : \mathbb{N} \to \mathbb{N}$  dominates  $f : \mathbb{N} \to \mathbb{N}$  if  $\forall^{\infty} x \ g(x) \ge f(x)$ .

The principal function of an infinite set  $X = \{x_0 < x_1 < \dots\}$  is the function  $p_X : n \mapsto x_n$ .

A Turing degree **d** is high if  $\mathbf{d}' \geq \mathbf{0}''$ .

## Theorem (Martin domination)

A degree is high iff it computes a function dominating every computable function

## Lemma

If G is sufficiently Mathias generic, then  $p_G$  dominates every computable function

- ▶ Let  $f : \mathbb{N} \to \mathbb{N}$  be a total computable function and (F, X) be a Mathias condition
- ▶ Let  $Y \subseteq X$  be such that  $p_{F \cup Y}$  dominates f
- ▶ The extension (F, Y) forces  $p_G$  to dominate f

Mathias forcing produces sparse sets which computes fast-growing functions even when using computable reservoirs

Solution: restrict reservoirs

Let  $R_0, R_1, \ldots$  be an infinite sequence of sets

Given  $\sigma \in 2^{<\mathbb{N}}$ , let

$$\vec{R}_{\sigma} = \bigcap_{\sigma(i)=0} \overline{R}_i \bigcap_{\sigma(i)=1} R_i$$

Let  $\mathcal{T}(\vec{R})$  be the  $\Sigma^0_1$  tree of all  $\sigma$  such that  $\mathrm{card}\,\vec{R}_\sigma>|\sigma|$ 

$$(F, \sigma)$$
 denotes  $(F, R_{\sigma} \setminus [0, max(F)])$ 

 $(F, \sigma)$  denotes a Mathias condition iff  $\sigma$  is extensible in  $\mathcal{T}(\vec{R})$ 

## Cohesiveness

A condition is a tuple  $(F, \sigma, T)$  such that

- (a) F is a finite set
- (b) T is an infinite,  $\emptyset'$ -p.r. subtree of  $\mathcal{T}(\vec{R})$
- (c)  $\sigma \in 2^{<\omega}$  is a stem of T

A condition  $(E, \tau, S)$  extends  $(F, \sigma, T)$  iff

- (i)  $F \subseteq E, E \setminus F \subseteq R_{\sigma} \setminus [0, max(F)]$
- (ii)  $\sigma \leq \tau$
- (iii)  $S \subseteq T$

# $\Sigma_1^0$ case

$$(F, \sigma) ? \vdash \varphi(G)$$

$$\equiv$$

$$\exists E \subseteq R_{\sigma} \setminus [0, \max F] \varphi(F \cup E)$$

#### I emma

The forcing question for  $\Sigma^0_1$ -formulas is  $\Sigma^0_1$ -preserving

▶ And  $\Sigma^0_1$ -compact,  $\omega$ - $\Pi^0_1$ -merging,  $(\Sigma^0_1,\Pi^0_1)$ -merging

# $\Sigma_2^0$ case

$$(F, \sigma) ? \vdash \exists x \varphi(G, x)$$

$$\exists E \subseteq R_{\sigma} \setminus [0, \max F] \ \exists \ell, x \in \mathbb{N} \ \forall \tau \in 2^{\ell} \cap T \ (F \cup E, \tau) \ ? \not\vdash \neg \varphi(G, x)$$

### Lemma

The forcing question for  $\Sigma^0_2$ -formulas is  $\Sigma^0_2$ -preserving

▶ And  $\Sigma_2^0$ -compact,  $(\Sigma_2^0, \Pi_2^0)$ -merging

# $\Sigma_n^0$ case, $n \geq 3$

$$(\mathbf{F}, \sigma) ? \vdash \varphi(\mathbf{G})$$

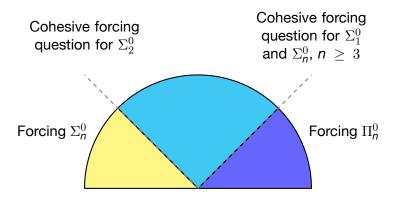
$$\equiv$$

$$\exists (\mathbf{E}, \tau, \mathbf{S}) \leq (\mathbf{F}, \sigma, T) \exists \mathbf{x} \in \mathbb{N} (\mathbf{E}, \tau, \mathbf{S}) ? \nvdash \neg \varphi(\mathbf{G}, \mathbf{x})$$

#### Lemma

The forcing question for  $\Sigma_n^0$ -formulas is  $\Sigma_n^0$ -preserving

▶ And  $\Sigma_n^0$ -compact,  $\omega$ - $\Pi_n^0$ -merging,  $(\Sigma_n^0, \Pi_n^0)$ -merging



# Pigeonhole principle

$$\mathsf{RT}^1_{k}$$
 Every  $k$ -partition of  $\mathbb N$  admits an infinite subset of a part.

## Theorem (Dzhafarov and Jockusch)

For every set  $C \not\leq_{\mathcal{T}} \emptyset$  and every 2-partition  $A_0 \sqcup A_1 = \mathbb{N}$ , there is some i < 2 and an infinite set  $G \subseteq A_i$  such that  $C \not\leq_{\mathcal{T}} G$ .

## Theorem (Monin and Patey)

For every set  $C \not\leq_{\mathcal{T}} \emptyset^{(n)}$  and every 2-partition  $A_0 \sqcup A_1 = \mathbb{N}$ , there is some i < 2 and an infinite set  $G \subseteq A_i$  such that  $C \not\leq_{\mathcal{T}} G^{(n)}$ .

$$(F_0,F_1,X)$$
Initial segment Reservoir

- $ightharpoonup F_i$  is finite, X is infinite,  $\max F_i < \min X$
- $ightharpoonup C \not\leq_T X$
- $ightharpoonup F_i \subseteq A_i$

(Mathias condition)

(Weakness property)

(Combinatorics)

## **Extension**

$$(E_0, E_1, Y) \leq (F_0, F_1, X)$$

- ▶  $F_i \subseteq E_i$
- $ightharpoonup Y \subseteq X$
- $ightharpoonup E_i \setminus F_i \subseteq X$

## **Denotation**

$$\langle \textbf{G}_0, \textbf{G}_1 \rangle \in [\textbf{\textit{F}}_0, \textbf{\textit{F}}_1, \textbf{\textit{X}}]$$

- $ightharpoonup F_i \subseteq G_i$
- $ightharpoonup G_i \setminus F_i \subseteq X$

$$[\textbf{\textit{E}}_0,\textbf{\textit{E}}_1,\textbf{\textit{Y}}]\subseteq[\textbf{\textit{F}}_0,\textbf{\textit{F}}_1,\textbf{\textit{X}}]$$

# **COH** avoidance

or jump PA avavoidance

## Lemma

Let  $\vec{R}$  be a uniformly computable sequence of sets. A set computes an infinite  $\vec{R}$ -cohesive set iff its jump computes a path through  $\mathcal{T}(\vec{R})$ .

## Lemma

For every  $\emptyset'$ -computable infinite binary tree  $S\subseteq 2^{<\mathbb{N}}$ , there is a uniformly computable sequence of sets  $\vec{R}$  such that  $[\mathcal{T}(\vec{R})]=[S].$ 

A function  $f: \mathbb{N} \to \mathbb{N}$  is diagonally non-X-computable (X-DNC) if

$$\forall e f(e) \neq \Phi_e^{X}(e)$$

#### Lemma

There exists an X-computable infinite binary tree  $T \subseteq 2^{<\mathbb{N}}$  such that [T] are the  $\{0,1\}$ -valued X-DNC functions.

 $T = \{ \sigma \in 2^{\leq \mathbb{N}} : \forall \mathbf{e} < |\sigma| \ \sigma(\mathbf{e}) \neq \Phi_{\mathbf{e}}^{\mathbf{X}}(\mathbf{e})[|\sigma|] \}.$ 

#### Lemma

For every X-computable infinite binary tree T, every  $\{0,1\}$ -valued X-DNC function computes a path.

- ▶ Given  $\sigma \in T$  and  $x \in \mathbb{N}$ , let  $\Phi_{\mathbf{e}_{\sigma}}^{\mathbf{X}}$  explore the branches below  $\sigma \cdot 0$  and  $\sigma \cdot 1$ .
- ▶ If the branch below  $\sigma \cdot i$  is the first to die, then halt and output i.
- ▶ For every  $\sigma$  extensible in T,  $\sigma \cdot f(e_{\sigma})$  is extensible in T.

## Lemma

Let  $\vec{R}$  be a uniformly computable sequence of sets. Every set whose jump computes a  $\{0,1\}$ -valued  $\emptyset'$ -DNC function computes an infinite  $\vec{R}$ -cohesive set.

## Lemma

There is a uniformly computable sequence of sets  $\vec{R}$  such that for every  $\vec{R}$ -cohesive set, its jump computes a  $\{0,1\}$ -valued  $\emptyset'$ -DNC function.

Fix a notion of forcing  $(\mathbb{P}, \leq)$ .

A forcing question is  $\Pi^0_n$ -merging if for every  $p \in \mathbb{P}$  and every pair of  $\Sigma^0_n$ -formulas  $\varphi(G), \psi(G)$  such that  $p \not \cong \varphi(G)$  and  $p \not \cong \varphi(G)$ , there is an extension  $q \leq p$  such that  $q \Vdash \neg \varphi(G) \land \neg \psi(G)$ .

#### Lemma

Suppose  $?\vdash$  is  $\Sigma^0_n$ -preserving and  $\Pi^0_n$ -merging. For every  $\{0,1\}$ -valued functional  $\Phi_e$ , the following set is dense in  $(\mathbb{P},\leq)$ .

$$D = \{ p \in \mathbb{P} : p \Vdash \exists x \; \Phi_{\mathsf{e}}^{\mathsf{G}^{(n-1)}}(x) \uparrow \lor \exists x \; \Phi_{\mathsf{e}}^{\mathsf{G}^{(n-1)}}(x) \downarrow = \Phi_{\mathsf{x}}^{\emptyset^{(n-1)}}(x) \}$$

## Given $p \in \mathbb{P}$ , define the $\Sigma_n^0$ set

$$W = \{(x, v) : p ? \vdash \Phi_{\mathsf{e}}^{\mathsf{G}^{(n-1)}}(x) \downarrow = v\}$$

- ► Case 1:  $(x, \Phi_{x}^{\emptyset^{(n-1)}}(x)) \in W$  for some x such that  $\Phi_{x}^{\emptyset^{(n-1)}}(x) \downarrow$ Then  $\tau$  is an extension forcing  $\Phi_{e}^{G^{(n-1)}}(x) = \Phi_{x}^{\emptyset^{(n-1)}}(x)$
- ► Case 2: (x,0),  $(x,1) \not\in W$  for some xThen  $\sigma$  forces  $\Phi_e^{G^{(n-1)}}(x) \uparrow$
- ► Case 3: W is a  $\Sigma_n^0$  graph of a  $\emptyset^{(n-1)}$ -DNC function Impossible, since no  $\emptyset^{(n-1)}$ -DNC function is  $\emptyset^{(n-1)}$ -computable.

# Cohen forcing

$$(2^{<\omega}, \preceq)$$

 $2^{<\omega}$  is the set of all finite binary strings

 $\sigma \preceq \tau$  means  $\sigma$  is a prefix of  $\tau$ 

$$[\sigma] = \{ \mathbf{X} \in 2^{\omega} : \sigma \prec \mathbf{X} \}$$

## Theorem (Folklore)

Every sufficiently Cohen generic G computes no  $\{0,1\}$ -valued DNC function.

#### Lemma

For every  $\{0,1\}$ -valued Turing functional  $\Phi_{\rm e}$ , the following set is dense in  $(2^{<\omega},\preceq)$ .

$$D = \{ \sigma \in 2^{<\omega} : \sigma \Vdash \exists x \; \Phi_{\mathbf{e}}^{\mathbf{G}}(x) \uparrow \lor \exists x \; \Phi_{\mathbf{e}}^{\mathbf{G}}(x) \downarrow = \Phi_{\mathbf{x}}(x) \}$$

Let  $\sigma \in 2^{<\mathbb{N}}$  and  $\varphi(G) \equiv \exists x \psi(G, x)$  be a  $\Sigma_n^0$  formula for  $n \ge 1$ .

$$\sigma ? \vdash \varphi(\mathbf{G}) \equiv \begin{cases} \exists \mathbf{x} \ \exists \tau \succeq \sigma \ \psi(\tau, \mathbf{x}) & \text{for } n = 1 \\ \exists \mathbf{x} \ \exists \tau \succeq \sigma \ \tau \ ? \nvdash \neg \psi(\mathbf{G}, \mathbf{x}) & \text{for } n > 1 \end{cases}$$

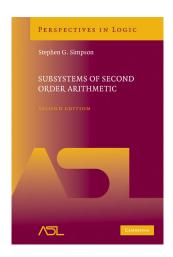
### Lemma

The forcing question for  $\Sigma_n^0$ -formulas is  $\Sigma_n^0$ -preserving

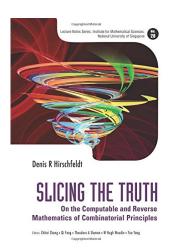
## Conclusion

The computability-theoretic properties of forcing notions are consequences of combinatorial and definitional features of their forcing questions

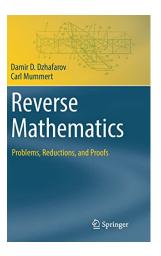




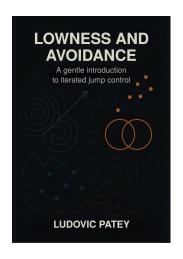
Subsystems of second-order arithmetic, 2010



Slicing the truth, 2014



Reverse Mathematics, 2022



Lowness and avoidance, 2025