

On the first-order part of Ramsey's theorem for pairs

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Introduction

Ramsey's theorem

$[X]^n$ is the set of **unordered n -tuples** of elements of X

A **k -coloring** of $[X]^n$ is a map $f : [X]^n \rightarrow k$

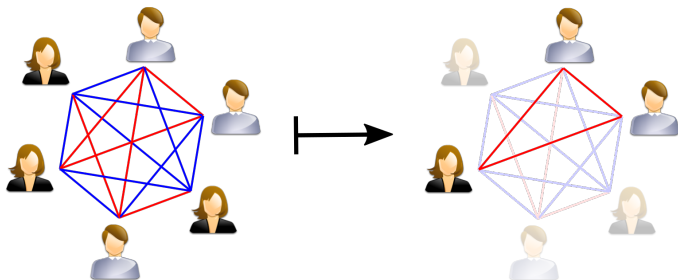
A set $H \subseteq X$ is **homogeneous** for f if $|f([H]^n)| = 1$.

RT _{k} ^{n}

Every k -coloring of $[\mathbb{N}]^n$ admits an infinite homogeneous set.

Ramsey's theorem for pairs

RT_k^2 Every k -coloring of the infinite clique admits an infinite monochromatic subclique.



RCA₀

Robinson arithmetics (Q)

$$m + 1 \neq 0$$

$$m + 1 = n + 1 \rightarrow m = n$$

$$\neg(m < 0)$$

$$m < n + 1 \leftrightarrow (m < n \vee m = n)$$

$$m + 0 = m$$

$$m + (n + 1) = (m + n) + 1$$

$$m \times 0 = 0$$

$$m \times (n + 1) = (m \times n) + m$$

Σ_1^0 induction scheme

$$\begin{aligned} &\varphi(0) \wedge \forall n(\varphi(n) \Rightarrow \varphi(n + 1)) \\ &\rightarrow \forall n\varphi(n) \end{aligned}$$

where $\varphi(n)$ is a Σ_1^0 formula

Δ_1^0 comprehension scheme

$$\begin{aligned} &\forall n(\varphi(n) \Leftrightarrow \psi(n)) \\ &\rightarrow \exists X \forall n(n \in X \Leftrightarrow \varphi(n)) \end{aligned}$$

where $\varphi(n)$ is a Σ_1^0 formula where X appears freely, and ψ is a Π_1^0 formula.

Reverse mathematics

Mathematics are
computationally
very structured

Almost every theorem is
empirically equivalent to one
among five big subsystems.

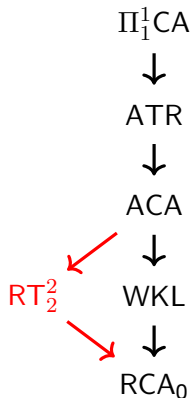
$\Pi_1^1\text{CA}$
↓
ATR
↓
ACA
↓
WKL
↓
 RCA_0

Reverse mathematics

Mathematics are
computationally
very structured

Almost every theorem is
empirically equivalent to one
among five big subsystems.

Except for Ramsey's theory...



The **first order-part** of a theory T is the set of its theorems in the language of first-order arithmetic.

What is the first-order part of
Ramsey's theorem for pairs?

Weak arithmetic 101

Induction scheme

$$\varphi(0) \wedge \forall x(\varphi(x) \rightarrow \varphi(x + 1)) \rightarrow \forall y\varphi(y)$$

for every formula $\varphi(x)$

Collection scheme

$$(\forall x < a)(\exists y)\varphi(x, y) \rightarrow (\exists b)(\forall x < a)(\exists y < b)\varphi(x, y)$$

for every $a \in \mathbb{N}$ and every formula $\varphi(x, y)$

Over $\mathbb{Q} + I\Delta_0^0 + \text{exp}$

Induction	Collection	Least principle	Regularity
\vdots	\vdots	\vdots	\vdots
$I\Sigma_2^0 \equiv I\Pi_2^0$		$L\Pi_2^0 \equiv L\Sigma_2^0$	Σ_2^0 -regularity
$I\Delta_2^0$	$B\Sigma_2^0 \equiv B\Pi_1^0$	$L\Delta_2^0$	Δ_2^0 -regularity
$I\Sigma_1^0 \equiv I\Pi_1^0$		$L\Pi_1^0 \equiv L\Sigma_1^0$	Σ_1^0 -regularity
$I\Delta_1^0$	$B\Sigma_1^0 \equiv B\Pi_0^0$	$L\Delta_1^0$	Δ_1^0 -regularity

- ▶ exp: totality of the exponential
- ▶ A set X is M -regular if every initial segment of X is M -coded
- ▶ Least principle: every non-empty set admits a minimum element

Over $Q + I\Delta_0^0 + \text{exp}$

Induction	Collection	Least principle	Regularity
\vdots	\vdots	\vdots	\vdots
$I\Sigma_2^0 \equiv I\Pi_2^0$		$L\Pi_2^0 \equiv L\Sigma_2^0$	Σ_2^0 -regularity
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$I\Sigma_1^0 \equiv I\Pi_1^0$		$L\Pi_1^0 \equiv L\Sigma_1^0$	Σ_1^0 -regularity
$I\Delta_1^0$	$B\Sigma_1^0 \equiv B\Pi_0^0$	$L\Delta_1^0$	Δ_1^0 -regularity

$RCA_0 \equiv Q + \Delta_1^0$ -comprehension + $I\Sigma_1^0$

Over $Q + I\Delta_0^0 + \text{exp}$

Induction	Collection	Least principle	Regularity
\vdots	\vdots	\vdots	\vdots
$I\Sigma_2^0 \equiv I\Pi_2^0$		$L\Pi_2^0 \equiv L\Sigma_2^0$	Σ_2^0 -regularity
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$I\Delta_1^0$	$B\Sigma_1^0 \equiv B\Pi_0^0$	$L\Delta_1^0$	Δ_1^0 -regularity

$RCA_0^* \equiv Q + \Delta_1^0\text{-comprehension} + I\Delta_0^0 + \text{exp}$

First-order parts

Induction	System	First-order part
\vdots	\vdots	\vdots
$I\Sigma_2^0 \equiv I\Pi_2^0$	$RCA_0 + I\Sigma_2^0$	$Q + I\Sigma_2$
$I\Delta_2^0$	$RCA_0 + B\Sigma_2^0$	$Q + I\Delta_2$
$I\Sigma_1^0 \equiv I\Pi_1^0$	RCA_0	$Q + I\Sigma_1$
$I\Delta_1^0 + \text{exp}$	RCA_0^*	$Q + I\Delta_1 + \text{exp}$

Failure of induction

≡

Existence of proper cuts

- ▶ A non-empty set $I \subseteq M$ is a **cut** if it is an initial segment of M closed under successor
- ▶ A cut is **exponential** if it is closed under exponential
- ▶ A cut is **semi-regular** if for every M -coded set $F \subseteq M$ such that $|F| \in I$, $F \cap I$ is bounded in I .

Given a first-order structure M and a proper cut I , let

$$\text{Cod}(M/I) = \{F \cap I : F \text{ is } M\text{-coded}\}$$

If $M \models \text{PRA}$ and I semi-regular,
then $(I, \text{Cod}(M/I)) \models \text{WKL}_0$

WKL_0 is Π_2 -conservative
over PRA.

The RCA_0 -provably total
functions are the **primitive
recursive functions**.

If $M \models \text{EFA}$ and I exponential,
then $(I, \text{Cod}(M/I)) \models \text{WKL}_0^*$

WKL_0^* is Π_2 -conservative
over EFA.

The RCA_0^* -provably total
functions are the **elementary
functions**.

- ▶ WKL: Every infinite binary tree admits an infinite path
- ▶ $\text{WKL}_0 \equiv \text{RCA}_0 + \text{WKL}$ and $\text{WKL}_0^* \equiv \text{RCA}_0^* + \text{WKL}$

Conservation theorems

Fix a family of formulas Γ .

A theory T_1 is Γ -conservative over T_0 if every Γ -sentence provable over T_1 is provable over T_0 .

If T_1 is a Π_1^1 -conservative extension of T_0 ,
then they have the same first-order part.

A second-order structure $\mathcal{N} = (N, T)$ is an ω -extension of $\mathcal{M} = (M, S)$ if $N = M$, $T \supseteq S$, $+^{\mathcal{N}} = +^{\mathcal{M}}$ and $<^{\mathcal{N}} = <^{\mathcal{M}}$.

Theorem

If every countable model of $\mathcal{M} \models T_0$ admits an ω -extension $\mathcal{N} \models T_1$, then T_1 is Π_1^1 -conservative over T_0 .

- ▶ Suppose $T_0 \not\models \forall X \phi(X)$. Let $\mathcal{M} \models T_0 \wedge \exists X \neg \phi(X)$.
- ▶ Let $\mathcal{N} \models T_1$ be an ω -extension of \mathcal{M} .
- ▶ Then $\mathcal{N} \models T_1 \wedge \exists X \neg \phi(X)$. So $T_1 \not\models \forall X \phi(X)$.

Let $\mathcal{M} = (M, S)$ be a second-order structure, and $G \subseteq M$.
 $\mathcal{M}[G]$ is the smallest ω -extension containing the $\Delta_1^0(\mathcal{M} \cup \{G\})$ sets.

Theorem

Let P be a Π_2^1 -problem and T be a theory. If for every countable model $\mathcal{M} \models T$ and every $X \in \mathcal{M}$ such that $\mathcal{M} \models (X \in \text{dom } P)$, there is a set $Y \subseteq M$ such that $\mathcal{M}[Y] \models T + (Y \in P(X))$, then $T + P$ is Π_1^1 -conservative over T .

$$\mathcal{M} \subseteq \mathcal{M}[Y_0] \subseteq \mathcal{M}[Y_0][Y_1] \subseteq \dots$$

Preliminary results

Theorem (Hirst)

$\text{RCA}_0 \vdash \text{RT}_2^2 \rightarrow \text{B}\Sigma_2^0$.

Theorem (Cholak, Jockusch and Slaman)

For every countable model $\mathcal{M} = (M, S) \models \text{RCA}_0 + \text{I}\Sigma_2^0$ and every coloring $f : [M]^2 \rightarrow 2$ in \mathcal{M} , there is an infinite f -homogeneous set $G \subseteq M$ such that $\mathcal{M}[G] \models \text{RCA}_0 + \text{I}\Sigma_2^0$.

Thus $\text{RCA}_0 + \text{I}\Sigma_2^0 + \text{RT}_2^2$ is Π_1^1 -conservative over $\text{RCA}_0 + \text{I}\Sigma_2^0$.

Theorem (Chong, Slaman and Yang)

$\text{RCA}_0 + \text{RT}_2^2 \not\vdash \text{I}\Sigma_2^0$.

Is $\text{RCA}_0 + \text{RT}_2^2 \Pi_1^1$ -conservative
over $\text{RCA}_0 + \text{B}\Sigma_2^0$?

Question

Given a countable model $\mathcal{M} = (M, S) \models \text{RCA}_0 + \text{B}\Sigma_2^0 + \neg \text{I}\Sigma_2^0$ and a coloring $f : [M]^2 \rightarrow 2$ in \mathcal{M} , is there an infinite f -homogeneous set $G \subseteq M$ such that $\mathcal{M}[G] \models \text{RCA}_0 + \text{B}\Sigma_2^0 + \neg \text{I}\Sigma_2^0$?

An infinite set C is \vec{R} -cohesive for some sets R_0, R_1, \dots if for every i , either $C \subseteq^* R_i$ or $C \subseteq^* \bar{R}_i$.

COH : Every collection of sets has a cohesive set.

Theorem (Mileti ; Jockusch and Lempp)

$\text{RCA}_0 \vdash \text{RT}_2^2 \rightarrow \text{COH}$.

The following are equivalent over RCA_0 :

- ▶ $\text{COH} + \text{B}\Sigma_2^0$
- ▶ “Every Δ_2^0 infinite binary tree admits an infinite Δ_2^0 path”

The **jump** of a structure $\mathcal{M} = (M, S)$ is the smallest ω -extension containing the $\Delta_2^0(\mathcal{M})$ sets.

Lemma (Belanger)

Let $\mathcal{M} \models \text{RCA}_0$ and \mathcal{N} be its jump. Then

- ▶ $\mathcal{M} \models \text{B}\Sigma_2^0 + \neg\text{I}\Sigma_2^0$ iff $\mathcal{N} \models \text{RCA}_0^* + \neg\text{I}\Sigma_1^0$.
- ▶ $\mathcal{M} \models \text{B}\Sigma_2^0 + \text{COH} + \neg\text{I}\Sigma_2^0$ iff $\mathcal{N} \models \text{WKL}_0^* + \neg\text{I}\Sigma_1^0$.

In the **jump** realm

Theorem (Simpson and Smith)

For every countable model $\mathcal{M} = (M, S) \models \text{RCA}_0^*$ and every infinite tree $T \subseteq 2^{<M}$, there is an infinite path $P \in [T]$ such that $\mathcal{M}[P] \models \text{RCA}_0^*$.

Thus WKL_0^* is Π_1^1 -conservative over RCA_0^* .

Theorem (Fiori-Carones, Kołodziejczyk, Wong and Yokoyama)

Let $\mathcal{M}_0 = (M, S_0)$ and $\mathcal{M}_1 = (M, S_1)$ be countable models of WKL_0^* such that $(M, S_0 \cap S_1) \models \neg \text{IS}_1^0$. Then $\mathcal{M}_0 \cong \mathcal{M}_1$.

A Π_2^1 problem P is Π_1^1 -conservative over $\text{RCA}_0^* + \neg \text{IS}_1^0$ iff $\text{WKL}_0^* + \neg \text{IS}_1^0 \vdash P$.

In the ground realm

Theorem (Fiori-Carones, Kołodziejczyk, Wong and Yokoyama)

Let $\mathcal{M}_0 = (M, S_0)$ and $\mathcal{M}_1 = (M, S_1)$ be countable models of $\text{RCA}_0 + \text{B}\Sigma_2^0 + \text{COH}$ such that $(M, S_0 \cap S_1) \models \neg \text{I}\Sigma_2^0$. Then their jump models are isomorphic.

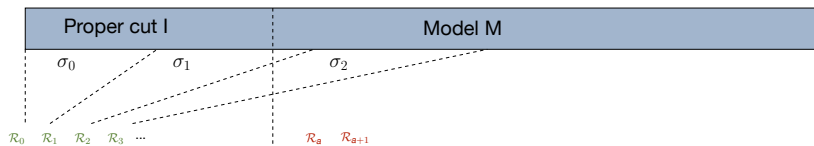
Conservation over $\text{RCA}_0 + \text{B}\Sigma_2^0 + \neg \text{I}\Sigma_2^0$ can be done without loss of generality by first-jump control.

Theorem (Fiori-Carones, Kołodziejczyk, Wong and Yokoyama)

Let P be a $\forall\exists\Pi_k^0$ -sentence, where $k \geq 3$. Then P is Π_1^1 -conservative over $\text{RCA}_0 + \text{B}\Sigma_2^0 + \neg \text{I}\Sigma_2^0$ iff it is $\forall\Pi_{k+2}^0$ -conservative over $\text{RCA}_0 + \text{B}\Sigma_2^0 + \neg \text{I}\Sigma_2^0$.

Well-foundedness

Effective constructions in non-standard models



Shore blocking



where a_0, a_1, \dots is cofinal in M

Definition

$\text{WF}(\alpha)$: There is no infinite decreasing sequence of ordinals $< \alpha$

Let $\mathcal{M} = (M, S)$ be a countable model of RCA_0 .

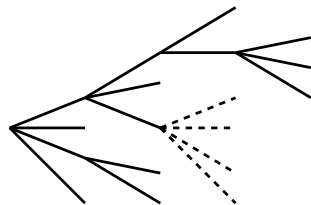
$$\text{WF}(\omega^{\mathcal{M}}) = \{\mathbf{a} \in M : \mathcal{M} \models \text{WF}(\omega^{\mathbf{a}})\}$$

- ▶ $\text{WF}(\omega^{\mathcal{M}})$ is an additive cut
- ▶ There is a model \mathcal{M} and some non-standard \mathbf{a} such that

$$\text{WF}(\omega^{\mathcal{M}}) = \sup\{\mathbf{a} \cdot n : n \in \omega\}$$

Bounded **monotone** enumerations

- ▶ $E_0 \subseteq E_1 \subseteq \dots$ finite trees in $\mathbb{N}^{<\mathbb{N}}$
- ▶ New nodes in E_{s+1} extend only leaves in E_s
- ▶ E is k -bounded if $\forall \sigma \in E, |\sigma| \leq k$



Theorem (Kreuzer and Yokoyama)

$\text{RCA}_0 \vdash \text{WF}(\omega^\omega) \leftrightarrow$ “Every bounded monotone enumeration of a tree is finite”

Theorem (Le Houérou, Levy Patey and Yokoyama)

Let $\mathcal{M} = (M, \mathcal{S}) \models \text{RCA}_0 + \text{B}\Sigma_2^0 + \text{WF}(\omega_4^\alpha)$ be a countable, topped by a set $Y \in \mathcal{S}$, where $\alpha \leq \epsilon_0$. Then, for every coloring $f : [M]^2 \rightarrow 2$ in \mathcal{S} and every set $P \gg Y'$ such that $\mathcal{M}[P] \models \text{RCA}_0^*$, there exists $G \subseteq M$ such that G is an M -infinite f -homogeneous set, $P \geq_T (G \oplus Y)'$ and $\mathcal{M}[G] \models \text{RCA}_0 + \text{B}\Sigma_2^0 + \text{WF}(\alpha)$.

Theorem (Le Houérou, Levy Patey and Yokoyama)

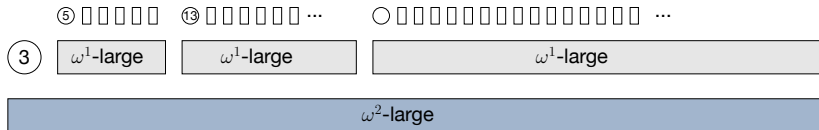
$\text{WKL}_0 + \text{RT}_2^2 + \text{WF}(\epsilon_0)$ is Π_1^1 -conservative over $\text{RCA}_0 + \text{B}\Sigma_2^0 + \text{WF}(\epsilon_0)$.

$\forall \Pi_3^0$ conservation

A finite set $X \subseteq \mathbb{N}$ is

- ▶ ω^0 -large if $X \neq \emptyset$.
- ▶ $\omega^{(n+1)}$ -large if $X \setminus \min X$ is $(\omega^n \cdot \min X)$ -large
- ▶ $\omega^n \cdot k$ -large if there are k ω^n -large subsets of X

$$X_0 < X_1 < \dots < X_{k-1}$$



- ▶ $A < B$ means that for all $a \in A$ and $b \in B$, $a < b$.

Lemma

$\text{RCA}_0 \vdash \forall a [\text{WF}(\omega^a) \leftrightarrow \text{Every infinite set contains an } \omega^a\text{-large subset}]$

Let $\mathcal{M} = (M, S)$ be a countable model of RCA_0 .

$$\text{WF}(\omega^{\mathcal{M}}) = \{a \in M : \mathcal{M} \models \text{WF}(\omega^a)\}$$

- ▶ $\text{WF}(\omega^{\mathcal{M}})$ is an additive cut
- ▶ There is a model \mathcal{M} and some non-standard a such that

$$\text{WF}(\omega^{\mathcal{M}}) = \sup\{a \cdot n : n \in \omega\}$$

α -largeness approximates infinity

Theorem (Generalized Parsons theorem)

Let $\psi(F)$ be a Δ_0 formula with only free variable F . Suppose that

$$\text{WKL}_0 \vdash \forall X [X \text{ is infinite} \rightarrow (\exists F \subseteq_{\text{fin}} X)\psi(F)]$$

Then there exists some $n \in \omega$ such that

$$\text{Q} + \text{I}\Sigma_1^0 \vdash \forall Z [Z \text{ is } \omega^n\text{-large} \rightarrow (\exists F \subseteq Z)\psi(F)]$$

Forcing with ω^a -large sets

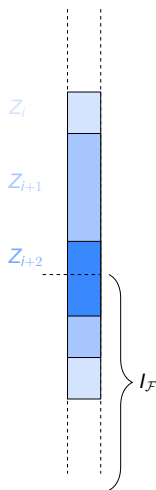
Fix a countable non-standard model
 $M \models Q + I\Sigma_1^0$.

$$(\mathbb{P}, \leq)$$

ω^a -large sets for $a \in M \setminus \omega$
ordered by inclusion.

Every filter $\mathcal{F} \subseteq \mathbb{P}$ induces a **cut**

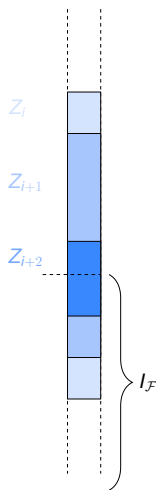
$$I_{\mathcal{F}} = \sup\{\min Z : Z \in \mathcal{F}\}$$



Forcing with ω^a -large sets

Fix a countable non-standard model
 $M \models \mathcal{Q} + \text{IS}_1^0$.

- ▶ If $Z \in \mathcal{F}$, then $Z \cap I_{\mathcal{F}}$ is unbounded in $I_{\mathcal{F}}$.
- ▶ $Z \Vdash (\forall x \in I)\theta(x)$ if $(\forall x < \max Z)\theta(x)$.
- ▶ $Z \Vdash (\exists x \in I)\theta(x)$ if $(\exists x < \min Z)\theta(x)$.
- ▶ $Z \Vdash (\forall x \in I)(\exists y \in I)\theta(x, y)$ if
 $(\forall a, b \in Z)[a < b \rightarrow (\forall x < a)(\exists y < b)\theta(x, y)]$



A cut is **semi-regular** if for every M -coded set $F \subseteq M$ such that $|F| \in I$, $F \cap I$ is bounded in I .

If $M \models \text{PRA}$ and I semi-regular, then $(I, \text{Cod}(M/I)) \models \text{WKL}_0$.

Lemma (Kirby and Paris)

If $M \models \text{Q} + \text{I}\Sigma_1^0$ and \mathcal{F} is sufficiently generic, then $I_{\mathcal{F}}$ is semi-regular.

- ▶ Let $Z \in \mathbb{P}$ be ω^a -large and $F \subseteq M$ be M -coded with $|F| < \min Z$;
- ▶ Let $Z_0 < \dots < Z_{|F|}$ be ω^{a-1} -large subsets of Z ;
- ▶ $Z_i \cap F = \emptyset$ for some $i \leq |F|$.

Theorem (Hirst)

$\text{RCA}_0 \vdash \text{B}\Sigma_2^0 \leftrightarrow \forall a \text{RT}_a^1.$

X is **exp-sparse** if $\min X \geq 3$ and $(\forall x, y \in X)(x < y \rightarrow 4^x < y)$

Lemma (Kołodziejczyk and Yokoyama)

$\text{Q} + \text{I}\Sigma_1^0$ proves that if X is ω^{a+1} -large and exp-sparse, then for every $f : X \rightarrow \min X$, there is an ω^a -large f -homogeneous subset $Y \subseteq X$.

Thus if \mathcal{F} is sufficiently generic $(I_{\mathcal{F}}, \text{Cod}(M/I_{\mathcal{F}})) \models \forall a \text{RT}_a^1.$

Theorem (Parsons, Paris and Friedman)

$\text{WKL}_0 + \text{B}\Sigma_2^0$ is $\forall\Pi_3^0$ -conservative over RCA_0 .

- ▶ Suppose $\text{RCA}_0 \not\models \forall A \exists x \forall y \psi(A, x, y)$;
- ▶ Let $\mathcal{M} = (M, S) \models \text{RCA}_0 + \exists A \forall x \exists y \neg \psi(A, x, y)$ be non-standard ;
- ▶ Let $A \in S$ and $X = \{b_0 < b_1 < \dots\} \in S$ be such that $(\forall x < b_s)(\exists y < b_{s+1}) \neg \psi(A, x, y)$;
- ▶ Let $a \in \text{WF}(\omega^{\mathcal{M}}) \setminus \omega$ and let $Z \subseteq X$ be ω^a -large ;
- ▶ Let \mathcal{F} be sufficiently generic filter containing Z ;
- ▶ $(I_{\mathcal{F}}, \text{Cod}(M/I_{\mathcal{F}})) \models \text{WKL}_0 + \text{B}\Sigma_2^0 + \exists A \forall x \exists y \neg \psi(A, x, y)$.

Lemma (Kołodziejczyk and Yokoyama)

$\text{Q} + \text{I}\Sigma_1^0$ proves that if X is ω^{300a} -large and $\min X \geq 3$, then for every $f: [X]^2 \rightarrow 2$, there is an ω^a -large f -homogeneous set $H \subseteq X$.

Thus if \mathcal{F} is sufficiently generic $(I_{\mathcal{F}}, \text{Cod}(M/I_{\mathcal{F}})) \models \text{RT}_2^2$.

Theorem (Patey and Yokoyama)

$\text{WKL}_0 + \text{RT}_2^2$ is $\forall \text{II}_3^0$ -conservative over RCA_0 .

Conclusion

Theorem (Le Houérou, Levy Patey and Yokoyama)

$WKL_0 + RT_2^2 + WF(\epsilon_0)$ is Π_1^1 -conservative
over $RCA_0 + B\Sigma_2^0 + WF(\epsilon_0)$.

Theorem (Le Houérou, Levy Patey and Yokoyama)

$WKL_0 + RT_2^2$ is $\forall\Pi_4^0$ -conservative over $RCA_0 + B\Sigma_2^0$.

Open questions

Is $WKL_0 + RT_2^2$ Π_1^1 -conservative over $RCA_0 + B\Sigma_2^0$?

Is $WKL_0 + RT_2^2$ $\forall\Pi_5^0$ -conservative over $RCA_0 + B\Sigma_2^0$?

Does $WKL_0 + RT_2^2$ admit exponential proof-speedup over $RCA_0 + B\Sigma_2^0$?

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